



# **PERFORMANCE REPORT**

## **2019**

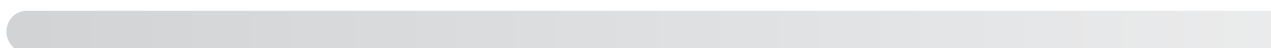
### **MINISTRY OF PORTS & SHIPPING AND SOUTHERN DEVELOPMENT**

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**ANNUAL PERFORMANCE REPORT FOR THE YEAR 2019****NAME OF THE INSTITUTE: MINISTRY OF PORTS AND  
SHIPPING AND SOUTHERN DEVELOPMENT****Expenditure Head No. 176**

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## CHAPTER 01 – INSTITUTIONAL PROFILE/ EXECUTIVE SUMMARY

### 1.1 Introduction

The Ministry of Ports & Shipping and Southern Development is assisting in making policy decisions, coordinating, through continuous progress review of Merchant Shipping Secretariat, Sri Lanka Ports Authority and Ceylon Shipping Corporation in the perception of reaching their goals in the context of achieving Sustainable Development Goals of economic development and human well-being in future.

The ports of Sri Lanka have the potential to be the best ports in the Indian Ocean. They should be developed to an international expected standard with modern facilities and features. If handle methodically and properly, Sri Lanka ports could be the best in South Asia and also be the best economic resources of the country. In addition better port logistics will help to increase trade, diversify exports, attract more foreign direct investments, and bloom up economic growth in the country.

In addition to that Southern development subject was assigned to the Ministry by the extraordinary Gazette No. 2103/33 dated 28.12.2018. Accordingly, 3 development programmes (Sinharaja Development Programme, Southern development programme and the Projects identified by the Southern Development Interim Board) were implemented by the ministry for the development of lagging areas of the Galle, Matara, Hambanthota districts of the Southern Province and Kaluthara, Monaragala and Rathnapura districts.

### 1.2 Vision, Mission, Objectives of the Institution

#### **Vision**

“Provision of high quality and user-friendly Marine services by developing Sri Lanka as the most competitive hub of maritime activities in the South Asian Region”

#### **Mission**

“Formulation of a more appropriate policy framework and an efficient mechanism that will lead to the provision of competitive and qualitative port and shipping services in order to fulfill the local and international requirements in the field of maritime activities for national economic development”

#### **Objectives**

To act as a facilitator, policy formulator, coordinator and progress reviewer of the development activities implemented by the institutions comes under the purview of the ministry in order to achieve its ultimate vision and mission.

**Duties assigned by the by the Extraordinary Gazette No. 2103/33 dated 28.12.2018**

1. Formulation of policies, programmes and projects implementation, monitoring and evaluation in relation to the subject of ports and shipping and southern development, and those subjects that come under the purview of Departments and statutory institutions and public corporation listed below
  - Sri Lanka Ports Authority and its subsidiaries and associates
  - Ceylon Shipping Corporation Ltd. and its subsidiaries and associates
  - Merchant Shipping Secretariat
2. Development and management of commercial harbours
3. Development and administration of port oil installations, light houses and beacons, other than those belonging to admiralty
4. Arbitration of disputes between shipping service providers and users
5. Establishment of rules of competition for shipping services
6. Assist in establishing consultative coordination between shipping service providers and users
7. Receiving wrecks and ocean salvages
8. Administration of shipping development fund
9. Freight and shipping services
10. Coastal wise passenger traffic
11. Coordination of economic and social development programmes and projects for strengthening southern economic region, including promotion of industries
12. Implementing of
  - Ceylon Shipping Corporation Act , No. 11 of 1971
  - Graving Dock And Patent Ship Audience, No. 5 of 1908
  - Licensing of Shipping Agents Act, No. 10 of 1972
  - Masters Attendant Audience, No. 6 of 1865
  - Merchant Shipping Act, No. 52 of 1971
  - Sri Lanka Ports Authority Act, no. 51 of 1979
  - Boat Audience, No. 4 of 1900
  - All other legislation pertaining to the subjects specified and not specifically brought under the purview of any other Minister

**1.3 Key Functions**

- Formulation of policies in related to the affiliated agencies
- Coordinate, Monitor and Supervise programmes and project carried out by the institutions coming under the purview of the Ministry.
- Introducing the legal framework required for smooth functions of the institutions coming under the Ministry
- Introducing new guidelines to provide better services to the users of Ports and Shipping.
- Formulate an effective mechanism for implementation of National Policies on Ports and Shipping sectors.

- Make available a highly effective and business friendly regulatory and monitoring system for service suppliers such as shipping agents, container depot operations, travel agents and others.

#### **1.4 Organizational Chart**

##### **Annexure A**

#### **1.5 Departments under the Ministry/ Main Divisions of the Department/ Divisional Secretariats of the District Secretariat**

Not applicable.

#### **1.6 Institutions/ Funds coming under the Ministry / Department/ Provincial Council**

- II. Merchant Shipping Secretariat
- III. Sri Lanka Ports Authority
- IV. Ceylon Shipping Corporation
- V. Southern Development Interim Board

#### **1.7 Details of the Foreign Funded Projects (if any)**

- i) a) Name of the Project: Rehabilitation of Kankasanthurai Port  
 b) Donor Agency: Export- Import (Exim) Bank, India  
 c) Estimated Cost of the Project – USD Mn. 45.27  
 d) Project Duration: 39 months
- ii) a) Name of the Project: Development of Port Nautical activities of Port of Trincomalee for night navigation  
 b) Donor Agency: JICS, Japan  
 c) Estimated Cost of the Project – Rs. Mn. 1580.0 (JPY Bn. 1.0)  
 d) Project Duration: 24 months
- iii) a. Name of the Project: Construction of Proposed workshop Complex for Sri Lanka Ports Authority  
 b) Donor Agency: ADB  
 c) Estimated Cost of the Project – Rs. Mn. 610.0  
 d) Project Duration: 09 months
- iv) a) Name of the Project: Feasibility study for North Port Development  
 b) Donor Agency: ADB  
 c) Estimated Cost of the Project – USD 3.6 Million  
 d) Project Duration: 15 months

## CHAPTER 02 – PROGRESS AND THE FUTURE OUTLOOK

**Briefly explain Special Achievements, challenges and future goals**

### **Achievements**

- Submission of 58 “Cabinet Memorandums” and 04 “Note to the Cabinet” in different extents
- Conducting Progress review meetings to identify the prevailing issues in project implementation
- Discussions were held for “Preparation of Port and Maritime Policy” with the participation of all stakeholders

### **Challenges**

- Delay in policy making decisions
- Issues related to the implementation of projects/ decisions

### **Future Goals**

- Provide better service to overcome the issues for the institutions comes under the pervuew of the ministry
- Motivate the institutions to complete the targets set at the beginning of the year
- Identify the targets for Sustainable Development Goals and implement the programmes / projects to achieve them
- Contribute to upgrading the present position of the Port of Colombo in global index
- Increase the involvement of the other regional ports to the cargo cum passenger transportation

## CHAPTER 03 – OVERALL FINANCIAL PERFORMANCE FOR THE YEAR ENDED 31<sup>ST</sup> DECEMBER 2019

### 3.1 Statement of Financial Performance

| Budget (2019)   |                                                                    | Note | Actual        |                 |                            |
|-----------------|--------------------------------------------------------------------|------|---------------|-----------------|----------------------------|
|                 |                                                                    |      | Current Year  | Previous Year   |                            |
| 0               | <b>Revenue Receipts</b>                                            |      | 0             | 0               | } ACA - 1                  |
| 0               | Income Tax                                                         | 1    | 0             | 0               |                            |
| 0               | Taxes on Domestic Goods & Services                                 | 2    | 0             | 0               |                            |
| 0               | Taxes on International Trade                                       | 3    | 0             | 0               |                            |
| 0               | Non-Tax Revenue & Others                                           | 4    | 0             | 0               |                            |
| 0               | <b>Total Revenue Receipts (A)</b>                                  |      | 0             | 0               |                            |
| 0               | <b>Non-Revenue Receipts</b>                                        |      |               |                 |                            |
| 0               | Treasury Imprests                                                  |      | 1,829,842,000 | 504,658,113     | ACA - 3                    |
| 0               | Deposits                                                           |      | 5,000,692     | 10,814,419      | ACA - 4                    |
| 0               | Advanced Accounts                                                  |      | 5,835,730     | 6,386,588       | ACA-5/5 (a)                |
| 0               | Other Receipts                                                     |      | 4,330,076     | 5,947,324       |                            |
| 0               | <b>Total Non-Revenue Receipts (B)</b>                              |      | 1,845,008,497 | 527,806,444     |                            |
| 0               | <b>Total Revenue Receipts &amp; Non-Revenue Receipts C=(A)+(B)</b> |      | 1,845,008,497 | 527,806,444     |                            |
|                 | <b>Less: Expenditure</b>                                           |      |               |                 |                            |
| 0               | <b>Recurrent Expenditure</b>                                       |      |               |                 | } ACA-2(II)                |
| 114,237,000     | Wages, Salaries & Other Employment Benefits                        | 5    | 113,456,529   | 104,175,646     |                            |
| 88,247,000      | Other Goods & Services                                             | 6    | 83,931,503    | 80,656,458      |                            |
| 667,002,000     | Subsidies, Grants and Transfers                                    | 7    | 640,522,492   | 1,349,076,443   |                            |
| 0               | Interest Payments                                                  | 8    | 0             | 0               |                            |
| 100,000         | Other Recurrent Expenditure                                        | 9    | 65,730        | 0               |                            |
| 869,586,000     | <b>Total Recurrent Expenditure (D)</b>                             |      | 837,976,254   | 1,533,908,547   |                            |
|                 | <b>Capital Expenditure</b>                                         |      |               |                 | } ACA 2(II)                |
| 8,150,000       | Rehabilitation & Improvement of Capital Assets                     | 10   | 7,010,657     | 9,610,163       |                            |
| 6,000,000       | Acquisition of Capital Assets                                      | 11   | 2,421,885     | 3,610,332       |                            |
| 300,000,000     | Capital Transfers                                                  | 12   | 15,612,840    | 0               |                            |
| 69,000,000      | Acquisition of Financial Assets                                    | 13   | 0             | 0               |                            |
| 4,500,000       | Capacity Building                                                  | 14   | 4,084,653     | 0               |                            |
| 2,726,140,000   | Other Capital Expenditure                                          | 15   | 1,514,421,531 | 0               |                            |
| 3,113,790,000   | <b>Total Capital Expenditure(E)</b>                                |      | 1,543,551,566 | 13,220,495      |                            |
|                 | <b>Main Ledger Expenditure (F)</b>                                 |      | 11,679,128    | 18,992,138      | } ACA - 4<br>ACA - 5/5 (a) |
|                 | Deposit Payments                                                   |      | 5,000,667     | 10,814,419      |                            |
|                 | Advance Payments                                                   |      | 6,678,461     | 8,177,719       |                            |
| 3,983,376,000   | <b>Total Expenditure G=(D+E+F)</b>                                 |      | 2,393,206,948 | 1,566,121,180   |                            |
| (3,983,376,000) | <b>Imprests Balance as at 31<sup>st</sup> December H= (C -G)</b>   |      | (548,198,451) | (1,038,314,736) |                            |



## 3.3 Statement of Financial Position

Statement of Financial Position  
As at 31<sup>st</sup> December 2019

ACA - P

|                             | Note          | Actual             |                    |
|-----------------------------|---------------|--------------------|--------------------|
|                             |               | Year (019 Rs.      | Year 2018) Rs.     |
| <b>Non-Financial Assets</b> |               |                    |                    |
| Property, Plant & Equipment | ACA - 6       | 290,823,137        | 270,185,871        |
| Capital Assets - WIP        |               | 33,802,055         | 0                  |
| <b>Financial Assets</b>     |               |                    |                    |
| Advance Accounts            | ACA - 5/5 (a) | 17,096,074         | 16,253,343         |
| Cash & Cash Equivalents     | ACA - 3       | 0                  | 0                  |
| <b>Total Assets</b>         |               | <b>341,721,266</b> | <b>286,439,214</b> |
| <b>Net Assets/ Equity</b>   |               |                    |                    |
| Net Worth to Treasury       |               | 16,636,483         | 16,253,343         |
| Property, Plant & Equipment |               | 290,823,137        | 270,185,871        |
| Reserve                     |               | 33,802,055         | 0                  |
| Rent and Work Advance       |               |                    |                    |
| Reserve                     | ACA - 5 (b)   |                    |                    |
| <b>Current Liabilities</b>  |               |                    |                    |
| Deposits Accounts           | ACA - 4       | 25                 | 0                  |
| Imprest Balance             | ACA - 3       | 459,566            | 0                  |
| <b>Total Liabilities</b>    |               | <b>341,721,266</b> | <b>286,439,214</b> |

Details Accounting Statements in above ACA format Nos. 1 to 6 presented in pages from .....117..... to ...118..... and Notes to accounts presented in pages from ...119..... to ...120..... from and integral parts of these Financial Statements. The Financial Statements have been prepared in complying with the Generally Accepted Accounting Principles whereas most appropriate Accounting Policies are used as disclosed in the Notes to the Financial Statements and hereby certify that figures in these Financial Statements, Notes to accounts and other relevant accounts were reconciled with the Treasury books of Accounts and found to in agreement.


