



**Ministry of Transport Services
Management**

Performance Report - 2019

**7th floor
Sethsiripaya Stage II
Battaramulla**

July - 2020

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Chapter 01

1.1 Introduction

Transportation is an indispensable service for the development of any country. With the enhancement of the lifestyle of the people of Sri Lanka which has gradually developed into a middle income country, the transport services are also required to achieve a continuous development. The people showed a tendency of leaving public transport services as a result of the increase in the number of private vehicles in proportion to the improvement in the living standard of people with the growth of the national income in recent times. This situation resulted in the occurrence of a number of economic and social issues such as traffic congestion, increase in the air pollution level and commonness of road accidents. The Ministry of Transport Services Management identified the need for finding sustainable and timely solutions for such issues affecting the day to day life of people.

This Ministry, being a ministry of development, carried out formulation, implementation, follow-up actions and appraisal of policies, programmes and projects coming under its mandate, as per requirements. The National Transport Policy formulated in 2009 was referred to a committee of experts and accordingly, has been revised incorporating current requirements, and it will be submitted to the Cabinet of Ministers shortly. Similarly, the National Transport Commission Act and the Motor Traffic Act will be revised as per requirement. Thereby, this Ministry aims at minimizing legal barriers and providing the people with an efficient transport service. A number of projects are also currently underway to that end. Under the Colombo Suburban Railway Project and Colombo Suburban Railway Efficiency Improvement Project, Colombo suburban Railway lines and some identified sections of the main lines will be developed for operation of electric trains. Also, the construction work of the Kurunegala- Habarana new railway lines as well has been commenced by now. A number of projects for utilizing modern technology for public transportation such as deploying low-floor luxury busses for passenger transport and introduction of the pre-paid card system to public transportation are also currently in progress as projects for modernization of passenger bus services.

The financial control of the Ministry is carried out under the direct supervision of the Chief Accounting Officer, and in that connection, action has been taken to obtain the constant cooperation of the institutions coming under the Ministry as well. Financial and administrative functions have been streamlined by duly conducting Audit and Management Committee meetings on a quarterly basis. The Planning Division performs progress review of the projects of the Ministry and its institutions, including the relevant key functions such as forwarding the project proposals to the Department of National Planning and Department of External Resources, obtaining the approval of the Cabinet of Ministers as per requirements, incorporating development proposals in the state investment plan, presenting budget proposals, reporting progress to the relevant institutions, preparation of performance reports, progress reports, corporate plan and action plan. The Administrative Division of the Ministry has operated towards bringing about a more efficient public service through ensuring an efficient human resource by providing local and foreign training for the officers, coordination between institutions, obtaining approval for cadre, preparation of schemes of recruitment, exercising employee discipline and issuing internal circulars required for internal administration. Thus, this Ministry has managed to achieve a significant performance in the year 2019.

Vision

Sri Lanka to be the country with the premier People Centered Transport System in the Region.