  
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**Chief Accounting Officer**
**Name****Designation****Date** 30.06.2020

M. M. P. R. Mayadunne  
Secretary  
Ministry of Ports & Shipping  
No. 19, Chaithya Road,  
Colombo 01, Sri Lanka.

  
-----
   
**Accounting Officer**
**Name****Designation****Date**

  
-----
   
**Chief Financial Officer/**
**Chief Accountant/****Director (Finance)/****Commissioner (Finance)****Name****Designation****Date** 30.06.2020

W. S. Pushparani  
Chief Financial Officer  
Ministry of Ports & Shipping  
No. 19, Chaithya Road,  
Colombo 01.



## 3.4 Statement of Cash Flows

**Statement of Cash Flows**  
**For the Period ended 31<sup>st</sup> December 2019**

ACA - C

|                                                                                      | Actual                     |                             |
|--------------------------------------------------------------------------------------|----------------------------|-----------------------------|
|                                                                                      | Current Year<br>(2019) Rs. | Previous Year<br>(2018) Rs. |
| <b>Cash Flows from Operating Activities</b>                                          |                            |                             |
| Total Tax Receipts                                                                   | -                          | -                           |
| Fees, Fines, Penalties and Licenses                                                  | -                          | -                           |
| Profit                                                                               | -                          | -                           |
| Non-Revenue Receipts                                                                 |                            |                             |
| Imprest Received                                                                     | 1,829,842,000              | 504,658,113                 |
| Deposits Received                                                                    | 5,000,692                  | 10,814,419                  |
| Other Receipts                                                                       | 4,330,076                  | 5,947,324                   |
| <b>Total Cash generated from Operations (a)</b>                                      | <b>1,839,172,767</b>       | <b>521,419,856</b>          |
| <b><u>Less – Cash Disbursed for:</u></b>                                             |                            |                             |
| Personal Emoluments & Operating Payments                                             | 207,517,894                | 195,937,755                 |
| Deposit Payments                                                                     | 5,000,667                  | 10,814,419                  |
| Subsidies & Transfer Payments                                                        | 640,522,492                | 309,824,025                 |
| Expenditure on Other Heads                                                           | 13,587,473                 | -                           |
| Finance Costs – Imprest Settlement to Treasury                                       | 51,094                     | 164,361                     |
| <b>Total Cash disbursed for Operations (b)</b>                                       | <b>866,679,620</b>         | <b>516,740,560</b>          |
| <b>NET CASH FLOW FROM OPERATING ACTIVITIES<br/>(c)=(a)-(b)</b>                       | <b>972,493,148</b>         | <b>4,679,296</b>            |
| <b>Cash flows from Investing Activities</b>                                          |                            |                             |
| Interest                                                                             | -                          | -                           |
| Dividends                                                                            | -                          | -                           |
| Divestiture Proceeds & Sale of Physical Assets                                       | -                          | -                           |
| Recoveries from on lending                                                           | 4,223,917                  | 7,088,456                   |
| Resettlement of advanced payments                                                    |                            |                             |
| <b>Total Cash generated from investing Activities (d)</b>                            | <b>4,223,917</b>           | <b>7,088,456</b>            |
| <b><u>Less: Cash disbursed for:</u></b>                                              |                            |                             |
| Purchase or Construction of Physical Assets & Acquisition of other Investment        | 971,708,210                | 3,610,332                   |
| Advance Payments                                                                     | 4,549,288                  | 8,166,219                   |
| <b>Total cash disbursed for investing activities (e)</b>                             | <b>976,257,498</b>         | <b>11,776,551</b>           |
| <b>NET CASH FLOW FROM INVESTING ACTIVITIES<br/>(F) = (d) – (e)</b>                   | <b>(972,033,582)</b>       | <b>(4,688,095)</b>          |
| <b>NET CASH FLOWS FROM OPERATING &amp;<br/>INVESTMENT ACTIVITIES (g) = (c) + (f)</b> | <b>459,566</b>             | <b>(8,800)</b>              |
| <b>Cash flows from Financing Activities</b>                                          |                            |                             |
| Local Borrowings                                                                     | -                          | -                           |
| Foreign Borrowings                                                                   | -                          | -                           |
| Grants Received                                                                      | -                          | -                           |

|                                                                  |                |              |
|------------------------------------------------------------------|----------------|--------------|
| <b>Total Cash generated from Financing Activities (h)</b>        | -              | -            |
| <b><u>Less- Cash disbursed for:</u></b>                          |                |              |
| Repayment of local borrowings                                    | -              | -            |
| Repayment of foreign borrowings                                  | -              | -            |
| Change in Deposit Accounts and other liabilities                 | -              | -            |
| <b>Total cash disbursed for Financing Activities (i)</b>         | -              | -            |
| <b>NET CASH FLOW FROM FINANCING ACTIVITIES (j)<br/>=(h)– (i)</b> | -              | -            |
| <b>Net Movement in cash (k) = (g) – (j)</b>                      | <b>459,566</b> | <b>8,800</b> |
| <b>Opening Cash balance as at 01<sup>st</sup> January</b>        | -              | -            |
| <b>Closing cash balance as at 31<sup>st</sup> December</b>       | -              | -            |

### 3.5 Notes to the Financial Statements

#### 1. Reporting Period

The reporting period for these Financial Statements is from 01<sup>st</sup> January to 31<sup>st</sup> December 2019.

#### 2. Basis of Measurement

The Financial Statements have been prepared on historical cost modified by the revaluation of certain assets and accounted on a modified cash basis, unless otherwise specified.

The figures of the Financial Statements are presented in Sri Lankan rupees rounded to the nearest rupee.

#### 3. Recognition of revenue

Exchange and non-exchange revenues are recognized on the cash receipts during the accounting period irrespective of relevant revenue period.

#### 4. Recognition and Measurement of Property, Plant and Equipment (PP&E)

An item of Property, Plant and Equipment is recognized when it is probable that future economic benefit associated with the assets will flow to the entity and the cost of the assets can be reliably measured.

PP&E are measured at a cost and revaluation model is applied when cost model is not applicable.

#### 5. Property, Plant and Equipment Reserve

This revaluation reserve account is the corresponding account of PP&E.

#### 6. Cash and Cash Equivalents

Cash & cash equivalents include local currency notes and coins on hand as at 31<sup>st</sup> December 2019

**3.7 Performance of the Utilization of Allocation**

Rs. , 000

| Type of Allocation | Allocation |           | Actual Expenditure | Allocation utilization as a % of Final Allocation |
|--------------------|------------|-----------|--------------------|---------------------------------------------------|
|                    | Original   | Final     |                    |                                                   |
| Recurrent          | 859,000    | 869,586   | 837,976            | 96.36                                             |
| Capital            | 3,004,600  | 3,113,790 | 1,543,552          | 49.57                                             |

**3.8 In terms of FR 208 grant of allocation for expenditure to this Department/District Secretariat/ Provincial Council as an agent of the other Ministries/ Departments**

Rs., 000

| Serial No | Allocation received from which Ministry/ Department | Purpose of the allocation | Allocation |       | Actual Expenditure | Allocation utilization as a % of final Allocation |
|-----------|-----------------------------------------------------|---------------------------|------------|-------|--------------------|---------------------------------------------------|
|           |                                                     |                           | Original   | Final |                    |                                                   |
|           | Not applicable                                      |                           |            |       |                    |                                                   |

**3.9 Performance of the Reporting of Non-Financial Assets**

Rs., 000

| Assets Code | Code description        | Balance as per Board of Survey Report as at 31.12.2019 | Balance as per financial position report as at 31.12.2019 | Yet to be accounted | Reporting progress as % |
|-------------|-------------------------|--------------------------------------------------------|-----------------------------------------------------------|---------------------|-------------------------|
| 9151        | Building and Structures |                                                        | -                                                         | -                   |                         |
| 9152        | Machinery and Equipment |                                                        | 290,823                                                   | 290,823             |                         |
| 9153        | Land                    |                                                        | -                                                         | -                   |                         |
| 9154        | Intangible Assets       |                                                        | -                                                         | -                   |                         |
| 9155        | Biological Assets       |                                                        | -                                                         | -                   |                         |
| 9160        | Work in Progress        |                                                        | 33,802                                                    | 33,802              |                         |
| 9180        | Lease Assets            |                                                        | -                                                         | -                   |                         |

**3.10 Auditor General's Report  
Annexure I**

## CHAPTER 04 – PERFORMANCE INDICATORS

### 4.1 Performance indicators of the Institute (Based on the Action Plan)

| Specific Indicators                                                                                                                        | Actual output as a percentage (%) of the expected output |          |          |
|--------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|----------|----------|
|                                                                                                                                            | 100 - 90%                                                | 75 - 89% | 50 - 74% |
| Conducting 04 nos. of quarterly progress review meetings                                                                                   | √                                                        |          |          |
| Submission of progress reports on due date                                                                                                 | √                                                        |          |          |
| Preparation of Annual Action Plan, Procurement Plan and Audit Plan                                                                         | √                                                        |          |          |
| Preparation of Performance report in tri-language & submission to the Parliament with the approval of the Cabinet of Ministers on due date |                                                          | √        |          |
| Submission of the Progress Report in tri language for the Committee Stage of the Budget discussion                                         | √                                                        |          |          |
| Submission of cabinet memorandum to the Cabinet of Ministers in tri-language for the approval                                              | √                                                        |          |          |
| Submission of observations for the Cabinet Memorandums on due date                                                                         | √                                                        |          |          |
| Coordination among institutions and other line ministries when necessary                                                                   | √                                                        |          |          |
| Handling of Cabinet and Ministry level procurements                                                                                        | √                                                        |          |          |
| Conducting 04 nos. (quarterly) of Audit and Management Committee meetings                                                                  | √                                                        |          |          |
| Conducting internal Audits – Ministry and affiliated agencies                                                                              | √                                                        |          |          |
| Responding to the Audit queries                                                                                                            | √                                                        |          |          |
| Submission of monthly, quarterly and annual reports to the relevant agencies                                                               | √                                                        |          |          |
| Preparation of Budget estimates                                                                                                            | √                                                        |          |          |
| Maintenance of fixed asset register                                                                                                        | √                                                        |          |          |
| Conduction of Board of Survey and reporting                                                                                                | √                                                        |          |          |
| Supply management                                                                                                                          | √                                                        |          |          |
| Preparation of Cash forecast                                                                                                               | √                                                        |          |          |
| Payments (Salary & wages, OT, Loans)                                                                                                       | √                                                        |          |          |
| Responding to the Parliamentary questions                                                                                                  | √                                                        |          |          |
| Legal Matters related to the institutions under the purview of the Ministry                                                                | √                                                        |          |          |
| Maintenance of Vehicle                                                                                                                     | √                                                        |          |          |
| Administrative matters of the institutions                                                                                                 | √                                                        |          |          |
| Training and scholarship                                                                                                                   | √                                                        |          |          |
| Employees welfare                                                                                                                          | √                                                        |          |          |
| Participation for Human Rights committee, Public Petition committee, Parliamentary Consultative Committee and COPE                         | √                                                        |          |          |

## CHAPTER 05 – PERFORMANCE OF THE ACHIEVING SUSTAINABLE DEVELOPMENT GOALS (SDG)

### 5.1 Indicate the Identified respective Sustainable Development Goals

| Goal / Objective                                                                                         | Targets                                                                                                                   | Indicators of the achievement                                      | Progress of the Achievement to date |          |           |
|----------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------|-------------------------------------|----------|-----------|
|                                                                                                          |                                                                                                                           |                                                                    | 0 - 49%                             | 50 - 74% | 75 - 100% |
| End Poverty in all its Forms Everywhere                                                                  | To facilitate the affiliated agencies for policy development                                                              | No. of policy concepts developed                                   |                                     |          | √         |
| Strengthen the means of implementation and revitalize the global partnership for sustainable development | provide better facilities for the stakeholders of the port and maritime sector                                            | Developing Port and Maritime policy                                |                                     |          | √         |
|                                                                                                          | Seafarer registration                                                                                                     | No of Seafarers registered                                         |                                     |          | √         |
| Ensure inclusive and equity quality education and promote lifelong learning opportunities for all        | Training for cadet sea farers                                                                                             | No of cadets trained                                               |                                     |          | √         |
|                                                                                                          | Providing training opportunities for the young school leavers at Mahapola Training institute of Sri Lanka Ports Authority | No. of training opportunities provided in port and maritime sector |                                     | √        |           |
| Build resilient infrastructure, promote inclusive and sustainable industrialization foster innovation    | Rehabilitation of rural road network                                                                                      | No. of kilometers rehabilitated                                    |                                     |          | √         |
|                                                                                                          |                                                                                                                           | No. of beneficiaries                                               |                                     |          | √         |

### 5.2 Briefly explain the achievements and challenges of the Sustainable Development Goals

- Although the activities to achieve the Sustainable Development Goals are implementing, statistics/data are not available for the calculation of achievement/ implementing progress.
- Trainings/ workshop are needed based on sector.
- Key performance indicators are not developed to measure the output/ outcome

## 06 CHAPTER 06 – HUMAN RESOURCE PROFILE

### 6.1 Cadre Management

|           | Approved Card | Existing Cadre | Vacancies / (Excess)** |
|-----------|---------------|----------------|------------------------|
| Senior    | 16            | 15             | 01                     |
| Territory | 03            | 02             | 01                     |
| Secondary | 34            | 33             | 01                     |
| Primary   | 25            | 20             | 05                     |

### 6.2 Briefly state how the shortage or excess in human resources has been affected to the performance of the institute

It is very important to take swift action to fill above essential vacancies as they directly affect the overall performance of the organization.

### 6.3 Human Resource Development

#### Foreign

| Name of the Program                                                                                    | No. of Staff trained | Duration of the program | Total Investment (Rs. 000)                             |         | Nature of the Program (Abroad/ Local) | Output/ knowledge gained*                                                                              |
|--------------------------------------------------------------------------------------------------------|----------------------|-------------------------|--------------------------------------------------------|---------|---------------------------------------|--------------------------------------------------------------------------------------------------------|
|                                                                                                        |                      |                         | Local                                                  | Foreign |                                       |                                                                                                        |
| Workshop on Maritime Cooperation in SASEC                                                              | 1                    | 03 Days                 | 14.823 (USD 80)                                        |         | Abroad (Thailand)                     | *Gained the knowledge of findings of the preliminary Study to identified key issues in Maritime Sector |
| Study Tour Program -2019                                                                               | 1                    | 07 Days                 | 43.851 (USD 240)                                       |         | Abroad (South Korea)                  | * Capacity Development Programme                                                                       |
| Meeting of the South Asia Sub regional Economic Cooperation (SASEC) Nodal officials and Working Groups | 1                    | 04 Days                 | 29.465 (USD 160) (Warm Cloths Allowance Rs 17,907)     |         | Abroad (South Korea)                  | *Got the knowledge of progress of ongoing and planned projects in the ASEC                             |
| Regarding the Training Program for Capacity Building of Policymakers for the Development of Ocean      | 2                    | 8 Days                  | 116.370 (USD 640) (Warm Cloths Allowance Rs 17,810/25) |         | Abroad (South Korea)                  | *Gain the Sound knowledge Capacity Building as Policy makers in Sri Lanka                              |

| Name of the Program                                                                             | No. of Staff trained | Duration of the program | Total Investment (Rs. 000)                                                               |         | Nature of the Program (Abroad/ Local) | Output/ knowledge gained*                                                                                  |
|-------------------------------------------------------------------------------------------------|----------------------|-------------------------|------------------------------------------------------------------------------------------|---------|---------------------------------------|------------------------------------------------------------------------------------------------------------|
|                                                                                                 |                      |                         | Local                                                                                    | Foreign |                                       |                                                                                                            |
| Invention to the 12th Leader in Governance Programme                                            | 1                    | 10 Days                 | 228.876 (USD 1,265/65)                                                                   |         | Abroad (Singapore)                    | *Singapore's Experience Governance in Public Governance to Studied public policy priorities and challenges |
| International Programme on Best Practices in Human Resource Management And Administration- 2019 | 1                    | 9 Days                  | 600.107 (USD 3,330)                                                                      |         | Abroad (Malaysia)                     | *Practiced in H.R.M and administrative Knowledge                                                           |
| Diploma in Public Procurement and Contract Administration (DIPPCA)                              | 3                    | 10 Days                 | 910.389 (USD 5,044)                                                                      |         | Abroad (United Kingdom)               | *Gained Knowledge in Public Procurement procedure                                                          |
| Public Policy Development and Public Engagement Program- 2019                                   | 1                    | 05 Days                 | 51.33895 (USD 280)                                                                       |         | Abroad (Singapore)                    | *Identified the public policy Development method is public Engagement Programme                            |
| Seminar on Infrastructure Planning & Construction for Sri Lanka.                                | 1                    | 20 Days                 | 169.521 (USD 920) (Warm Cloths Allowance Rs. 16,236)                                     |         | Abroad (China)                        | *Understand and Studied infrastructure Planning & Construction                                             |
| China's Development Experience in its Reform and Opening up for Sri Lanka                       | 1                    | 32 Days                 | 221.721 (USD 1,200) (Warm Cloths Allowance Rs. 16,515/75)                                |         | Abroad (China)                        | *Identified the China's Development Experience and method                                                  |
| Capacity Building on Environment and Social Safe Guard and Gender Awareness                     | 1                    | 07 Days                 | 51.848 (USD 280) (Warm Cloths Allowance Rs. 16,950) (Southern Development Interim Board) |         | Abroad (China)                        | *Got knowledge of function of a Free Trade Zone and its benefits to the investors                          |