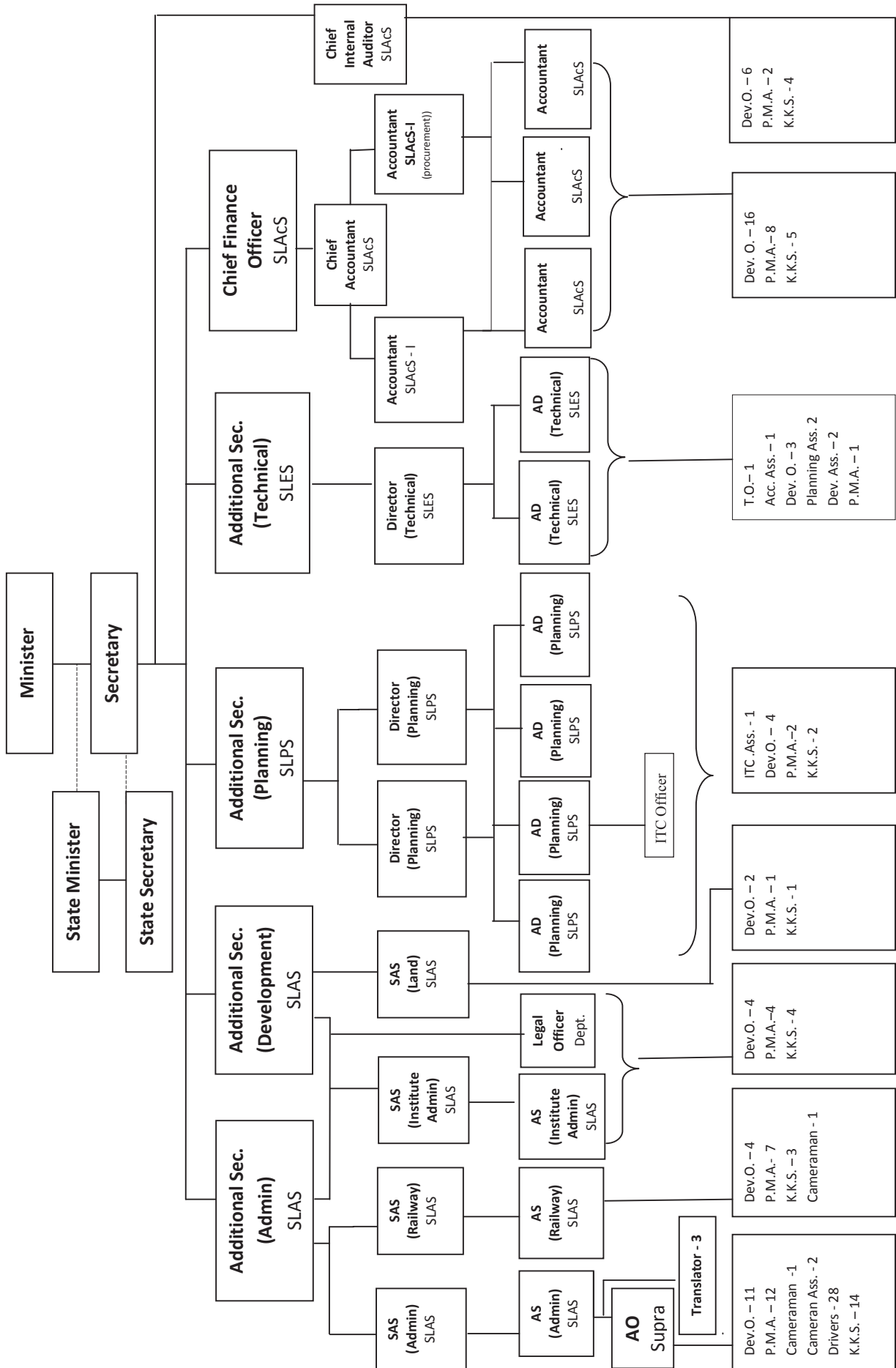
Mission

To use State of Art technology to implement, develop, and sustain world class transport infrastructure and services to enhance living standard of the people

1.3 Duties and Function

- Formulation, implementation, monitoring and evaluation of policies, programmes and projects, in relation to the subject of transport and those subjects that come under the Department of Sri Lanka Railways, Sri Lanka Central Transport Board, National Transport Medical Institute, Department of Motor Traffic, National Transport Commission, Lakdiva Engineering Ltd and National Council for Road Safety.
- Take necessary measures to ensure efficient operation of integrated passenger and freight rail transport services by harnessing new technology and development of railway infrastructure and providing railway services.
- Providing passenger ferry services.
- Providing a safe and reliable passenger transport service.
- Introducing an environmental friendly transport system.
- Regulating private transport services.
- Constructing new railway lines, maintenance and widening existing railway lines, acquisition of lands in relation there to and infrastructure development including all related matters
- Registration and licensing of motor vehicles.
- Issuance of driving licenses.
- Regulation and issuance of guidelines and laws relating to motor traffic.
- Launch of strategic programmes in order to harness the contribution of the transport sector in minimizing emission of greenhouse gases
- Supervision and Matters relating to all other subjects assigned to Department of Sri Lanka Railways, Sri Lanka Central Transport Board, National Transport Medical Institute, Department of Motor Traffic, National Transport Commission, and Lakdiva Engineering Ltd.

Ministry of Transport Services Management



1.5 Departments under the Ministry

1. Department of Sri Lanka Railways
2. Department of Motor Traffic

1.6 Institutions under the Ministry

1. Sri Lanka Transport Board
2. National Transport Commission
3. National Transport Medical Institute
4. Lakdiva Engineering Company (Pvt) Ltd
5. National Council for Road Safety

1.7 Details of the Foreign Funded Projects

Name of the Project	Donor Agency	Estimated Cost of the Project – Rs. Mn	Project Duration
Matara – Kataragama Rail Road Development Project	Exim Bank (China)	US\$ Mn. 278.2	Including the bug fix period from 01.08.2013 to 26.05.2020
Habarana Rail Road Project via Kurunegala - Dambulla	China State Construction Engineering Corporation Ltd	Rs. Mn. 172,278.00 (947 US\$ Mn. + Rs. Mn. 7,500)	After signing the 4 year loan agreement
Colombo Suburban Railway Project	Asian Development Bank Government of Sri Lanka	Rs. Mn. 1,456.50 Rs. Mn. 9,798.04	6 years
Railway Efficiency Improvement Project	Asian Development Bank Government of Sri Lanka	Rs. Mn. 28,232.00 Rs. Mn. 5,646.40	5 years

Chapter 02

Progress and future outlook of the transport sector

The development of a country should bring about fulfillment of the basic needs of people and a qualitative development in economic, social and cultural aspects. Transportation of the factors of production towards production institutions, finished goods and services towards the market and proper mobilization of the labour force that is needed for those processes are required for the economic development. The transportation factor plays a key role in bringing together the factors of production in the economy, distribution of the production and in labour mobilizations necessary for the same, and the economic growth rate is dependent on the efficiency and quality of the transportation. Thus, the transportation can be described as a decisive factor for the development of a country.

The Ministry of Transport Services Management, the principal institution related to transportation in Sri Lanka, in coordination with all entities involved in the transport sector, carries out identification, formulation and implementation of the projects of direct benefit to the public, and follow-up actions on these projects, ever working towards attaining a sustainable transport service sector. In this effort, the Ministry managed to achieve a significant development of the transport sector in the year 2019.

The Ministry of Transport Services Management is currently carrying out several railway development projects. The major project among them is the Matara-Beliatta railway development project implemented identifying the transport needs of the Southern region of Sri Lanka. The construction work of this project was completed in this year and the operation of trains is successfully in progress. Construction work of the Colombo Suburban Railway Project has commenced by now and the project is implemented with the loan assistance of the Asian Development Bank, focusing on the next 20 years. Kelanivalley Line, Main Line, Coastal Line and the identified sections of the Upcountry lines will be developed to cater to train electrification. In parallel with the above project, the Railway Efficacy Improvement Project (REIP) is implemented with the loan assistance of the Asian Development Bank. The preliminary work of this project has been completed by now and it is expected to improve the train operation efficiency through this project. Kurunegala-Habarana new railway line project has a track length of 84.5 Kms and the land acquisition work of the project is currently nearing completion.

Department of Railways, Sri Lanka also implemented a number of projects in the year 2019 and as a result of which, the total income of the Department of Railways too increased significantly. In the year 2018, the total income of the Department of Railways was at Rs. 7,412.50 million and in the year 2019, it was at Rs. 7,859.20 million with a 6% growth in the total income. The Maho-Omanthai Railway line project to develop the track at a cost of USD 91.26 million is a project commenced by the Department of Railways in the year 2019. The loan agreement of the project was signed on 18.07.2019. Installation of the signal system of the Polgahawela-Kurunegala line, setting up of 170 gates under the 200 gates project, completion of 140 coaches under the 200 coaches repair project accounted for the development of the railway service. A 10% growth of the current count of railway passengers is expected through these projects.

Sri Lanka Transport Board (SLTB), the leader in the state bus passenger transportation, operated an average of 5,079 busses per day in 2019 with fleet of around 5,854 busses, fulfilling public transport requirements. Non-availability of funds for replacement of the outdated busses with new busses with facilities is the major challenge faced by the SLTB. However, it was possible to acquire 334 busses in the year 2019 and action is being taken to procure 600 busses under the Indian Line of Credit and 80 luxury busses under 2019 budget proposals.

National Transport Commission makes its contribution towards improving the efficiency of the passenger transport service sector by regulating nearly 3,200 busses. 56 new Sisuseriya services, which is popular among the public, were started in the year 2019, bringing the total number of services up to 4,419, serving nearly 90,000 students around the island. At the same time, the Gemiseriya and Nisiseriya social services were also operated as usual. Further, taking steps to start pre-paid card system, regulation of busses with the GPS technology, implementation of the combined timetable system and preparation of a method for regulation of school vans and three-wheelers are the other tasks being carried out with the contribution of this institution.

In the year 2019, the Department of Motor Traffic has performed 367,303 new registrations of vehicles, 675,018 of transfers of vehicles and issue of 917,724 driving licenses of all types. Further, the Department has provided facilities for obtaining driving licenses online. In addition to this, the Department is taking action to maintain standard emission conditions in vehicles through the Vehicular Emission Test Trust Fund.

The Lakdiva Engineering Company has repaired 172 ramshackle busses of the Sri Lanka Transport Board in 2019 and produced them for deploying in operation. The National Council for Road Safety is engaged in raising the peoples' awareness by carrying out various programmes around the country.

The main challenge this Ministry has to deal with is attracting more people towards the public transportation. It is through establishment of a people-friendly and efficient transport service that solutions can be achieved for that challenge and all other challenges faced by the transport sector. The modern technology employed by the countries with well-developed transport sectors should be introduced to our country too. At the same time our attention should be focused on utilizing scientific research methods for adopting a transport service suitable for our country. Although there are researches related to transport, being carried out in our universities, it has little contribution towards the advancement of the national transport sector. Further, the relationship among the passenger, goods and maritime transport sectors and the transport related institutions of Sri Lanka should be further strengthened, and we should adopt a national policy towards overcoming all such challenges. We have already taken several constructive steps to that end and our hope is to realize this objective in near future.



N.B.M. Ranatunge

Secretary

Ministry of Transport

Chapter 03

Overall Financial Performance for the Year ended 31st December 2019

3.1 Statement of Financial Performance

ACA -F

Statement of Financial Performance
for the period ended 31st December 2019

Rs.

Budget 2019	Note	Actual		
		2019	2018	
- Revenue Receipts		24,049,292,729	19,964,333,668	
- Income Tax	1	-	-	
- Taxes on Domestic Goods & Services	2	-	-	ACA-1
- Taxes on International Trade	3	-	-	
- Non Tax Revenue & Others	4	24,049,292,729	19,964,333,668	
Total Revenue Receipts (A)		24,049,292,729	19,964,333,668	
- Non-Revenue Receipts		-	-	
- Treasury Imprests		17,213,285,456	14,565,402,261	ACA-3
- Deposits		12,140,695	3,639,352	ACA-4
- Advance Accounts		11,581,564	10,053,831	ACA-5/5(a)
- Other Receipts		18,920,731	5,227,548	
Total Non-Revenue Receipts (B)		17,255,928,445	14,584,322,993	
Total Revenue Receipts & Non Revenue Receipts C = (A)+(B)		41,305,221,174	34,548,656,661	
Less: Expenditure				
- Recurrent Expenditure		-	-	
Wages, Salaries & Other Employment Benefits	5	147,332,392	128,983,448	ACA-2(ii)
153,700,000 Other Goods & Services	6	169,060,848	141,180,131	