**Local**

| Name of the Program                                                                              | No. of Staff trained | Duration of the program | Total Investment (Rs. 000) |         | Nature of the Program (Abroad/ Local) | Output/ knowledge gained*                                                           |
|--------------------------------------------------------------------------------------------------|----------------------|-------------------------|----------------------------|---------|---------------------------------------|-------------------------------------------------------------------------------------|
|                                                                                                  |                      |                         | Local                      | Foreign |                                       |                                                                                     |
| CIGAS                                                                                            | 4                    | 03 days                 | fee exemption              |         | Local                                 | Knowledge of computerized data/ information systems in government accounting        |
| Training Program for Public Officers on the provisions of the Establishments Code                | 9                    | 2 days                  | fee exemption              |         | Local                                 | Obtaining knowledge on leave, salaries, transfers, etc.                             |
| Enhance the ICT competency of public sector officers project Microsoft specialist (MOS) training | 3                    | 08 days                 | fee exemption              |         | Local                                 | Knowledge on Ms Power point Ms Excel Ms word software                               |
| Diploma in public procurement and contract administration                                        | 3                    | 40 weeks                | 30.0                       |         | Local                                 | Knowledge on Procurement process                                                    |
| Role and responsibility of account clerks                                                        | 2                    | 01 day                  | 6.0                        |         | Local                                 | Knowledge of accounting process                                                     |
| Filing system                                                                                    | 3                    | 01 day                  | 9.0                        |         | Local                                 | Knowledge of maintaining office files                                               |
| Four day workshop on Procurement Process in Public Financial Management to create experts        | 1                    | 04 days                 | 13.5                       |         | Local                                 | improving of knowledge on Procurement process                                       |
| Certificate Course Secretariat practice                                                          | 1                    | 06 months               | 28.7                       |         | Local                                 | Knowledge of the role of private secretaries                                        |
| Training Program for Public Officers on the provisions of the Establishments Code – Stage II     | 3                    | 02 days                 | fee exemption              |         | Local                                 | Knowledge of the chapters of the Establishments Code required to perform the duties |
| Awareness Programme on Drug Prevention                                                           | 1                    | 02 days                 | fee exemption              |         | Local                                 | Knowledge of drug prevention                                                        |



| Name of the Program                                                          | No. of Staff trained | Duration of the programme | Total Investment (Rs. 000) |         | Nature of the Program (Abroad/ Local) | Output/ knowledge gained*                                             |
|------------------------------------------------------------------------------|----------------------|---------------------------|----------------------------|---------|---------------------------------------|-----------------------------------------------------------------------|
|                                                                              |                      |                           | Local                      | Foreign |                                       |                                                                       |
| Capacity Building on Environment and Social Safe Guard and Gender Awareness  | 1                    | 01 day                    | fee exemption              |         | Local                                 | Awareness of personality development                                  |
| Workshop on Efficiency Bar Examination II for Development Officers -Grade II | 3                    | 02 days                   | 21.6                       |         | Local                                 | Develop knowledge related to the efficiency bar exam                  |
| Lecture on changing role of the Public Servant of the Public Sector          | 2                    | 01 day                    | fee exemption              |         | Local                                 | Knowledge on changing role of the Public Servant of the Public Sector |
| Workshop on including Sustainable Development Goals into Ministry Plans      | 4                    | 01 day                    | fee exemption              |         | Local                                 | Awareness on Sustainable Development Goals                            |
| Training Program on Preparation of Annual Account Reports                    | 2                    | 01 day                    | 8.0                        |         | Local                                 | Knowledge on preparation of Annual Account Reports                    |
| Lecture on Stress free Employee                                              | 4                    | 01 day                    | fee exemption              |         | Local                                 | Delivery of office work without stress                                |

\* Briefly state how the training program contributed to the performance of the institution

- Training programs provide the opportunity to acquire present and updated knowledge and make good use of it for official purposes.
- \* Knowledge and experience gained through local and overseas trainings, skills development and helps to make the correct decisions when needed.
- \* It also provides an efficient service to the organization and results in better results.

## CHAPTER 07 – COMPLIANCE REPORT

| No.      | Applicable Requirement                                                                                   | Compliance status (Complied/ Not Complied) | Brief explanation for Noncompliance | Corrective actions proposed to avoid non-compliance in future |
|----------|----------------------------------------------------------------------------------------------------------|--------------------------------------------|-------------------------------------|---------------------------------------------------------------|
| <b>1</b> | <b>The following financial statements/ accounts have been submitted on due date</b>                      |                                            |                                     |                                                               |
| 1.1      | Annual financial statements                                                                              | Complied                                   |                                     |                                                               |
| 1.2      | Advance to public officers account                                                                       | Complied                                   |                                     |                                                               |
| 1.3      | Trading and Manufacturing advance accounts (Commercial Advance Accounts)                                 | Not applicable                             |                                     |                                                               |
| 1.4      | Stores Advance Accounts                                                                                  | Not applicable                             |                                     |                                                               |
| 1.5      | Special Advance Accounts                                                                                 | Not applicable                             |                                     |                                                               |
| 1.6      | Others                                                                                                   | Not applicable                             |                                     |                                                               |
| <b>2</b> | <b>Maintenance of books and registers (FR 445)</b>                                                       |                                            |                                     |                                                               |
| 2.1      | Fixed assets register has been maintained and update in terms of Public Administration Circular 267/2018 | Complied                                   |                                     |                                                               |
| 2.2      | Personal emoluments register/ personal emoluments cards have been maintained and update                  | Complied                                   |                                     |                                                               |
| 2.3      | Register of Audit queries has been maintained and update                                                 | Complied                                   |                                     |                                                               |
| 2.4      | Register of Internal Audit reports has been maintained and update                                        | Complied                                   |                                     |                                                               |
| 2.5      | All the monthly accounts summaries (CIGAS) are prepared and submitted to the treasury on due date        | Complied                                   |                                     |                                                               |
| 2.6      | Register for cheques and money orders has been maintained and update                                     | Complied                                   |                                     |                                                               |
| 2.7      | Inventory register has been maintained and update                                                        | Complied                                   |                                     |                                                               |
| 2.8      | Stocks registers has been maintained and update                                                          | Complied                                   |                                     |                                                               |
| 2.9      | Register of losses has been maintained and update                                                        | Complied                                   |                                     |                                                               |
| 2.10     | Commitment Register has been maintained and update                                                       | Complied                                   |                                     |                                                               |
| 2.11     | Register of Counterfoil Books (GA – N20) has been maintained and update                                  | Complied                                   |                                     |                                                               |
| <b>3</b> | <b>Delegation of function for financial control (FR 135)</b>                                             |                                            |                                     |                                                               |
| 3.1      | The financial authority has been delegated within the institute                                          | Complied                                   |                                     |                                                               |

| No.      | Applicable Requirement                                                                                                                                                | Compliance status<br>(Complied/<br>Not<br>Complied) | Brief<br>explanation for<br>Noncompliance | Corrective<br>actions<br>proposed to<br>avoid non-<br>compliance in<br>future |
|----------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|-------------------------------------------|-------------------------------------------------------------------------------|
| 3.2      | The delegation of financial authority has been communicated within the institute                                                                                      | Complied                                            |                                           |                                                                               |
| 3.3      | The authority has been delegated in such manner so as to pass each transaction through two or more officers                                                           | Complied                                            |                                           |                                                                               |
| 3.4      | The controls have been adhered to by the Accountants in terms of state Accounts Circular 171/2004 dated 11.05.2014 in using the Government Payroll Software Package   | Complied                                            |                                           |                                                                               |
| <b>4</b> | <b>Preparation of Annual Plans</b>                                                                                                                                    |                                                     |                                           |                                                                               |
| 4.1      | The Annual action plan has been prepared                                                                                                                              | Complied                                            |                                           |                                                                               |
| 4.2      | The annual procurement plan has been prepared                                                                                                                         | Complied                                            |                                           |                                                                               |
| 4.3      | The annual Internal Audit plan has been prepared                                                                                                                      | Complied                                            |                                           |                                                                               |
| 4.4      | The annual estimate has been prepared and submitted to the NBD on due date                                                                                            | Complied                                            |                                           |                                                                               |
| 4.5      | The annual cash flow has been submitted to the Treasury Operations Department on time                                                                                 | Complied                                            |                                           |                                                                               |
| <b>5</b> | <b>Audit Queries</b>                                                                                                                                                  |                                                     |                                           |                                                                               |
| 5.1      | All the audit queries have been replied within the specified time by the Auditor General                                                                              | Complied                                            |                                           |                                                                               |
| <b>6</b> | <b>Internal Audit</b>                                                                                                                                                 |                                                     |                                           |                                                                               |
| 6.1      | The internal audit plan has been prepared at the beginning of the year after consulting the Auditor General in terms of Financial Regulation 134(2) DMA/I – 2019      | Complied                                            |                                           |                                                                               |
| 6.2      | All the internal audit reports have been replied within one month                                                                                                     | Complied                                            |                                           |                                                                               |
| 6.3      | Copies of all the internal audit reports has been submitted to the Management Audit Department in terms of Sub-section 40(4) of the National Audit Act No. 19 of 2018 | Complied                                            |                                           |                                                                               |

| No.      | Applicable Requirement                                                                                                                                                                                                                               | Compliance status<br>(Complied/<br>Not<br>Complied) | Brief<br>explanation for<br>Noncompliance | Corrective<br>actions<br>proposed to<br>avoid non-<br>compliance in<br>future |
|----------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|-------------------------------------------|-------------------------------------------------------------------------------|
| 6.4      | All the copies of internal audit reports have been submitted to the Auditor General in terms of Financial Regulation 134(3)                                                                                                                          | Complied                                            |                                           |                                                                               |
| <b>7</b> | <b>Audit and Management Committee</b>                                                                                                                                                                                                                |                                                     |                                           |                                                                               |
| 7.1      | Minimum 04 meetings of the Audit and Management committee has been held during the year as per the DMA Circular 1-2019                                                                                                                               | Complied                                            |                                           |                                                                               |
| <b>8</b> | <b>Asset Management</b>                                                                                                                                                                                                                              |                                                     |                                           |                                                                               |
| 8.1      | The information about purchases of assets and disposals was submitted to the Comptroller General's Office in terms of paragraph 07 of the Asset Management Circular No.01/2017                                                                       | Complied                                            |                                           |                                                                               |
| 8.2      | A suitable liaison officer was appointed to coordinate the implementation of the provisions of the circular and the details of the nominated officer was sent to the Comptroller General's Office in terms of paragraph 13 of the aforesaid circular | Complied                                            |                                           |                                                                               |
| 8.3      | The boards of survey were conducted, and the relevant reports submitted to the Auditor General on due date in terms of Public Finance Circular No. 05/2016                                                                                           | Complied                                            |                                           |                                                                               |
| 8.4      | The excesses and deficits that were disclosed through the board of survey and other relating recommendations, actions were carried out during the period specified in the circular.                                                                  | Complied                                            |                                           |                                                                               |
| 8.5      | The disposal of condemn articles had been carried out in terms of FR 772                                                                                                                                                                             | Complied                                            |                                           |                                                                               |
| <b>9</b> | <b>Vehicle Management</b>                                                                                                                                                                                                                            |                                                     |                                           |                                                                               |
| 9.1      | The daily running charts and monthly summaries of the pool vehicles had been prepared and submitted to the Auditor General on due date                                                                                                               | Complied                                            |                                           |                                                                               |

| No.       | Applicable Requirement                                                                                                                                                                                                                      | Compliance status<br>(Complied/<br>Not<br>Complied) | Brief<br>explanation for<br>Noncompliance | Corrective<br>actions<br>proposed to<br>avoid non-<br>compliance in<br>future |
|-----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|-------------------------------------------|-------------------------------------------------------------------------------|
| 9.2       | The condemned vehicle had been disposed of within a period of less than 6 months after condemning                                                                                                                                           | Complied                                            |                                           |                                                                               |
| 9.3       | The vehicle logbooks had been maintained and updated                                                                                                                                                                                        | Complied                                            |                                           |                                                                               |
| 9.4       | The action has been taken in terms of F R 103,104,109 and 110 with regard to every vehicle accident                                                                                                                                         | Complied                                            |                                           |                                                                               |
| 9.5       | The fuel consumption of vehicles has been re-tested in terms of the provisions of paragraph 3.1 of the Public Administration Circular No. 30/2016 of 29.12.2016                                                                             | Complied                                            |                                           |                                                                               |
| 9.6       | The absolute ownership of the leased vehicle logbooks has been transferred after the lease term                                                                                                                                             | Complied                                            |                                           |                                                                               |
| <b>10</b> | <b>Management of Bank Accounts</b>                                                                                                                                                                                                          |                                                     |                                           |                                                                               |
| 10.1      | The bank reconciliation statements had been prepared, got certified and made ready for audit by the due date                                                                                                                                | Complied                                            |                                           |                                                                               |
| 10.2      | The dormant accounts that had existed in the year under review or since previous years settled                                                                                                                                              | Complied                                            |                                           |                                                                               |
| 10.3      | The action had been taken in terms of Financial Regulations regarding balances that had been disclosed through bank reconciliation statements and for which adjustments had to be made and had those balances been settled within one month | Complied                                            |                                           |                                                                               |
| <b>11</b> | <b>Utilization of Provisions</b>                                                                                                                                                                                                            |                                                     |                                           |                                                                               |
| 11.1      | The provisions allocated had been spent without exceeding the limit                                                                                                                                                                         | Complied                                            |                                           |                                                                               |
| 11.2      | The liabilities not exceeding the provisions that remained at the end of the year as per the FR 94 (I) after utilizing the provisions                                                                                                       | Complied                                            |                                           |                                                                               |
| <b>12</b> | <b>Advances to Public Officers Account</b>                                                                                                                                                                                                  |                                                     |                                           |                                                                               |
| 12.1      | The limits had been complied with                                                                                                                                                                                                           | Complied                                            |                                           |                                                                               |
| 12.2      | A time analysis had been carried out on the loans in arrears                                                                                                                                                                                | Complied                                            |                                           |                                                                               |

| No.       | Applicable Requirement                                                                                           | Compliance status (Complied/ Not Complied) | Brief explanation for Noncompliance         | Corrective actions proposed to avoid non-compliance in future |
|-----------|------------------------------------------------------------------------------------------------------------------|--------------------------------------------|---------------------------------------------|---------------------------------------------------------------|
| 12.3      | The loan balances in arrears for over one year had been settled                                                  | Not complied                               | **                                          |                                                               |
| <b>13</b> | <b>General Deposit Account</b>                                                                                   |                                            |                                             |                                                               |
| 13.1      | The action had been taken as per F R 571 in relation to disposal of lapsed deposits                              | Complied                                   |                                             |                                                               |
| 13.2      | The control register for general deposits had been updated and maintained                                        | Complied                                   |                                             |                                                               |
| <b>14</b> | <b>Imprest Account</b>                                                                                           |                                            |                                             |                                                               |
| 14.1      | The balance in the cash book at the end of the year under review remitted to TOD                                 | Complied                                   |                                             |                                                               |
| 14.2      | The ad-hoc sub Imprests issued as per F R 371 settled within one month from the completion of the task           | Complied                                   |                                             |                                                               |
| 14.3      | The ad-hoc sub Imprests had been issued exceeding the limit approved as per F R 371                              | Complied                                   |                                             |                                                               |
| 14.4      | The balance of the Imprest account had been reconciled with the Treasury books monthly                           | Complied                                   |                                             |                                                               |
| <b>15</b> | <b>Revenue Account</b>                                                                                           |                                            |                                             |                                                               |
| 15.1      | The refunds from the revenue had been made in terms of the regulations.                                          | Not Complied                               | No revenue collecting vote for the ministry |                                                               |
| 15.2      | The revenue collection had been directly credited to the revenue account without credited to the deposit account | Not Complied                               | No revenue collecting vote for the ministry |                                                               |
| 15.3      | Returns of arrears of revenue forward to the Auditor General in terms of F R 176                                 | Complied                                   | Report that no arrears of revenue           |                                                               |
| <b>16</b> | <b>Human Resource Management</b>                                                                                 |                                            |                                             |                                                               |
| 16.1      | The staff had been paid within the approved cadre                                                                | Complied                                   |                                             |                                                               |
| 16.2      | All members of the staff have been issued a duty list in writing                                                 | Complied                                   |                                             |                                                               |
| 16.3      | ALL reports have been submitted to MSD in terms of their circular No. 04/2017 dated 20.09.2017                   | Complied                                   |                                             |                                                               |

| No.       | Applicable Requirement                                                                                                                                                                                                                      | Compliance status<br>(Complied/<br>Not<br>Complied) | Brief<br>explanation for<br>Noncompliance | Corrective<br>actions<br>proposed to<br>avoid non-<br>compliance in<br>future |
|-----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|-------------------------------------------|-------------------------------------------------------------------------------|
| <b>17</b> | <b>Provision of information to the public</b>                                                                                                                                                                                               |                                                     |                                           |                                                                               |
| 17.1      | An information officer has been appointed and a proper register of information and maintained and updated in terms of Right To Information Act and Regulation.                                                                              | Complied                                            |                                           |                                                                               |
| 17.2      | Information about the institution to the public have been provided by website or alternative measures and has it been facilitated to appreciate/ allegation to public against the public authority by this website or alternative measures. | Complied                                            |                                           |                                                                               |
| 17.3      | Bi- Annual and Annual reports have been submitted as per section 08 and 10 of the RTI Act.                                                                                                                                                  | Complied                                            |                                           |                                                                               |
| <b>18</b> | <b>Implementing citizens charter</b>                                                                                                                                                                                                        |                                                     |                                           |                                                                               |
| 18.1      | A citizens charter/ Citizens client's charter has been formulated and implemented by the Institution in terms of the circular number 05/2008 and 05/2018(I) of Ministry of Public Administration and Management                             | Complied                                            |                                           |                                                                               |
| 18.2      | A methodology has been devised by the institution in order to monitor and assess the formulation and the implementation of Citizens charter/ Citizens client's charter as per paragraph 2.3 of the circular                                 | Complied                                            |                                           |                                                                               |
| <b>19</b> | <b>Preparation of the Human Resource Plan</b>                                                                                                                                                                                               |                                                     |                                           |                                                                               |
| 19.1      | A human resource plan has been prepared in terms of the format in Annexure 02 of Public Administration Circular No. 02/2018 dated 24.01.2018                                                                                                | Complied                                            |                                           |                                                                               |
| 19.2      | A minimum training opportunity of not less than 12 hours per year for each member of the staff has been ensured in the aforesaid Human Resource Plan                                                                                        | Complied                                            |                                           |                                                                               |
| 19.3      | Annual performance agreements have been signed for the entire staff based on the format in Annexure 01 of the aforesaid Circular                                                                                                            | Complied                                            |                                           |                                                                               |

| No.       | Applicable Requirement                                                                                                                                                                                                                              | Compliance status<br>(Complied/<br>Not<br>Complied) | Brief<br>explanation for<br>Noncompliance | Corrective<br>actions<br>proposed to<br>avoid non-<br>compliance in<br>future |
|-----------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|-------------------------------------------|-------------------------------------------------------------------------------|
| 19.4      | A senior officer was appointed and assigned the responsibility of preparing the human resource development plan, organizing capacity building programs and conducting skill development programs as per paragraph No. 6.5 of the aforesaid circular | Complied                                            |                                           |                                                                               |
| <b>20</b> | <b>Responses Audit Paras</b>                                                                                                                                                                                                                        |                                                     |                                           |                                                                               |
| 20.1      | The shortcomings pointed out in the audit paragraphs issued by the Auditor General for the previous years have been rectified                                                                                                                       | Complied                                            |                                           |                                                                               |

\*\* The balance of the debt from the 1990s was vested in the Ministry in the amendment of the Ministries. A committee has been appointed to look into these balances and the recommendations have been forwarded to the Department of Public Finance. Recovery of debt balances of suspended and retired employees cannot be charged in accordance with section 4.5 of Chapter XXIV of the Establishments Code.



## CHAPTER 08 – OTHER

### I. MERCHANT SHIPPING SECRETARIAT

#### 1. Industrial Profile/ Executive Summary

##### 1.1 Introduction

Merchant Shipping Secretariat which is the shipping administration arm of Sri Lanka has the overall responsibility for overseeing maritime concerns. The activities of the Merchant Shipping Secretariat are basically governed by the Merchant Shipping Act No.52 of 1971, Licensing of Shipping Agents act No. 10 of 1972 and also the relevant clauses of the Admiralty Jurisdiction Act No. 40 of 1983 and subsequent regulations made thereafter. Merchant Shipping Secretariat deals with ensuring safety of life and property at sea, maritime education, training, examination and certification, registration of ships under Sri Lanka flag, Licensing of Shipping Agents, Container Depot Operators, Container Terminal Operators, Container Freight Stations, Freight Forwarders or a Non Vessel Operating Common carriers and implementing provisions of all applicable international Maritime conventions and national regulations. As its overarching responsibility in Sri Lanka, the MSS develops and implements the government's maritime safety policy. In this remit, though, it has many roles including checking of ships for compliance of Sri Lankan and International Safety regulations (Flag State and Port State Control)

##### 1.2 Vision, Mission, Objectives of the Institution

“Recognition by the global shipping industry through maritime excellence”

##### 1.3 Key Functions

- Enforcement of laws and regulations to regulate Sri Lankan shipping industry and also drafting of national laws on IMO conventions ratified by Sri Lanka.
- Issuance of Continuous Discharge Certificate (CDC) to Sri Lankan Seafarers and looking after the welfare activities of them.
- Issuance of Certificate of Competency (COC) up to the rank of Master/ Chief Engineer upon conducting examinations in terms of international conventions.
- Setting standards for granting approvals, supervision and auditing of Nautical Colleges of Sri Lanka.
- Supervision of classification societies, issuance of statutory certificates, Port State Control (PSC) activities, and revenue collection from ships registered under Sri Lanka and foreign flags.
- Conducting inquiries on complaints made by foreign ship crew members in respect of seafarers' conditions of work such as wages, water, food, health facilities etc.
- Removal of all obstacles for safe navigation in Sri Lankan waters and preparation of route maps indicating such obstacles and publishing the same.

- Conducting inquiries on emergency situations encountered by Sri Lankan flag ships or foreign flag ships, pursuant to the provisions of international conventions and preparation of reports with recommendations.
- Act as the chief receiver of ship wrecks in Sri Lankan waters and taking appropriate actions to remove the same in suitable manner.
- In terms of Indian Ocean Memorandum of Understanding (IOMoU) in respect of Port State Control, to inspect at least 10% of the vessels calling at Sri Lanka ports for sea worthiness in terms of international conventions and to detain ships that are not in compliance with these requirements.
- Issuance of licenses and regulating of activities of Shipping Agents, Freight Forwarders, NVOCC operators and Container Deports Operators.
- Carry out all functions relating to the arresting of ships, detaining, sale on public auction and releasing of such ships as conferred by the Admiralty Jurisdiction Act No. 40 of 1983 and Admiralty rules of 1991.

#### 1.4 Organizational Chart

Annexure B

#### 1.5 Departments under the Ministry/ Main Divisions of the Department/ Divisional Secretariats of the District Secretariat

Jaffna Sub Office

#### 1.6 Institutions/ Funds coming under the Ministry / Department/ Provincial Council

No

#### 1.7 Details of the Foreign Funded Projects (if any)

Not applicable

## 2 – Progress and the Future Outlook

### Briefly explain Special Achievements, challenges and future goals

To be signed by CAO/AO/Head of Institution

#### 2.1. Future Plans

##### Short term

- Commencement of office work and slipway operations at the Jaffna District Sub Office of the Merchant Shipping Secretariat for the safe transportation of passengers and cargo between Jaffna and the islands.
- Update the charges for services provided by the Merchant Shipping Secretariat.
- Recommendations for IMO Conventions.
- Setting local rules for IMO mandatory conventions.
- Signing of Memorandum of Understanding (MOU) with Foreign States to issue Equality Certificates for seafarers to serve on foreign flag ships.
- Issue of Internationally Recognized Biometric Identity Card for Sri Lankan seafarers. (Seafarer Identity Document)
- The International Maritime Organization will conduct training programs for capacity building of officers.
- Establish coastal regulations.

##### Long-term

- Maintaining the system in a timely amendment to provide transparent and efficient service to the public.
- Establish rules for updating existing laws in accordance with the new global trends in the shipping industry.
- Introducing automation systems for services provided by the Merchant Shipping Secretariat
- Establishment of a permanent new office building for the Merchant Shipping Secretariat

### 3. Overall Financial Performance for the Year ended 31<sup>st</sup> December 2019

**3.1 Statement of Financial Performance** - Included under the Ministry overall financial performance

**3.3. Statement of Financial Position** - Included under the Ministry overall financial performance

**3.4 Statement of Cash Flows** - Included under the Ministry overall financial performance

**3.5 Notes to the Financial Statements** - Included under the Ministry overall financial performance

#### 3.6 Performance of the Revenue collection

Rs. , 000

| Revenue code | Description of the Revenue Code                             | Revenue Estimate |        | Collected Revenue |                                  |
|--------------|-------------------------------------------------------------|------------------|--------|-------------------|----------------------------------|
|              |                                                             | Original         | Final  | Amount (Rs.)      | As a % of final revenue estimate |
| 2003.02:99   | License fees and other charges in the Merchant Shipping Act | 95,000           | 95,000 | 96,356            | 101.43%                          |

#### 3.7 Performance of the Utilization of Allocation

Rs. , 000

| Type of Allocation | Allocation |        | Actual Expenditure | Allocation utilization as a % of Final Allocation |
|--------------------|------------|--------|--------------------|---------------------------------------------------|
|                    | Original   | Final  |                    |                                                   |
| Recurrent          | 81,500     | 76,413 | 75,234             | 98.46%                                            |
| Capital            | 5,800      | 5,800  | 4,329              | 74.63%                                            |

#### 3.8 In terms of F.R. grant of allocation for expenditure to this Department/ District Secretariat/ Provincial Council as an agent of the other Ministries/ Departments

Rs., 000

| Serial No | Allocation received from which Ministry/ Department | Purpose of the allocation | Allocation |       | Actual Expenditure | Allocation utilization as a % of final Allocation |
|-----------|-----------------------------------------------------|---------------------------|------------|-------|--------------------|---------------------------------------------------|
|           |                                                     |                           | Original   | Final |                    |                                                   |
|           |                                                     |                           |            |       |                    |                                                   |

#### 3.9 Performance of the Reporting of Non-Financial Assets - Included under the Ministry

#### 3.10 Auditor General's Report\*\* - Included under the Ministry (Annexure I)

#### 4. Performance indicators

##### 4.1 Performance indicators of the Institute (Based on the Action Plan)

| Specific Indicators                                                                                                                                                                                                                                                                                                            | Actual output as a percentage (%) of the expected output |           |           |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|-----------|-----------|
|                                                                                                                                                                                                                                                                                                                                | 100% - 90%                                               | 75% - 89% | 50% - 74% |
| Project Name: Issue Seafarer Identity Document (SID) to all Sri Lankan Seafarers in line with ILO Convention adding Biometric features<br>i. Prepare the bidding documents. (Software, Pre-Print Cards, Printer, Finger Print Machine, Scanner & Other)<br>ii. Calling bids<br>iii. Bids evaluation<br>iv. Procuring & Payment | 100%<br><br><br>100%<br>100%                             | 75%       |           |
| Project Name: Establishment of Sub shipping office at KKS to promote coastal passenger Transportation<br>i. Completion of building of Slipway & related Jetty development<br>ii. Establishment of the proposed building of MSS<br>iii. Recruitment of cadre<br>iv. Procurement of office equipment                             | 95%<br><br>95%<br><br>90%                                |           | 50%       |

## 5. Performance of the achieving Sustainable Development Goals (SDG)

### 5.1 Indicate the Identified respective Sustainable Development Goals

| Goal / Objective                                                                                                                  | Targets                                                                                                                                      | Indicators of the achievement                                                                                                                                       | Progress of the Achievement to date |                |                             |
|-----------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|----------------|-----------------------------|
|                                                                                                                                   |                                                                                                                                              |                                                                                                                                                                     | 0 - 49%                             | 50- 74%        | 75 -100%                    |
| Securing full-fledged economic growth<br>Protecting full and productive employment.<br>Protecting the right to employment for all | 1. Improving service productivity through computerized modern technologies.                                                                  | 1. Reduce the time taken to issue CDC and COC certificates<br>2) Reduce public complaints.<br>3) Additional services (Do not need to store certificates physically) |                                     |                | 100%<br><br>75%<br><br>100% |
|                                                                                                                                   | 2. To enhance the economic and social upliftment of the lives of the people through safe boat transportation between Jaffna and the islands. | 1) Increase the number of boats surveyed.<br><br>2) Decreasing the number of reported accidents / lives.                                                            |                                     | 50%<br><br>50% |                             |
|                                                                                                                                   | 3. To contribute to the national economic growth by increasing the employment of Seafarers' on ships.                                        | 1) Increase No of Seafarer sign on                                                                                                                                  |                                     |                | 100%                        |

### 5.2 Briefly explain the achievements and challenges of the Sustainable Development Goals

- The issuance of certificates using computerized technology has reduced the time required to issue certificates by 50% without having to store certificates.
- Recognition of the Certificates has also increased, and seafarers are able to perform their jobs without interruption/ issues at sea ports & airports.
- But the cost of issuing new certificates has gone up due to high quality of certificate.
- Development of Economic and social lifestyle in Jaffna peninsula due to efficient & Safe Boat transportation between Jaffna and sub islands. Number of Accidents reported have been reduced.
- It is challengeable to attract boat owners to register boats.
- It is expected to improve the quality of life of the seafarers by increasing the opportunities to engage on ships.
- Global crisis have a negative impact on shipping & maritime sector.