14,237,870,000	Subsidies, Grants and Transfers	7	13,859,861,283	11,629,058,285	
-	Interest Payments	8	-	-	
-	Other Recurrent Expenditure	9	-	-	
<u>14,563,700,000</u>	Total Recurrent Expenditure (D)		14,176,254,523	11,899,221,864	
	Capital Expenditure				
3,700,000	Rehabilitation & Improvement of Capital Assets	10	845,194	2,213,294	
9,735,000,000	Acquisition of Capital Assets	11	4,133,251,654	4,946,578,858	
4,030,800,000	Capital Transfers	12	2,659,618,807	2,395,763,000	ACA-2(ii)
-	Acquisition of Financial Assets	13	-	-	
1,300,000	Capacity Building	14	639,200	1,116,500	
-	Other Capital Expenditure	15	-	-	
<u>13,770,800,000</u>	Total Capital Expenditure (E)		6,794,354,855	7,345,671,652	
	Main Ledger Expenditure (F)		23,082,546	16,027,264	
	Deposit Payments		12,820,733	3,796,883	ACA-4
	Advance Payments		10,261,813	12,230,381	ACA-5/5(a)
	Total Expenditure G = (D+E+F)		20,993,691,925	19,260,920,780	
<u>28,334,500,000</u>	Imprest Balance as at 31st December 2018				
	H = (C-G)		20,311,529,249	15,287,735,881	

3.2 Statement of Financial Position


ACA-P

Statement of Financial Position

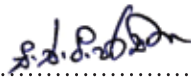
As at 31st December - 2019

	Note	Actual	
		2019	2018
		Rs	Rs
<u>Non Financial Assets</u>			
Property, Plant & Equipment	ACA-6	41,141,155,251	36,969,295,449
<u>Financial Assets</u>			
Advance Accounts	ACA-5/5(a)	34,217,415	35,537,165
Cash & Cash Equivalents	ACA-3	713,805	-
Total Assets		41,176,086,471	37,004,832,614
<u>Net Assets / Equity</u>			
Net Worth to Treasury		33,507,021	35,506,809
Property, Plant & Equipment Reserve		41,141,155,251	36,969,295,449
Rent and Work Advance Reserve	ACA-5(b)		
<u>Current Liabilities</u>			
Deposits Accounts	ACA-4	710,394	30,357
Imprest Balance	ACA-3	713,805	-
Total Liabilities		41,176,086,471	37,004,832,615

Detail Accounting Statements in above ACA format Nos. 1 to 6 presented in pages from 04 To75 And Notes to accounts presented in pages from 76 to 96 form and integral parts of these Financial Statements. The Financial Statements have been prepared in complying with the Generally Accepted Accounting Principles whereas most appropriate Accounting Policies are used as disclosed in the Notes to the Financial Statements and hereby certify that figures in these Financial Statements, Notes to accounts and other relevant accounts were reconciled with the Treasury Books of Accounts and found to in agreement.


 Chief Accounting Officer
 Name : N.B.M. Ranatunge
 Designation : Secretary
 Date : 18/08/2020

N.B.M. Ranatunge
 Secretary
 Ministry of Transport
 7th floor, Sethsiripaya (Stage II)
 Battaramulla


 Chief Financial Officer/ Chief Accountant
 Name : D.A. T. Weerasingha

Date : 2020/08/18
D. A. T. Weerasinghe
 Chief Financial Officer (Covering)
 Ministry of Transport Management Services
 7th Floor, Sethsiripaya (Stage II)
 Battaramulla

3.3 Statement of Cash Flows

ACA-C

Statement of Cash Flows
for the Period ended 31st December - 2019

	Actual	
	2019 Rs.	2018 Rs.
<u>Cash Flows from Operating Activities</u>		-
Total Tax Receipts	-	-
Fees, Fines, Penalties and Licenses	-	-
Profit	-	-
Non-Revenue Receipts	-	-
Revenue Collected for the Other Heads	22,817,804	
Imprest Received	17,213,285,456	14,579,438,516
Total Cash generated from Operations (a)	17,236,103,260	14,579,438,516
<u>Less - Cash disbursed for:</u>		
Personal Emoluments & Operating Payments	152,157,969	259,041,233
Subsidies & Transfer Payments	16,835,897,448	13,353,692,425
Expenditure on Other Heads	154,964,924	879,021,756
Finance Costs - Imprest Settlement to Treasury	-	-
Total Cash disbursed for Operations (b)	17,143,020,341	14,491,755,414
NET CASH FLOW FROM OPERATING ACTIVITIES (C)=(a)-(b)	93,082,919	87,683,102
<u>Cash Flows from Investing Activities</u>		
Interest	-	-
Dividends	-	-
Divestiture Proceeds & Sale of Physical Assets	-	-
Recoveries from On Lending	-	-
Recoveries from Advance	1,456,308	