## 6. Human Resource Profile

### 6.1 Cadre Management

|           | Approved Card | Existing Cadre | Vacancies / (Excess)** |
|-----------|---------------|----------------|------------------------|
| Senior    | 24            | 10             | 14                     |
| Territory | 4             | 0              | 4                      |
| Secondary | 52            | 29             | 23                     |
| Primary   | 30            | 6              | 24                     |

### 6.2 \*\* Briefly state how the shortage or excess in human resources has been affected to the performance of the institute

It is essential to fill the vacancies in the daily duties of the institute

### 6.3 Human Resource Development

| Name of the Program                      | No. of Staff trained | Duration of the program | Total Investment (Rs. 000) |         | Nature of the Program (Abroad/ Local) | Output/ knowledge Gained*                                                                                                                                                                               |
|------------------------------------------|----------------------|-------------------------|----------------------------|---------|---------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                          |                      |                         | Local                      | Foreign |                                       |                                                                                                                                                                                                         |
| Financial Regulations                    | 01                   | 02 days                 | fee exception              |         | Local                                 | Obtained Basic knowledge of required financial regulations                                                                                                                                              |
| ISO 9001: 2015 Quality Management System | 02                   | 01 day                  | 28.163                     |         | Local                                 | Achieved Knowledge of maintaining ISO 9001: 2015 Quality Management System establishing in the organization & reduced the non-conformities                                                              |
| ISO 9001:2015 LEAD AUDITOR Program       | 03                   | 05 days                 | 158.335                    |         | Local                                 | Achieved knowledge of auditing of ISO 9001:2015 Quality Management System establishing in the organization, helped to reduce non conformities. Increased the quality of works. Streamline of processes. |

| Name of the Program                                                                                                                 | No. Staff trained | Duration the program | Total Investment (Rs. 000) |         | Nature of the Program (Abroad/ Local) | Output/ knowledge Gained*                                                     |
|-------------------------------------------------------------------------------------------------------------------------------------|-------------------|----------------------|----------------------------|---------|---------------------------------------|-------------------------------------------------------------------------------|
|                                                                                                                                     |                   |                      | Local                      | Foreign |                                       |                                                                               |
| National Skills Standard Validation Workshop for Marine Fitter                                                                      | 01                | 01 day               | Fee exemption              |         | Local                                 | Achieve knowledge that seafarer should possess for Marine Fitter job          |
| Regional Workshop on “Approval and Effective Implementation of MARPOL Annex VI and IMO Data Collection System for Fuel Consumption” | 02                | 03 days              |                            | 68.000  | Abroad                                | Knowledge of marine pollution helps to comply the international requirements. |
| Second Asian Regional Knowledge Partnership Workshop on Maritime Technology Corporation Activities                                  | 01                | 05 days              |                            | 47.600  | Abroad                                | Required Knowledge of maritime technical activities are obtained              |
| United Nations Development Assistance Framework: The process of bringing the maritime sector to the mainstream                      | 02                | 02 days              |                            | 54.400  | Abroad                                | Knowledge helps to maritime sector development                                |
| Passenger ship inspection training                                                                                                  | 01                | 04 days              |                            | 40.800  | Abroad                                | Obtained Knowledge of inspection of passenger ships                           |
| Marine Protection Asian Sub-Region                                                                                                  | 01                | 02 days              |                            | 27.200  | Abroad                                | Knowledge of Maritime Security has obtained                                   |



| Name of the Program                                                                      | No. Staff trained | Duration the program | Total Investment (Rs. 000) |         | Nature of the Program (Abroad/ Local) | Output/ knowledge Gained*                                             |
|------------------------------------------------------------------------------------------|-------------------|----------------------|----------------------------|---------|---------------------------------------|-----------------------------------------------------------------------|
|                                                                                          |                   |                      | Local                      | Foreign |                                       |                                                                       |
| Master Degree in Maritime Affairs (Specialization- Shipping Management & Logistics)      | 01                | 419 days             |                            | 142.800 | Abroad                                | Knowledge of maritime affairs helps to daily activities               |
| 9th Marine People Leaders Program                                                        | 01                | 05 days              |                            | 47.600  | Abroad                                | Knowledge for maritime sector development                             |
| SESEC Co-operative Study-Test Workshop on Port Greening and the Port Community System    | 01                | 05 days              |                            | 54.400  | Abroad                                | Knowledge of port greening and port community system as required      |
| International Program on Applied Criminology and the Criminal Justice System in Malaysia | 01                | 08 days              |                            | 68.000  | Abroad                                | Obtained Knowledge of Applied Criminology and Criminal Justice System |

\* Briefly state how the training program contributed to the performance of the institution

- Training programs provide the opportunity to acquire present and updated knowledge and make good use of it for official purposes.
- \* Knowledge and experience gained through local and overseas trainings, skills development and helps to make the correct decisions when needed.
- \* It also provides an efficient service to the organization and results in better results.

## 7. Compliance Report

| No.      | Applicable Requirement                                                                                      | Compliance status (Complied/ Not Complied) | Brief explanation for Non compliance | Corrective actions proposed to avoid non-compliance in future |
|----------|-------------------------------------------------------------------------------------------------------------|--------------------------------------------|--------------------------------------|---------------------------------------------------------------|
| <b>1</b> | <b>The following financial statements/ accounts have been submitted on due date</b>                         |                                            |                                      |                                                               |
| 1.1      | Annual financial statements                                                                                 | Not Complied                               | *                                    |                                                               |
| 1.2      | Advance to public officers account                                                                          | Not Complied                               | *                                    |                                                               |
| 1.3      | Trading and Manufacturing Advance Accounts (Commercial Advance Accounts)                                    | Not Complied                               | N/A                                  |                                                               |
| 1.4      | Stores Advance Accounts                                                                                     | Not Complied                               | N/A                                  |                                                               |
| 1.5      | Special Advance Accounts                                                                                    | Not Complied                               | N/A                                  |                                                               |
| 1.6      | Others                                                                                                      | Not Complied                               | N/A                                  |                                                               |
| <b>2</b> | <b>Maintenance of books and registers (FR 445)</b>                                                          |                                            |                                      |                                                               |
| 2.1      | Fixed assets register has been maintained and update in terms of Public Administration Circular 267/2018    | Complied                                   |                                      |                                                               |
| 2.2      | Personal emoluments register/ personal emoluments cards has been maintained and update                      | Complied                                   |                                      |                                                               |
| 2.3      | Register of Audit queries has been maintained and update                                                    | Complied                                   |                                      |                                                               |
| 2.4      | Register of Internal Audit reports has been maintained and update                                           | Complied                                   |                                      |                                                               |
| 2.5      | All the monthly accounts summaries (CIGAS) are prepared and submitted to the treasury on due date           | Complied                                   |                                      |                                                               |
| 2.6      | Register for cheques and money orders has maintained and update                                             | Complied                                   |                                      |                                                               |
| 2.7      | Inventory register has been maintained and update                                                           | Complied                                   |                                      |                                                               |
| 2.8      | Stocks registers has been maintained and update                                                             | Complied                                   |                                      |                                                               |
| 2.9      | Register of losses has been maintained and update                                                           | Not Complied                               | *                                    |                                                               |
| 2.10     | Commitment Register has been maintained and update                                                          | Not Complied                               | *                                    |                                                               |
| 2.11     | Register of Counterfoil Books (GA – N20)has been maintained and update                                      | Complied                                   |                                      |                                                               |
| <b>3</b> | <b>Delegation of function for financial control (FR 135)</b>                                                |                                            |                                      |                                                               |
| 3.1      | The financial authority has been delegated within the institute                                             | Not Complied                               | *                                    |                                                               |
| 3.2      | The delegation of financial authority has been communicated within the institute                            | Complied                                   |                                      |                                                               |
| 3.3      | The authority has been delegated in such manner so as to pass each transaction through two or more officers | Complied                                   |                                      |                                                               |
| 3.4      | The controls has been adhered to by the Accountants in terms of state Accounts                              | Not Complied                               | *                                    |                                                               |

|          |                                                                                                                                                                                                                                                      |              |   |  |
|----------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|---|--|
|          | Circular 171/2004 dated 11.05.2014 in using the Government Payroll Software Package                                                                                                                                                                  |              |   |  |
| <b>4</b> | <b>Preparation of Annual Plans</b>                                                                                                                                                                                                                   |              |   |  |
| 4.1      | The annual action plan has been prepared                                                                                                                                                                                                             | Complied     |   |  |
| 4.2      | The annual procurement plan has been prepared                                                                                                                                                                                                        | Complied     |   |  |
| 4.3      | The annual Internal Audit plan has been prepared                                                                                                                                                                                                     | Not Complied | * |  |
| 4.4      | The annual estimate has been prepared and submitted to the NDB on due date                                                                                                                                                                           | Complied     |   |  |
| 4.5      | The annual cash flow has been submitted to the Treasury Operations Department on time                                                                                                                                                                | Complied     |   |  |
| <b>5</b> | <b>Audit Queries</b>                                                                                                                                                                                                                                 |              |   |  |
| 5.1      | All the audit queries has been replied within the specified time by the Auditor General                                                                                                                                                              | Complied     |   |  |
| <b>6</b> | <b>Internal Audit</b>                                                                                                                                                                                                                                |              |   |  |
| 6.1      | The internal audit plan has been prepared at the beginning of the year after consulting the Auditor General in terms of Financial Regulation 134(2) DMA/1 – 2019                                                                                     | Not Complied | * |  |
| 6.2      | All the internal audit reports has been replied within one month                                                                                                                                                                                     | Complied     |   |  |
| 6.3      | Copies of all the internal audit reports has submitted to the Management Audit Department in terms of Sub – section 40(4) of the National Audit Act No. 19 of 2018                                                                                   | Not Complied | * |  |
| 6.4      | All the copies of internal audit reports has been submitted to the Auditor General in terms of Financial Regulation 134(3)                                                                                                                           | Not Complied | * |  |
| <b>7</b> | <b>Audit and Management Committee</b>                                                                                                                                                                                                                |              |   |  |
| 7.1      | Minimum 04 meetings of the Audit and Management Committee has been held during the year as per the DMA Circular 1-2019                                                                                                                               | Not Complied | * |  |
| <b>8</b> | <b>Asset Management</b>                                                                                                                                                                                                                              |              |   |  |
| 8.1      | The information about purchases of assets and disposals was submitted to the Comptroller General's Office in terms of Paragraph 07 of the Asset Management Circular No. 01/2017                                                                      | Not Complied | * |  |
| 8.2      | A suitable liaison officer was appointed to coordinate the implementation of the provisions of the circular and the details of the nominated officer was sent to the Comptroller General's Office in terms of Paragraph 13 of the aforesaid Circular | Not Complied | * |  |
| 8.3      | The boards of survey was conducted and the relevant reports submitted to the Auditor General on due date in terms of Public Finance Circular No. 05/2016                                                                                             | Not Complied | * |  |
| 8.4      | The excesses and deficits that were disclosed through the board of survey and other relating recommendations, actions were carried out during the period specified in the circular.                                                                  | Not Complied | * |  |
| 8.5      | The disposal of condemn articles had been carried out in terms of FR772                                                                                                                                                                              | Not Complied | * |  |

|           |                                                                                                                                                                                                                                             |              |     |  |
|-----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|-----|--|
| <b>9</b>  | <b>Vehicle Management</b>                                                                                                                                                                                                                   |              |     |  |
| 9.1       | The daily running charts and monthly summaries of the pool vehicles had been prepared and submitted to the Auditor General on due date                                                                                                      | Complied     |     |  |
| 9.2       | The condemned vehicle had been disposed of within a period of less than 6 months after condemning                                                                                                                                           | Not Complied | *   |  |
| 9.3       | The vehicle logbooks had been maintained and updated                                                                                                                                                                                        | Complied     |     |  |
| 9.4       | The action has been taken in terms of F R 103,104,109 and 110 with regard to every vehicle accident                                                                                                                                         | Complied     |     |  |
| 9.5       | The fuel consumption of vehicles has been re-tested in terms of the provisions of paragraph 3.1 of the Public Administration Circular No. 30/2016 of 29.12.2016                                                                             | Complied     |     |  |
| 9.6       | The absolute ownership of the leased vehicle logbooks has been transferred after the lease term                                                                                                                                             | Not Complied | N/A |  |
| <b>10</b> | <b>Management of Bank Accounts</b>                                                                                                                                                                                                          |              |     |  |
| 10.1      | The bank reconciliation statements had been prepared, got certified and made ready for audit by the due date                                                                                                                                | Complied     |     |  |
| 10.2      | The dormant accounts that had existed in the year under review or since previous years settled                                                                                                                                              | Not Complied | N/A |  |
| 10.3      | The action had been taken in terms of Financial Regulations regarding balances that had been disclosed through bank reconciliation statements and for which adjustments had to be made and had those balances been settled within one month | Complied     |     |  |
| <b>11</b> | <b>Utilization of Provisions</b>                                                                                                                                                                                                            |              |     |  |
| 11.1      | The provisions allocated had been spent without exceeding the limit                                                                                                                                                                         | Complied     |     |  |
| 11.2      | The liabilities not exceeding the provisions that remained at the end of the year as per the FR 94 (I) after utilizing the provisions                                                                                                       | Complied     |     |  |
| <b>12</b> | <b>Advances to Public Officers Account</b>                                                                                                                                                                                                  |              |     |  |
| 12.1      | The limits had been complied with                                                                                                                                                                                                           | Not Complied | *   |  |
| 12.2      | A time analysis had been carried out on the loans in arrears                                                                                                                                                                                | Not Complied | *   |  |
| 12.3      | The loan balances in arrears for over one year had been settled                                                                                                                                                                             | Not Complied | *   |  |
| <b>13</b> | <b>General Deposit Account</b>                                                                                                                                                                                                              |              |     |  |
| 13.1      | The action had been taken as per F R 571 in relation to disposal of lapsed deposits                                                                                                                                                         | Not Complied | *   |  |
| 13.2      | The control register for general deposits had been updated and maintained                                                                                                                                                                   | Not Complied | *   |  |
| <b>14</b> | <b>Imprest Account</b>                                                                                                                                                                                                                      |              |     |  |
| 14.1      | The balance in the cash book at the end of the year under review remitted to TOD                                                                                                                                                            | Not Complied | *   |  |

|           |                                                                                                                                                                                                                                             |              |     |  |
|-----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|-----|--|
| 14.2      | The ad-hoc sub Imprests issued as per F R 371 settled within one month from the completion of the task                                                                                                                                      | Not Complied | *   |  |
| 14.3      | The ad-hoc sub Imprests had been issued exceeding the limit approved as per F R 371                                                                                                                                                         | Not Complied | *   |  |
| 14.4      | The balance of the Imprest account had been reconciled with the Treasury books monthly                                                                                                                                                      | Not Complied | *   |  |
| <b>15</b> | <b>Revenue Account</b>                                                                                                                                                                                                                      |              |     |  |
| 15.1      | The refunds from the revenue had been made in terms of the regulations.                                                                                                                                                                     | Not Complied | N/A |  |
| 15.2      | The revenue collection had been directly credited to the revenue account without credited to the deposit account                                                                                                                            | Complied     |     |  |
| 15.3      | Returns of arrears of revenue forward to the Auditor General in terms of F R 176                                                                                                                                                            | Complied     |     |  |
| <b>16</b> | <b>Human Resource Management</b>                                                                                                                                                                                                            |              |     |  |
| 16.1      | The staff had been paid within the approved cadre                                                                                                                                                                                           | Complied     |     |  |
| 16.2      | All members of the staff have been issued a duty list in writing                                                                                                                                                                            | Complied     |     |  |
| 16.3      | ALL reports have been submitted to MSD in terms of their circular No. 04/2017 dated 20.09.2017                                                                                                                                              | Complied     |     |  |
| <b>17</b> | <b>Provision of information to the public</b>                                                                                                                                                                                               |              |     |  |
| 17.1      | An information officer has been appointed and a proper register of information and maintained and updated in terms of Right To Information Act and Regulation.                                                                              | Complied     |     |  |
| 17.2      | Information about the institution to the public have been provided by website or alternative measures and has it been facilitated to appreciate/ allegation to public against the public authority by this website or alternative measures. | Complied     |     |  |
| 17.3      | Bi- Annual and Annual reports have been submitted as per section 08 and 10 of the RTI Act.                                                                                                                                                  | Complied     |     |  |
| <b>18</b> | <b>Implementing citizens charter</b>                                                                                                                                                                                                        |              |     |  |
| 18.1      | A citizens charter/ Citizens client's charter has been formulated and implemented by the Institution in terms of the circular number 05/2008 and 05/2018(I) of Ministry of Public Administration and Management                             | Complied     |     |  |
| 18.2      | A methodology has been devised by the institution in order to monitor and assess the formulation and the implementation of Citizens charter/ Citizens client's charter as per paragraph 2.3 of the circular                                 | Complied     |     |  |
| <b>19</b> | <b>Preparation of the Human Resource Plan</b>                                                                                                                                                                                               |              |     |  |
| 19.1      | A human resource plan has been prepared in terms of the format in Annexure 02 of Public Administration Circular No. 02/2018 dated 24.01.2018                                                                                                | Complied     |     |  |

|           |                                                                                                                                                                                                                                                      |          |  |  |
|-----------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|--|--|
| 19.2      | A minimum training opportunity of not less than 12 hours per year for each member of the staff has been ensured in the aforesaid Human Resource Plan                                                                                                 | Complied |  |  |
| 19.3      | Annual performance agreements have been signed for the entire staff based on the format in Annexure 01 of the aforesaid Circular                                                                                                                     | Complied |  |  |
| 19.4      | A senior officer was appointed and assigned the responsibility of preparing the human resource development plan, organizing capacity building programs and conducting skill development programs as per paragraph No. 6.5 of the afore said circular | Complied |  |  |
| <b>20</b> | <b>Responses Audit Paras</b>                                                                                                                                                                                                                         |          |  |  |
| 20.1      | The shortcomings pointed out in the audit paragraphs issued by the Auditor General for the previous years have rectified                                                                                                                             | Complied |  |  |

**\* Prepared by the Ministry**

## II. SRI LANKA PORTS AUTHORITY

### VISION

#### **“Logistic excellence in the silk route”**

Envisioning the future of Sri Lankan Ports, the Sri Lanka Ports Authority (SLPA) is making a paradigm shift from being a container hub to becoming a global logistics hub, anticipating a boom in international trade that will open up a range of opportunities as well as challenges. The Ports Authority plans to strengthen its complex logistic approaches with a “one touch” information flow of all processes, while benchmarking National and International best practices at every level, in order to improve the overall economic environment of Sri Lanka.

#### **About the Sri Lanka Ports Authority**

The SLPA was established by the SLPA Act. No. 51 of 1979 on the 1<sup>st</sup> August 1979 and subsequently amended by Act Nos. 7 and 35 of 1984.

Section 6(1) of the SLPA Act defines its objects and duties as follows.

- Provision of efficient and regular service for Stevedoring, shipping and transshipping, landing and warehousing, wharfage, the supply of water, fuel and electricity to vessels, for handling petroleum, petroleum products and lubricating oils to and from vessels and between bunkers and depots, for pilotage and mooring of vessels, for diving and underwater ship repairs and any other services incidental thereto.
- Provision of efficient and regular tally and protective services.
- Regulation and control of navigation within the limits of and the approaches to the Ports.
- Maintenance of Port installations and promotion of the use, improvement and development of the specified ports.
- Co-ordination and regulation of all activities within any specified port excluding the functions of the Customs Department.
- Establishment and maintenance on and off the coast of Sri Lanka such lights and other means for the guidance and protection of vessels as are necessary for navigation in and out of the specified ports.
- Performing such other duties as are imposed on the Ports Authority by the Act.
- Conducting the business of the Ports Authority in such manner and to make in accordance with this Act such charges for services rendered by the Authority will secure that the revenue of the Authority is not less than sufficient for meeting the charges which are proper to be made to the revenue of the Authority, to replace assets, make new investments and to establish and maintain an adequate general reserve and;
- Endeavour to manage the specified ports and each of them as self- supporting enterprise in accordance with the provisions of the Act.



In terms of Section 5 (1) of the SLPA Act, the Ports Authority has a Board of 09 Directors appointed by the Hon. Minister. The Chairman is the Chief Executive and is in charge of the overall administration of the SLPA.

## **FUTURE DEVELOPMENTS**

### **1. South Asia Sub regional Economic Cooperation Port Access Elevated Highway Project**

The agreement was signed between GOSL and Asian Development Bank. The total project cost is Rs. 28 Billion and the loan repayment period is 21 Years. The agreed loan amount of US\$ 360,200,000 validate up to 31<sup>st</sup> December 2025. GOSL/SLPA Contribution is US\$ 60,200,000. Loan is borrow in Dollars and with a floating rate and borrow under the LIBOR-based lending facility (“LBL Facility”).

Feasibility study of the project was carried out during 2016-2018 and constructions has commenced in 2019 September. It is expected to complete and commissioning of operation the project by September, 2023 September

By implementing this project it is expected to strengthened of tolling operation capacity of expressways and support for trade logistic facilitation. In addition to that 5.3 km of toll elevated Highway will add to the Nation grid of transportation. Construction of Maritime Facilitation Center is another advantage received for the SLAP by implementing this project

### **2. Transport Project Preparatory Facility – Consultancy Service for Feasibility Study for the Colombo North Port Development Project**

Consultancy Agreement will be signed in February 2020 between GOSL and Asian Development Bank- Philippines as a Technical Assistance for the Transport Project Preparatory Faculty- the GOSL had given a portion to SLPA.

The total loan amount Special drawing Rights USD 17,471,000 with a interest rate of 2% per annum during the grace period and thereafter. The interest charge and any other charge shall be payable semiannually on 15 march and 15 September each year and the repay of Principal amount of the Loan is 20 years starting from 15 March 2022 to 15 September 2041, Currency of repayment is dollar.

The Total Project Cost is USD 3.6 million and the GOSL Contribution is approx.- LKR 108 million for local tax payment.

Feasibility study of the project will carry out during June 2021 expected output is Feasibility study report along with the Environment Impact Assessment report for the Colombo North Port Development Project



## SPECIAL ACHIEVEMENTS

### International Achievements – Port of Colombo

- Ports Authority of the Year Award by the Global Ports Forum (GPF)
- Port Infrastructure development of the year award by the Global Ports Forum (GPF)

Sri Lanka Ports Authority (SLPA) was awarded the Ports Authority of the Year Award for the second consecutive Global Ports Forum (GPF) in 2019 for its dedicated performance to uplift the position of the Port of Colombo on the world maritime map.

### Port Performance

Port of Colombo handled 7.2 million TEUs in the year 2019 which was a 2.6% growth compared to the year 2018. Colombo International Container Terminals (CICT) terminal handled 2.89 million TEUs which was 8.1% growth, Jaya Container Terminal (JCT) handled 2.28 million TEUs while South Asia Gateway Terminal (SAGT) handled 2.05 million TEUs. Port of Colombo recorded a 3.6% growth in transshipment containers. The handling of domestic containers was decreased by -5.2% compared to the year 2018.

Port of Colombo could not continue its growth it received in 2018 due to the delay in commencement of East Container Terminal (ECT) which resulted in a lack of capacity enhancement.

In addition to that cargo handled tonnage at Port of Galle decreased by -30.1% while the number of ships arrived decrease by -48.8% compared to 2019. The number of ships arrived at Port of Trincomalee decreased by -24.9% and the cargo handled tonnage decreased by -7.2%

### Financial Performance

| Description                           | Rs. Mn. (Non- Audited) |
|---------------------------------------|------------------------|
| Income                                | 40,769.63              |
| Direct Expenses                       | (22,385.57)            |
| <b>Gross Profit</b>                   | <b>18,384.06</b>       |
| Other Operational Income              | 11,149.89              |
| Administrative expenses               | (15,024.67)            |
| <b>Operational Profit</b>             | <b>14,509.28</b>       |
| Net financial cost                    | 287.43                 |
| Foreign exchange Gain (Loss)          | 1,358.65               |
| <b>Profit before tax</b>              | <b>16,155.37</b>       |
| Contribution to the consolidated fund | (600.00)               |
| Income tax                            | (3,485.75)             |
| Deferred tax expenses                 | (423.28)               |
| <b>Profit of the year</b>             | <b>11,646.34</b>       |



### III. CEYLON SHIPPING CORPORATION LIMITED

#### Vision & Mission

##### Vision

To develop a dependable and effective National Fleet of Ships, Shipping & Logistic facilities for the country and becoming a Stakeholder in the endeavor to developing Sri Lanka as the Maritime Hub in the Region

##### Mission

Catering to the sea transportation and related logistic needs of the export, import and local coastal Trades of Sri Lanka that needs the assistance from the National Carrier; to provide training opportunities for Sri Lankan Seafarers to make themselves competent for employment; to be a Partner in maritime leisure, cruise liner passenger ferry activities in the Indian Ocean.

#### Key Objectives

##### Towards the Trade and Customers

- Acting as a facilitator of nation's economic development by capitalizing on booming economies of the region.
- To satisfy Customers' needs at the least cost and time with the highest degree of reliability.

##### Towards the Country and Society

- To facilitate developing the country as a Navel Hub as envisaged in the National Development agenda for the future;
- To provide competitive charges for shipping needs of customers;
- Saving of foreign exchange in carriage of government import cargoes on FOB basis;
- To continue to be a shipping and logistics partner in country's Thermal Electric Power Generation Sector;
- To become a shipping partner in country's Energy / Petroleum sector.

##### Towards the Owners

- Highest contribution to the Government coffers by maximizing profit and saving much needed foreign exchange.

## Key Functions

- Ship Owning, Management, Chartering and Brokering
- Sea Transportation of Cargo
- Logistics Services & Customs Clearance (inward & outward)
- Shipping Agency Services
- Ship brokering services
- Ship chartering services
- Transportation of coal to the Lakvijaya Power plant
- Maritime training for national youth

## CSC's Owned Two Vessels

### Trading & Training Concept

The two bulk vessels; Ceylon Breeze and Ceylon Princes which have been built in Chinese ship yard were delivered on 30<sup>th</sup> January 2016 and 23<sup>rd</sup> June 2016 respectively. These two ships have been designed to cater the need of coal transportation to Lakvijaya Thermal Power Station at Puttlam. As well as to provide training for trainee cadets with berths in one whole deck that could accommodate up to 26 cadets in each ship at any given time. Accordingly, 52 training cadets could train on Board both ships.

The two ships were performed 07 voyages carrying 430,481 Metric. Tons of coal for the season 2018/19

During the monsoon period from April to Mid-August 2019 ships were successfully employed in the international charter market. The vessels employed on trip charters and voyage charters basis with the view to repositioning of the vessel for the next season for carriage of coal to Lakvijaya power station. The vessels' utilization during the 2018/19 was above 98%.

#### IV.SOUTHERN DEVELOPMENT PROGRAMME AND SOUTHERN DEVELOPMENT INTERIM BOARD

Southern Development programme was implemented to upgrade the living standards of the public in Southern Province as well as in Ratnapura and Monaragala Districts. 3 programmes were implemented under this sector.