Total Cash generated from Investing Activities (d)	1,456,308	-
<u>Less - Cash disbursed for:</u>		
Purchase or Construction of Physical Assets & Acquisition of Other Investment	86,559,909	74,957,614
Advance Payments	8,428,986	
Total Cash disbursed for Investing Activities (e)	94,988,895	74,957,614
NET CASH FLOW FROM INVESTING ACTIVITIES (F)=(d)-(e)	(93,532,587)	(74,957,614)
NET CASH FLOWS FROM OPERATING & INVESTMENT ACTIVITIES (g)=(c) + (f)	(449,668)	12,725,488
<u>Cash Flows from Financing Activities</u>		
Local Borrowings	-	-
Foreign Borrowings	-	-
Grants Received	-	-
Deposit Received	5,273,811	
Total Cash generated from Financing Activities (h)	5,273,811	-
<u>Less - Cash disbursed for:</u>		
Repayment of Local Borrowings	-	-
Repayment of Foreign Borrowings	-	(3,746,964)
Change in Deposit Accounts and Other Liabilities	4,824,143	(8,978,524)
Total Cash disbursed for Financing Activities (i)	4,824,143	(12,725,488)
NET CASH FLOW FROM FINANCING ACTIVITIES (J)=(h)-(i)	449,668	12,725,488
Net Movement in Cash (k) = (g) -(j)	-	-
Opening Cash Balance as at 01st January	-	-
Closing Cash Balance as at 31st December	-	-

3.4 Notes to the Financial Statements

3.5 Performance of the Revenue Collection

Rs.

Revenue Code	Description of the Revenue Code	Revenue Estimate		Collected Revenue	
		Original	Final	Amount (Rs.)	As a % of Final Revenue Estimate
2003-02-08	Embarkation Levy	21,000,000,000	24,500,000,000	24,049,292,729	98.16

3.6 Performance of the Utilization of Allocation

Rs.

Type of Allocation	Allocation		Actual Expenditure	Allocation Utilization as a % of final Allocation
	Original	Final		
Recurrent	14,534,500,000	14,563,700,000	14,176,254,524	97.34
Capital	13,317,800,000	13,770,800,000	6,794,354,855	49.34

3.7 In the terms of F.R. 208 grant of allocations for expenditure to this Department / District Secretarial / Provincial Council as an agent of the other Ministries / Departments

Rs.

Serial No.	Allocation received from which Ministry / department	Purpose of the Allocation	Allocation		Actual Expenditure	Allocation Utilization as a % of Final allocation
			Original	Final		
	-	-	-	-	-	-

3.8 Performance of the Reporting of Non – Financial Assets

Rs.

Assets Code	Code Description	Balance as per board of survey report as at 31.12.2019	Balance as per financial position report as at 31.12.2019	Yet to be Accounted	Reporting Progress as a %
9151	Building and Structures	98,705,486	98,705,486	-	100%
9152	Machinery and Equipment	507,458,752	507,458,752	-	100%
9153	Land	3,550,306,948	3,874,059,585	323,752,636	
9154	Intangible Assets				
9155	Biological Assets				
9160	Work in Progress	36,660,931,428	36,660,931,428		
9180	Lease Assets				

3.9 Auditor General's Report**

** The final audit report issued by the Auditor General to be scanned and placed here while submitting to the parliament.

(Annexure 1)

Chapter 04

Performance indicators

4.1 Performance indicators of the Institute (Based on the Action Plan)

Specific Indicators	Actual output as a percentage (%) of the expected output		
	100% - 90%	75% - 89%	50% - 74%
Rail Sector <ul style="list-style-type: none"> ➤ Number of freight trains ➤ Number of trains operated per day ➤ Number of main railway projects ➤ Constructed railway lines (km in length) 	√	√	√
Bus Sector <ul style="list-style-type: none"> ➤ Amount of annual bus passenger kilo meters. ➤ Number of social services operated within a year. ➤ Number of Depots with Information Technology Basic Management System 	√		
Vehicle Regulation Sector <ul style="list-style-type: none"> ➤ Number of projects where information technology is applied to improve efficiency of the Department of Motor Traffic ➤ Number of vehicle emission test certificates issued per year 	√		√
Other <ul style="list-style-type: none"> ➤ Number of public awareness programmes on road safety 	√		
Ministry Key Performance Indicators <ul style="list-style-type: none"> ➤ Number of progress meetings held ➤ Number of projects being implemented to improve the efficiency of public transport service. ➤ Number of trainings given for capacity building of the officers. 	√		

<ul style="list-style-type: none"> ➤ Number of reports to be presented to the parliament annually. ➤ Percentage spent from the treasury provisions received to the ministry ➤ Number of audit and management committees held within year. ➤ Number of public complaints to which replies were provided (%) ➤ Number of reports presented monthly and quarterly to the relevant institute by the ministry. 	<p>√</p> <p>√</p> <p>√</p> <p>√</p>		<p>√</p>
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Chapter 05

Performance of the achieving Sustainable Development Goals (SDG)

5.1 Indicate the Identified respective Sustainable Developments Goals

Goal/Objective	Targets	Indicators of the achievement	Progress of the Achievement to date		
			0% - 49%	50% - 74%	75% - 100%
Ensure healthy life and promote welfare of all age groups	Reduce the number of injuries and deaths due to road accidents by 2030	Mortality			
Making cities and settlements perfect, safe, robust and sustainable	Increasing the safety of highways with special attention on vulnerable women, children, disabled persons and the elderly, providing sustainable transport system that is safe, affordable and accessible to everyone by expansion of public transport system significantly by year 2030.	1. Number of freight trains 2. Number of trains operated per day 3. Number of main railway projects 4. Daily bus passenger kilo meters 5. Number of SLTB depots based on the IT management 6. No. of Projects utilize the Information Technology for upgrading efficiency of the Department of Motor Traffic		√	√ √ √ √

5.2 Briefly explain the achievements and challenges of the Sustainable Development Goals

The Ministry of Transport Services Management is in the process of planning to establish an efficient transport service by 2030 based on the Sustainable Development Goals and a number of positive steps have already been taken for the same. Railway efficiency is improved through large projects such as the Matara Beliatta Railway Project, the Colombo suburbs railway project and the Kurunegala- Habarana Railway Project and the public transport will be strengthened through adding new train sets to the rolling stock. Department of Railways was able to operate many normal and intercity trains on main railway lines in year 2019 according to the instructions given by the ministry. Similarly, bus operations were

successfully conducted across the country with the support of all transport related organizations. The policy formulation, revision of the Acts, submission of Cabinet Memorandums and obtaining approval were done according to the timely need. There, Sisusariya, Gamisariya and Nisisariya bus operation, standardization of buses, provising training for bus staff were done, and many awareness programmes on road safety were conducted for bus owners during the last year.

The Ministry faces many challenges in implementing transport related projects. Difficulty in obtaining foreign investments, financial facilities particularly for large capital projects, strikes, labor disputes, land issues, political instability are the main reasons and the lack of research on transport also affects for this.

Chapter 06

Human Resource Profile

6.1 Cadre Management

	Approved Cadre	Existing Cadre	Vacancies / (Excess)**
Senior	33	26	7
Territory	5	5	0
Secondary	133	99	34
Primary	77	63	14

6.2 ** Briefly state how the shortage or excess in human resources has affected to the performance of the institute

Human resource shortage has a direct impact on the achievement of the ministry's vision and mission, and there is the possibility of extending the time required to achieve the desired objectives.

6.3 Human Resource Development

Name of the Program	No. of staff trained	Duration of the program	Total Investment (Rs'000)		Nature of the Program (Foreign /Local)	Output/ Knowledge Gained *
			Local	Foreign		
Regional Cooperation Program	01	03.03 – 03.18	-	160,290.77	Foreign	
ICAO Regional Facilitation Implementation Semminar	01	03.11 – 03.16	-	36,645.60	Foreign	
Annual Country Consultation with Asian Development Bank	01	03.13 – 03.17	-	525,400	Foreign	
Meeting of the SASEC nodal officials	01	03.17 – 03.20	-	-	Foreign	
Workshop on Towards of the Establishment of a Road Safety observatory in Asia	01	03.20 – 03.22	-	55,173	Foreign	
ERA Facilities to attend a presentation on WAM System Implementation	01	05.28 – 06.01	-	396,267.03	Foreign	

Expert Group Meeting on the Innovative and Integrated Intelligent Transport System (ITS) for the Development and Operation of Sustainable Transport System in Urban Areas	01	03.31 – 04.05	-	36,165.65	Foreign	
ICAO Air Transport Symposium & conference on International Air Transport Cooperation	01	05.07 – 05.11	-	36,264.66	Foreign	
Asia Pacific Railway Innovation Forum	02	05.20 – 05.22	-	138,463.78	Foreign	
75 th Session of the Economic and Social Commission for Asia and the Pacific	01	05.26 – 06.01	-	618,816	Foreign	
Green Freight Logistics and Development	01	05.19 – 06.02	-	100,698.29	Foreign	
Global NDC Conference 2019 inspiring action and enabling change	01	06.10 – 06.15	-	66,937.44	Foreign	
Sub regional workshop on ICT Co- development along passive infrastructure in South Asia	01	06.26 – 06.28	-	18,283	Foreign	
2019 South & Southeast Asia – Air improvements in the region Annual Meeting	02	07.29 – 08.02	-	522,354.51	Foreign	
European Capacity Building initiative Regional training workshop	01	07.30 – 08.03	-	21,900	Foreign	
Green climate fund global programming conference	01	08.17 – 08.25	-	67,131	Foreign	
Theme forum of 2019 Guangdong 21 st century maritime silk road international Expo	02	08.20 – 08.24	-	188,527.30	Foreign	
Third session of the Asia – Pacific information superhighway (AP-IS) Steering committee	01	08.25 - 08.30	-	10,475.52	Foreign	
Total planning of airport construction, management and maintenance	01	08.24 – 08.29	-	236,741.56	Foreign	
Seminar on China's development experience & reform and opening – up policies for Sri Lanka	01	09.02 – 10.02	-	221,720	Foreign	