- Sinharaja Special Integrated Development Programme
- Southern Development Programme
- Projects implemented by the Southern Development Interim Board

This program was implemented with the objective of uplifting the living standards of people living in selected rural areas bound up with Sinharaja conservation ecosystem. Identified projects in Divisional Secretariats in Kalutara, Galle, Matara and Ratnapura districts were carried out.

| District     | Approved No of projects | Approved allocation Rs. Mn. | No of Projects Completed | Expenditure Rs. Mn. | Bills in hand Rs. Mn. |
|--------------|-------------------------|-----------------------------|--------------------------|---------------------|-----------------------|
| Galle        | 12                      | 22.29                       | 12                       | 20.32               | 1.47                  |
| Matara       | 20                      | 157.59                      | 08                       | 73.20               | 19.52                 |
| i-roads      | 09                      | 430.53                      | 09                       | 73.46               | 64.46                 |
| Kaluthara    | 98                      | 102.73                      | 98                       | 95.65               | -                     |
| Ratnapura    | 20                      | 41.34                       | 13                       | 16.84               | 12.01                 |
| <b>Total</b> | <b>156</b>              | <b>754.48</b>               | <b>140</b>               | <b>279.47</b>       | <b>97.46</b>          |

Under this program Rs. Mn. 97.46 should be paid for the bills in hand of Galle, Matara and Ratnapura districts and for the i-roads project implemented in Matara district

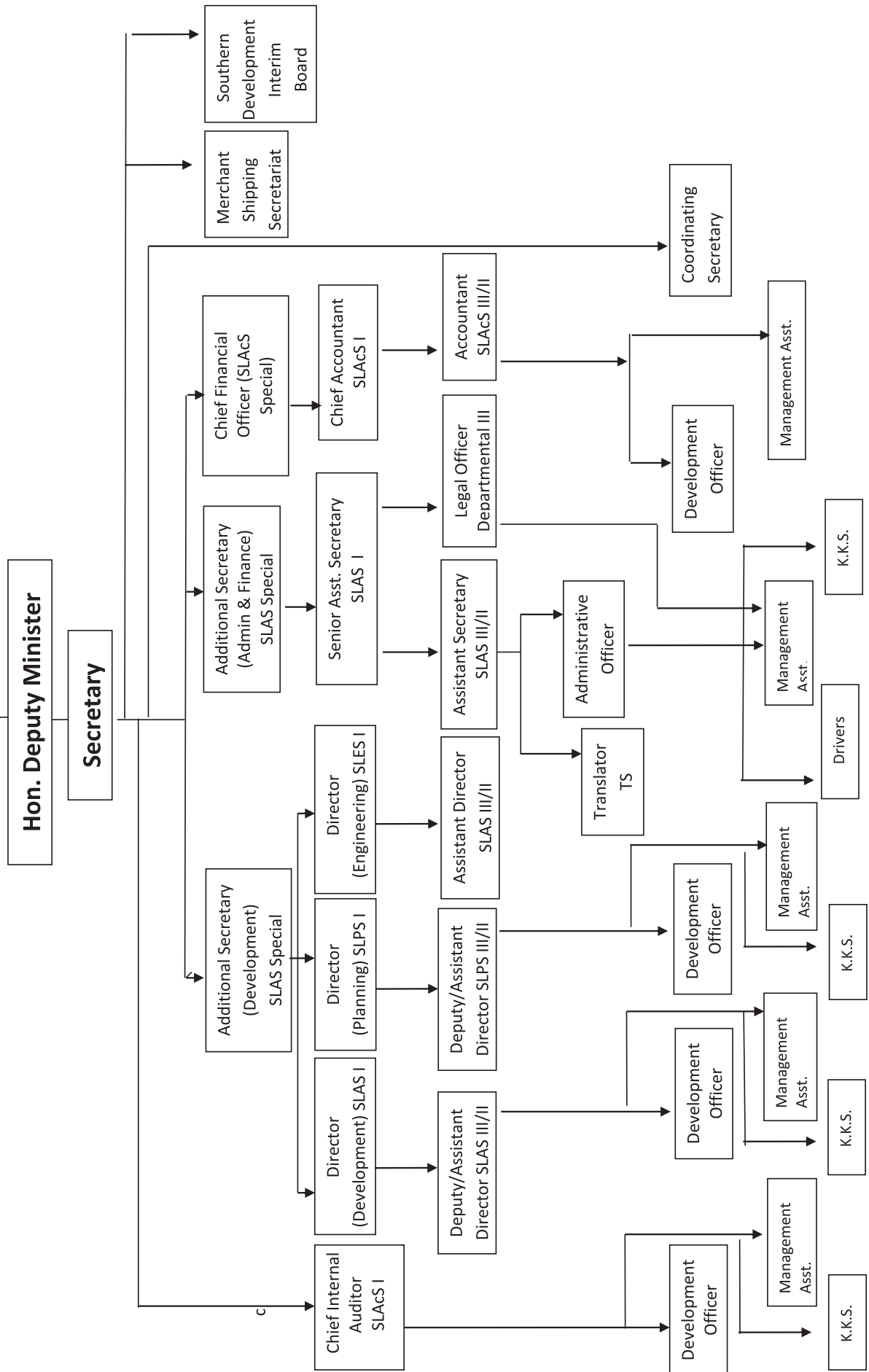
- Southern Development Programme

106.39 Million Rupees have been allocated for approved 19 Infrastructure Development Projects in the Matara District in the year 2019. Out of that 9 projects completed and 15.74 Million Rupees has been paid. Further, 3.44 Million Rupees has to be paid for the projects which have completed already.

- Projects implemented by the Southern Development Interim Board

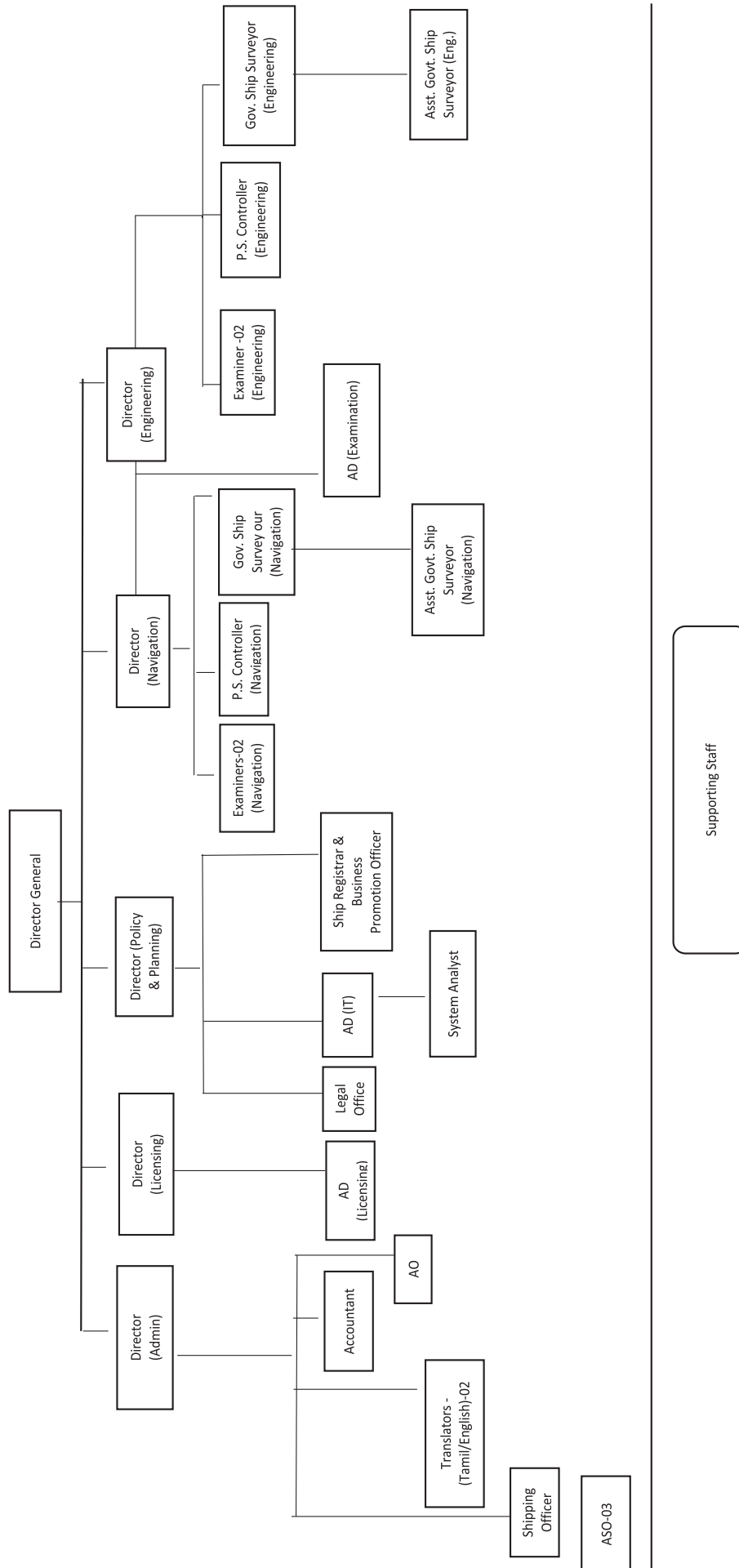
166.13 Million Rupees were allocated for the 6 projects in Matara and Galle district under this programme. 2 projects reported 100% progress and the total expenditure was 0.125 Million Rupees Further, 26.89 Million Rupees has to be paid for the projects which have completed during the year 2019.

**Hon. Minister**



## Organizational Structure of the Merchant Shipping Secretariat

## Annexure B







ඇමුණුම - I    நோக்கம் - I    Annexure - I



# ජාතික විගණන කාර්යාලය

## தேசிய கணக்காய்வு அலுவலகம்

### NATIONAL AUDIT OFFICE



මගේ අංකය  
எனது இல.  
My No. }

පිටුව/බි/එම්පිටුව/2/19/65 }

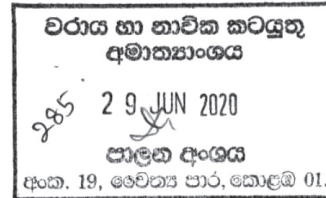
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உமது இல.  
Your No. }

දිනය  
திகதி  
Date }

2020 ජුනි 26 දින

අධ්‍යක්ෂ ජනරාල්

රාජ්‍ය ගිණුම් දෙපාර්තමේන්තුව



වරාය හා නාවික කටයුතු අමාත්‍යාංශයේ 2019 දෙසැම්බර් 31 දිනෙන් අවසන් වර්ෂය සඳහා වූ මූල්‍ය ප්‍රකාශන පිළිබඳව 2018 අංක 19 දරන ජාතික විගණන පනතේ 11(1) වන වගන්තිය ප්‍රකාරව විගණකාධිපති සම්පිණ්ඩන වාර්තාව.

යටෝක්ත වාර්තාව හා මූල්‍ය ප්‍රකාශයේ මුල් පිටපත් (සිංහල හා ඉංග්‍රීසි) මේ සමඟ එවා ඇත.

ටී.පී.අයි.පද්මණී

සහකාර විගණකාධිපති

විගණකාධිපති වෙනුවට

පිටපත :- වරාය හා නාවික කටයුතු අමාත්‍යාංශය. *Athenagama.*





# ජාතික විගණන කාර්යාලය

## தேசிய கணக்காய்வு அலுவலகம்

### NATIONAL AUDIT OFFICE



මගේ අංකය  
எனது இல.  
My No.

පිටුව/බි/එම්පීඑස්/2/19/65

ඔබේ අංකය  
உமது இல.  
Your No.

දිනය  
திகதி  
Date

2020 ජූනි 26 දින

ප්‍රධාන ගණන්දීමේ නිලධාරී

වරාය හා නාවික කටයුතු අමාත්‍යාංශය

වරාය හා නාවික කටයුතු අමාත්‍යාංශයේ 2019 දෙසැම්බර් 31 දිනෙන් අවසන් වර්ෂය සඳහා වූ මූල්‍ය ප්‍රකාශන පිළිබඳව 2018 අංක 19 දරන ජාතික විගණන පනතේ 11(1) වගන්තිය ප්‍රකාරව විගණකාධිපති සම්පිණ්ඩන වාර්තාව.

#### 1. මූල්‍ය ප්‍රකාශන

##### 1.1 මතය

වරාය හා නාවික කටයුතු අමාත්‍යාංශයේ 2019 දෙසැම්බර් 31 දිනට මූල්‍ය තත්ත්වය ප්‍රකාශය, එදිනෙන් අවසන් වර්ෂය සඳහා වූ මූල්‍ය කාර්යසාධන ප්‍රකාශය හා මුදල් ප්‍රවාහ ප්‍රකාශවලින් සමන්විත 2019 දෙසැම්බර් 31 දිනෙන් අවසන් වර්ෂය සඳහා වූ මූල්‍ය ප්‍රකාශන 2018 අංක 19 දරන ජාතික විගණන පනතේ විධිවිධාන සමඟ සංයෝජිතව කියවිය යුතු ශ්‍රී ලංකා ප්‍රජාතාන්ත්‍රික සමාජවාදී ජනරජයේ ආණ්ඩුක්‍රම ව්‍යවස්ථාවේ 154(1) ව්‍යවස්ථාවේ ඇතුළත් විධිවිධාන ප්‍රකාර මාගේ විධානය යටතේ විගණනය කරන ලදී. 2018 අංක 19 දරන ජාතික විගණන පනතේ 11(1) වගන්තිය ප්‍රකාරව වරාය හා නාවික කටයුතු අමාත්‍යාංශය වෙත ඉදිරිපත් කරනු ලබන මෙම මූල්‍ය ප්‍රකාශන පිළිබඳව මාගේ අදහස් දැක්වීම් හා නිරීක්ෂණයන් මෙම වාර්තාවේ සඳහන් වේ. ශ්‍රී ලංකා ප්‍රජාතාන්ත්‍රික සමාජවාදී ජනරජයේ ආණ්ඩුක්‍රම ව්‍යවස්ථාවේ 154(6) ව්‍යවස්ථාව සමඟ සංයෝජිතව කියවිය යුතු 2018 අංක 19 දරන ජාතික විගණන පනතේ 10 වගන්තිය ප්‍රකාරව ඉදිරිපත් කළ යුතු විගණකාධිපති වාර්තාව යථා කාලයේදී පාර්ලිමේන්තුව වෙත ඉදිරිපත් කරනු ලැබේ.

2019 දෙසැම්බර් 03 දිනැති අංක 271/2019 දරන රාජ්‍ය ගිණුම් චක්‍රලේඛ විධිවිධාන ප්‍රකාරව පිළියෙල කළ එම මූල්‍ය ප්‍රකාශනවලින් 2019 දෙසැම්බර් 31 දිනට වරාය හා නාවික කටයුතු අමාත්‍යාංශයේ මූල්‍ය තත්ත්වය සහ එදිනෙන් අවසන් වර්ෂය සඳහා මූල්‍ය කාර්යසාධනය හා මුදල් ප්‍රවාහ ප්‍රකාශය පොදුවේ පිළිගත් ගිණුම්කරණ මූලධර්මවලට අනුකූලව සත්‍ය හා සාධාරණ තත්ත්වයක් පිළිබිඹු කරන බව මා දරන්නා වූ මතය වේ.





ජාතික විගණන කාර්යාලය  
 தேசிய கணக்காய்வு அலுவலகம்  
 NATIONAL AUDIT OFFICE

1.2 මතය සඳහා පදනම

ශ්‍රී ලංකා විගණන ප්‍රමිතිවලට (ශ්‍රී.ලං.වි.ප්‍ර) අනුකූලව මා විගණනය සිදු කරන ලදී. මූල්‍ය ප්‍රකාශන සම්බන්ධයෙන් මාගේ වගකීම, විගණකගේ වගකීම යන වගන්තියේ තවදුරටත් විස්තර කර ඇත. මාගේ මතය සඳහා පදනමක් සැපයීම උදෙසා මා විසින් ලබා ගෙන ඇති විගණන සාක්ෂි ප්‍රමාණවත් සහ උචිත බව මාගේ විශ්වාසයයි.

1.3 මූල්‍ය ප්‍රකාශන සම්බන්ධයෙන් ප්‍රධාන ගණන්දීමේ නිලධාරීගේ හා ගණන්දීමේ නිලධාරීගේ වගකීම

පොදුවේ පිළිගත් ගිණුම්කරණ මූලධර්මවලට අනුකූලව සත්‍ය හා සාධාරණ තත්ත්වයක් පිළිබිඹු කෙරෙන පරිදි 2019 දෙසැම්බර් 03 දිනැති අංක 271/2019 දරන රාජ්‍ය ගිණුම් චක්‍රලේඛය විධිවිධාන ප්‍රකාරව මූල්‍ය ප්‍රකාශන පිළියෙල කිරීම හා වංචා සහ වැරදි හේතුවෙන් ඇති විය හැකි ප්‍රමාණාත්මක සාවද්‍ය ප්‍රකාශනයන්ගෙන් තොරව මූල්‍ය ප්‍රකාශන පිළියෙල කිරීමට හැකි වනු පිණිස අවශ්‍යවන අභ්‍යන්තර පාලනය තීරණය කිරීම ප්‍රධාන ගණන්දීමේ නිලධාරීගේ වගකීම වේ. 2018 අංක 19 දරන ජාතික විගණන පනතේ 16(1) වගන්තිය ප්‍රකාරව අමාත්‍යාංශය විසින් වාර්ෂික හා කාලීන මූල්‍ය ප්‍රකාශන පිළියෙල කිරීමට හැකිවන පරිදි ස්වකීය ආදායම්, වියදම්, වත්කම් හා බැරකම් පිළිබඳ නිසි පරිදි පොත්පත් හා වාර්තා පවත්වා ගෙන යා යුතුය.