The 40 th session of the international civil aviation organization (ICAO) assembly	02	09.22 – 10.07	-	1,974,191	Foreign	
Seminar on Building smart cities under belt & road initiatives	01	10.10 – 10.30	-	163,039.69	Foreign	
6 th meeting of SAARC Inter governmental group of transport	02	11.18 – 11.21	-	221,930.22	Foreign	
Seminar on transport infrastructure planning & development for Sri Lanka	03	12.10 – 12.23	-	406,071.69	Foreign	
Seminar on management of International Engineering projects for Sri Lanka	01	12.10 – 12.31	-	171,193.94	Foreign	
Diploma in Tamil	01	06 Months	16,500	-	Local	
Computer Hardware & PC maintenance	01	02 Days	13,000	-	Local	
Post – Graduate Course on public administration	01	02 Years	185,000	-	Local	
Certificate in public procurement management (CPPM)	02	05 Days	52,000	-	Local	
Public financial regulations	01	01 Day	18,000	-	Local	
Special workshop on SLPSAS	02	04 Days	32,000	-	Local	
Establishment procedure and office management course	03	04 Days	135,000	-	Local	
Advanced MS Excel	01	02 Days	13,000	-	Local	
Advanced MS Excel Skills	03	02 Days	39,000	-	Local	
Fundamentals of Computers	02	03 Days	36,000	-	Local	
I LETS	01		25,100	-	Local	
Total Investment			564,600	6,394,681.65		

* Briefly state how the training program contributed to the performance of the institution
The staff officers and other officers can perform the duties assigned to them in the ministry more accurately and efficiently through their participation for the training programmes. In addition, staff officers have been able to improve their efficiency and effectiveness by participating in foreign training programs and adapting the experience obtained for their duties. Similarly, officers are also motivated by foreign training and the knowledge and skills acquired through them have enabled the development of the transport sector. (The relevant certificates and reports have been submitted to the Ministry.)

Chapter 07

Compliance

No.	Applicable Requirement	Compliance Status (Complied/ Not Complied)	Brief explanation for Non Compliance	Corrective actions proposed to avoid non – compliance in future
1	The following Financial statements/accounts have been submitted on due date			
1.1	Annual financial statements	Complied		
1.2	Advance to public officers account	Complied		
1.3	Trading and Manufacturing Advance Accounts (Commercial Advance Accounts)	-		
1.4	Stores Advance Accounts	-		
1.5	Special Advance Accounts	-		
1.6	Others	-		
2	Maintenance of books and registers (FR445)			
2.1	Fixed assets register has been maintained and update in terms of public administration Circular 267/2018	Complied		
2.2	Personal emoluments register/Personal emoluments cards has been maintained and update	Complied		
2.3	Register of Audit queries has been maintained and update	Complied		
2.4	Register of Internal Audit reports has been maintained and update	Complied		
2.5	All the monthly account summaries (CIGAS) are prepared and submitted to the Treasury on due date	Complied		
2.6	Register for cheques and money orders has been maintained and update	Complied		
2.7	Inventory register has been maintained and update	Complied		
2.8	Stocks Register has been maintained and update	Complied		
2.9	Register of Losses has been maintained and update	Complied		
2.10	Commitment Register has been maintained and update	Complied		
2.11	Register of Counterfoil Books (GA –	Complied		

	N20) has been maintained and update			
3	Delegation of Functions for financial control (FR 135)			
3.1	The financial authority has been delegated within the institute	Complied		
3.2	The delegation of financial authority has been communicated within the institute	Complied		
3.3	The authority has been delegated in such manner so as to pass each transaction through two or more officers	Complied		
3.4	The controls has been adhered to by the Accounts in terms of State Account Circular 171/2004 dated 11.05.2014 in using the Government Payroll Software Package	Complied		
4	Preparation of Annual Plans			
4.1	The annual action plan has been prepared	Complied		
4.2	The annual procurement plan has been prepared	Complied		
4.3	The annual Internal Audit plan has been prepared	Complied		
4.4	The annual estimate has been prepared and submitted to the NBD on due date	Complied		
4.5	The annual cash flow has been submitted to the Treasury Operations Department on time	Complied		
5	Audit queries			
5.1	All the audit queries has been replied within the specified time by the Auditor General	Complied		
6	Internal Audit			
6.1	The internal audit plan has been prepared at the beginning of the year after consulting the Auditor General in terms of Financial Regulation 134(2) DMA/1-2019	Complied		
6.2	All the internal audit reports has been replied within one month	Complied		
6.3	Copies of all the internal audit reports has been submitted to the Management Audit Department in terms of Sub-section 40(4) of the National Audit Act No. 19 of 2018	Complied		
6.4	All the copies of internal audit reports has been submitted to the Auditor General in terms of Financial	Complied		

	Regulation 134(3)			
7	Audit and Management Committee			
7.1	Minimum 04 meetings of the Audit and Management Committee has been held during the year as per the DMA Circular 1-2019	Complied		
8	Asset Management			
8.1	The information about purchases of assets and disposals was submitted to the Comptroller General's Office in terms of Paragraph 07 of the Asset Management Circular No. 01/2017	Complied		
8.2	A suitable liaison officer was appointed to coordinate the implementation of the provisions of the circular and the details of the nominated officer was sent to the Comptroller General's Office in terms of Paragraph 13 of the aforesaid circular	Complied		
8.3	The boards of survey was conducted and the relevant reports submitted to the Auditor General on due date in terms of Public Finance Circular No. 05/2016	Complied		
8.4	The excesses and deficits that were disclosed through the board of survey and other relating recommendations, actions were carried out during the period specified in the circular	Complied		
8.5	The disposal of condemn articles had been carried out in terms of FR 772	Complied		
9	Vehicle Management			
9.1	The daily running charts and monthly summaries of the pool vehicles had been prepared and submitted to the Auditor General on due date	Complied		
9.2	The condemned vehicles had been disposed of within a period of less than 6 months after condemning	Complied		
9.3	The vehicle logbooks had been maintained and updated	Complied		
9.4	The action has been taken in terms of FR 103, 104, 109 and 110 with regard to every vehicle accident	Complied		
9.5	The fuel consumption of vehicles has been re-tested in terms of the provisions of Paragraph 3.1 of the Public Administration Circular No. 30/2016 of 29.12.2016	Complied		

9.6	The absolute ownership of the leased vehicle log books has been transferred after the lease term	Complied		
10	Management of Bank Accounts			
10.1	The bank reconciliation statements had been prepared, got certified and made ready for audit by the due date	Complied		
10.2	The dormant accounts that had existed in the year under review or since previous years settled	Complied		
10.3	The action had been taken in terms of Financial Regulations regarding balanced that had been reconciliation statements and for which adjustments had to be made, and had those balances been settled within one month	Complied		
11	Utilization of Provisions			
11.1	The provisions allocated had been spent without exceeding the limit	Complied		
11.2	The liabilities not exceeding the provisions that remained at the end of the year as per the FR 94(1)	Complied		
12	Advances to Public Officers Account			
12.1	The limits had been complied with	Complied		
12.2	A time analysis had been carried out on the loans in arrears	Complied		
12.3	The loan balances in arrears for over one year had been settled	Non Complied	Taking legal action	
13	General Deposit Account			
13.1	The action had been taken as per FR 571 in relation to disposal of lapsed deposits	Complied		
13.2	The control register for general deposits had been updated and maintained	Complied		
14	Imprest Account			
14.1	The balance in the cash book at the end of the year under review remitted to TOD	Complied		
14.2	The ad-hoc sub imprests issued as per FR 371 settled within one month from the completion of the task	Complied		
14.3	The ad-hoc sub imprests had not been issued exceeding the limit approved as per FR 371	Complied		
14.4	The balance of the imprest account had been reconciled with the Treasury	Complied		

	books monthly			
15	Revenue Account			
15.1	The refunds from the revenue had been made in terms of the regulations	Complied		
15.2	The revenue collection had been directly credited to the revenue account without credited to the deposit account	Complied		
15.3	Returns of arrears of revenue forward to the Auditor General in terms of FR 176	Complied		
16	Human Resource Management			
16.1	The staff had been paid within the approved cadre	Complied		
16.2	All members of the staff have been issued a duty list in writing	Complied		
16.3	All reports have been submitted to MSD in terms of their circular no. 04/2017 dated 20.09.2017	Complied		
17	Provision of information to the public			
17.1	An information officer has been appointed and a proper register of information is maintained and updated in terms of Right To Information Act and Regulation	Complied		
17.2	Information about the institution to the public have been provided by Website or alternative measures and has it been facilitated to appreciate/ allegation to public against the public authority by this website or alternative measures	Complied		
17.3	Bi-Annual and Annual reports have been submitted as per section 08 and 10 of the RTI Act	Non Complied	These reports are expected to be prepared and submitted in due course for the year 2019 according to the standard models introduced.	
18	Implementing citizens charter			
18.1	A citizens charter/ Citizens client's charter has been formulated and implemented by the Institution in terms of the circular number 05/2008 and 05/2018(1) of Ministry of Public Administration and Management	Non Complied	These activities have been delayed due to the change of the Ministry, the scope of the Ministry, the changes in the administration and staff and it is expected to carry out these activities in the future.	
18.2	A methodology has been devised by the Institution in order to monitor and assess the formulation and the implementation of Citizens Charter/ Citizens client's charter as per	Non Complied		

	paragraph 2.3 of the circular			
19	Preparation of the Human Resource Plan			
19.1	A human resource plan has been prepared in terms of the format in Annexure 02 of Public Administration Circular no. 02/2018 dated 24.01.2018	Non Complied	Due to the change of the Ministry, the scope of the Ministry, the changes in the administration and the staff, the activities of this circular have been delayed and action will be taken to perform the relevant actions in the future. However, staffs have been provided with the local and foreign training opportunities they are looking for.	
19.2	A minimum training opportunity of not less than 12 hours per year for each member of the staff has been ensured in the aforesaid Human Resource Plan	Non Complied		
19.3	Annual performance agreements have been signed for the entire staff based on the format in Annexure 01 of the aforesaid Circular	Non Complied		
19.4	A senior officer was appointed and assigned the responsibility of preparing the human resource development plan, organizing capacity building programs and conducting skill development programs as per paragraph no.6.5 of the aforesaid circular	Non Complied		
20	Responses Audit Paras			
20.1	The shortcomings pointed out in the audit paragraphs issued by the Auditor General for the previous years have been rectified	Complied		