ජාතික විගණන පනතේ 38(1)(ඇ) උප වගන්තිය ප්‍රකාරව අමාත්‍යාංශයේ මූල්‍ය පාලනය සඳහා සඵලදායී අභ්‍යන්තර පාලන පද්ධතියක් සකස් කර පවත්වා ගෙන යනු ලබන බවට ප්‍රධාන ගණන්දීමේ නිලධාරී සහතික විය යුතු අතර එම පද්ධතියේ සඵලදායීත්වය පිළිබඳව කලින් කල සමාලෝචනයක් සිදු කර ඒ අනුව පද්ධතිය ඵලදායී ලෙස කරගෙන යාමට අවශ්‍ය වෙනස්කම් සිදු කරනු ලැබිය යුතුය.

1.4 මූල්‍ය ප්‍රකාශන විගණනය පිළිබඳ විගණකගේ වගකීම

සමස්ථයක් ලෙස මූල්‍ය ප්‍රකාශන, වංචා හා වැරදි හේතුවෙන් ඇතිවන ප්‍රමාණාත්මක සාවද්‍ය ප්‍රකාශනයන්ගෙන් තොර බවට සාධාරණ තහවුරුවක් ලබාදීම සහ මාගේ මතය ඇතුළත් විගණකාධිපති වාර්තාව නිකුත් කිරීම මාගේ අරමුණ වේ. සාධාරණ සහතිකවීම උසස් මට්ටමේ සහතිකවීමක් වන නමුත්, ශ්‍රී ලංකා විගණන ප්‍රමිති ප්‍රකාරව විගණනය සිදු කිරීමේදී එය සෑම විටම ප්‍රමාණාත්මක අවප්‍රකාශනයන්ගෙන් තොර බවට තහවුරු කිරීමක් නොවනු ඇත. වංචා සහ වැරදි තනි හෝ සාමූහික ලෙස බලපෑම නිසා ප්‍රමාණාත්මක අවප්‍රකාශනයන් ඇති විය හැකි අතර, මෙම මූල්‍ය ප්‍රකාශන පදනම් කර ගනිමින් පරිශීලකයන් විසින් ආර්ථික තීරණ ගැනීමේදී ඒ පිළිබඳව සැලැකිලිමත් විය යුතුය.

මා විසින් වෘත්තීය විනිශ්චය සහ වෘත්තීය සැකමුසුබවින් යුතුව ශ්‍රී ලංකා විගණන ප්‍රමිති ප්‍රකාරව විගණනය කරන ලදී. තවද,

- වංචා හෝ වැරදි හේතුවෙන් මූල්‍ය ප්‍රකාශනවල ඇති විය හැකි ප්‍රමාණාත්මක සාවද්‍ය ප්‍රකාශනයන්ගේ අවදානම් හඳුනාගැනීමේදී හා තක්සේරු කිරීමේදී අවස්ථාවෝචිතව උචිත විගණන පරිපාටි සැලැස්ම



ජාතික විගණන කාර්යාලය  
 தேசிய கணக்காய்வு அலுவலகம்  
 NATIONAL AUDIT OFFICE

කිරීමෙන් වංචා සහ වැරදි හේතුවෙන් ඇතිවන්නා වූ අවදානම් මඟ හරවා ගැනීමට, ප්‍රමාණවත් සහ සුදුසු විගණන සාක්ෂි ලබා ගැනීම මාගේ මතයට පදනම් වේ. ප්‍රමාණාත්මක සාවද්‍ය ප්‍රකාශනයන්ගෙන් සිදුවන බලපෑමට වඩා වංචාවකින් සිදුවන්නා වූ බලපෑම ප්‍රබල වන අතර, දුස්ස්‍රෝධාත්මක, ව්‍යාජ ලේඛන සැකසීම, වෙනත්විධ මඟහැරීම, හෝ අභ්‍යන්තර පාලනයන් මඟ හැරීම වංචාවක් ඇතිවීමට හේතුවේ.

- අවස්ථාවෝචිතව උචිත විගණන පරිපාටි සැලසුම් කිරීම පිණිස අමාත්‍යාංශයේ අභ්‍යන්තර පාලනයේ සම්ප්‍රදායිකව පිළිබඳ මතයක් ප්‍රකාශ කිරීමට අදහස් නොකරයි.
- හෙළිදරව් කිරීම් ඇතුළත් මූල්‍ය ප්‍රකාශනවල ව්‍යුහය සහ අන්තර්ගතය සඳහා පාදක වූ ගනුදෙනු හා සිද්ධීන් උචිත හා සාධාරණ අයුරින් මූල්‍ය ප්‍රකාශනවල ඇතුළත් බව ඇගයීම.
- සමස්ථයක් ලෙස මූල්‍ය ප්‍රකාශන ඉදිරිපත් කිරීමේදී, මූල්‍ය ප්‍රකාශනවල ව්‍යුහය හා අන්තර්ගතය සඳහා පාදක වූ ගනුදෙනු හා සිද්ධීන් උචිත හා සාධාරණව ඇතුළත් වී ඇති බව,

මාගේ විගණනයෙන් හඳුනාගත් වැදගත් විගණන සොයාගැනීම් ප්‍රධාන අභ්‍යන්තර පාලන දුර්වලතා හා අනෙකුත් කරුණු පිළිබඳව ප්‍රධාන ගණන්දීමේ නිලධාරී දැනුවත් කරන ලදී.

#### 1.5 වෙනත් නෛතික අවශ්‍යතා පිළිබඳ වාර්තාව

2018 අංක 19 දරන ජාතික විගණන පනතේ 6(ඇ) වගන්තිය ප්‍රකාරව පහත සඳහන් කරුණු මා ප්‍රකාශ කරමි.

- (අ) මූල්‍ය ප්‍රකාශන ඉකුත් වර්ෂය සමඟ අනුරූප වන බවට ,
- (ආ) ඉකුත් වර්ෂයට අදාළ මූල්‍ය ප්‍රකාශන පිළිබඳව මා විසින් කර තිබුණු නිර්දේශ ක්‍රියාත්මක කර තිබුණි.

#### 1.6 මූල්‍ය ප්‍රකාශන පිළිබඳ අදහස් දැක්වීම

##### 1.6.1 මූල්‍ය තත්ත්වය පිළිබඳ ප්‍රකාශය

##### 1.6.1.1 මූල්‍ය නොවන වත්කම්

පහත සඳහන් නිරීක්ෂණය කරනු ලැබේ.

- (අ) අමාත්‍යාංශයට අයත් වටිනාකම රු 11,000,000 ක් වූ මෝටර් රථයක් මූල්‍ය නොවන වත්කම් යටතේ දක්වා නොතිබුණු අතර අමාත්‍යාංශය විසින් මෙහෙයුම් කල්බදු ක්‍රමය යටතේ ලබාගෙන තිබුණු වටිනාකම රු 7,858,109 ක් වූ කැබ් රථයක් අමාත්‍යාංශයට අයත් මූල්‍ය නොවන වත්කමක් ලෙස දක්වා තිබුණි. මේ හේතුවෙන් මූල්‍ය තත්ත්වය පිළිබඳ ප්‍රකාශයේ දැක්වෙන මූල්‍ය නොවන වත්කම් රු. 3,141,891ක් අඩුවෙන් නිරූපනය කර තිබුණි.





ජාතික විගණන කාර්යාලය  
 தேசிய கணக்காய்வு அலுவலகம்  
 NATIONAL AUDIT OFFICE

1.6.1.2 මූල්‍ය වත්කම්

- (අ) වරාය හා නාවික කටයුතු අමාත්‍යාංශයෙන් බාහිර ආයතන වෙත ස්ථාන මාරු වී ගිය නිලධාරීන්ගෙන් 2019 දෙසැම්බර් 31 දිනට අයවිය යුතු ණය ශේෂය රු.171,402 ක් විය. ස්ථාන මාරුවී ගිය නිලධාරීන් 05 දෙනෙකුගෙන් අයවිය යුතු මෙම ශේෂය වර්ෂ 15 කට වැඩි කාලයක් තිස්සේ අයකර ගත නොහැකිව ඉදිරියට ගෙන එමින් පැවතුණි.
- (ආ) දිගු කලක සිට ඉදිරියට ගෙන එමින් පැවති වැඩ තහනම් කළ නිලධාරීන්ගෙන් අයවිය යුතු රු.293,470 ක ණය මුදල හා සේවය හැරගොස් තිබුණු නිලධාරියෙකුගෙන් අයවිය යුතු රු.21,140 ක ණය මුදල 2019 වර්ෂය අවසන් වන විටත් අයකරගත නොහැකිව තිබුණි.
- (ඇ) මැතිවරණ දෙපාර්තමේන්තුවෙන් ලබා ගෙන මහභාණ්ඩාගාරය වෙත පියවිය යුතුවූ අග්‍රිම ශේෂය රු. 459,566 ක මුදල හා මුදල් සමාන දෑ යටතේ දක්වා නොතිබුණි.
- (ඈ) මූල්‍ය තත්ත්ව ප්‍රකාශයේ ශුද්ධ වත්කම් රු. 459,566 ක් අඩුවෙන් දක්වා තිබුණි.

2. මූල්‍ය සමාලෝචනය

2.1 වියදම් කළමනාකරණය

- (අ) ශීර්ෂ 176-02- සංවර්ධන වැඩසටහන යටතේ වරාය සංවර්ධන ව්‍යාපෘති 03 ක් සඳහා රු.159,000,000 ක ප්‍රතිපාදන සලසාගෙන තිබුණු නමුත් එම සංවර්ධන ව්‍යාපෘති ක්‍රියාත්මක කර නොතිබීමෙන් ප්‍රතිපාදිත මුදල් සම්පූර්ණයෙන්ම ඉතිරිව පැවතුණි.
- (ආ) දක්ෂිණ සංවර්ධනයට අදාළ ව්‍යාපෘති 03 ක් සඳහා සලසා තිබුණු ප්‍රතිපාදනයෙන් අවුරා තබන ලද ප්‍රතිපාදන ගැලපීමෙන් අනතුරුව රු.1,282,020,000 ක ප්‍රතිපාදන සලසා තිබුණු අතර එම ප්‍රතිපාදන ප්‍රශස්ථ ලෙස උපයෝජනය නොකිරීමෙන් ප්‍රතිපාදනයෙන් සියයට 43 සිට සියයට 94 දක්වා පරාසයක ඉතිරිවීම් වාර්තාගතව තිබුණි. තවද, භාණ්ඩාගාර පොත් අනුව මෙම ව්‍යාපෘති තුනට අදාළව 2019 දෙසැම්බර් 31 දිනට පැවති බැඳීම් හා බැරකම් ප්‍රමාණය රු.226,008,380 ක්වූ අතර එම බැරකම් ගැලපීමෙන් අනතුරුව තවදුරටත් ඉතිරිව පැවති ප්‍රතිපාදනය රු. 596,587,767 ක් වූ අතර එය ප්‍රතිපාදිත මුදලින් සියයට 47 ක් නිරූපනය කෙරුණි.
- (ඇ) ත්‍රිකුණාමල වරාය හා නාවික කටයුතු වැඩිදියුණු කිරීමේ ව්‍යාපෘතිය සඳහා ජපන් රජයේ ප්‍රදාන මත රු.මිලියන 180ක උපකරණ සපයා තිබූ අතර මේ සඳහා රේගු ගාස්තු හා බදු වෙනුවෙන් රු. මිලියන 300 ප්‍රතිපාදන අමාත්‍යාංශය මගින් සලසා ගෙන තිබුණි. මුදල් රෙගුලාසි 50 ප්‍රකාරව නිවැරදි ලෙස වියදම් ඇස්තමේන්තු සකස් නොකිරීම හේතුවෙන් අදාළ රේගු ගාස්තු හා බදු ගෙවීමෙන් අනතුරුව ප්‍රතිපාදිත මුදලින් සියයට 40 ක් හෙවත් රු. මිලියන 121.28 ක ප්‍රතිපාදන ඉතිරි වී තිබුණි.



ජාතික විගණන කාර්යාලය  
 தேசிய கணக்காய்வு அலுவலகம்  
 NATIONAL AUDIT OFFICE

## 2.2 බැඳීම් හා බැරකම් වලට එළඹීම

(අ) ඉදිරිපත් කර තිබුණු මූල්‍ය ප්‍රකාශනවල සටහන (iii) අනුව 2019 දෙසැම්බර් 31 දිනට පැවති බැඳීම් හා බැරකම්වල එකතු වටිනාකම රු.156,257,557 ක් ලෙස දක්වා තිබුණු නමුත් භාණ්ඩාගාර ප්‍රකාශන අනුව බැඳීම් හා බැරකම් වටිනාකම රු. 299,706,210 ක් විය. ඒ අනුව ගිණුම් මගින් නිරූපනය වන බැඳීම් හා බැරකම් වටිනාකම හා භාණ්ඩාගාර ප්‍රකාශන අනුව දැක්වෙන බැඳීම් හා බැරකම් වටිනාකම අතර රු. 143,448,653 ක වෙනසක් නිරූපනය විය.

(ආ) වැය විෂයයන් 03 කට අදාළව වාර්ෂික ප්‍රතිපාදන ඉක්මවා රු.42,645,949 ක බැරකම්වලට එළඹ තිබුණු බව නිරීක්ෂණය විය.

දිස්ත්‍රික් ලේකම් කාර්යාල විසින්ද මෙම බැඳීම් හා බැරකම් භාණ්ඩාගාරයට වාර්තා කර ඇති බවත් ඒ හේතුවෙන් භාණ්ඩාගාර පොත්වල සිදුවූ ද්විගණනය වීමක් ඉහත (අ) හා (ආ) හි දැක්වෙන නිරීක්ෂණ සඳහා හේතුවී තිබුණු බව ප්‍රධාන ගනන්දීමේ නිලධාරී විසින් විගණනයට වාර්තාකර තිබුණි. කෙසේ නමුත් එම ද්විගණනය වූ බැරකම් පිළිබඳ විස්තර විගණනයට ඉදිරිපත් නොවුණි.

(ඇ) තවද විගණන පරීක්ෂාවන් අනුව 2019 වර්ෂය සඳහා වූ රු. 4,313,283 ක බැරකම් 2020 ජනවාරි හා පෙබරවාරි මාසයන්හිදී නිරවුල් කර තිබුණු අතර මෙම වියදම්, බැඳීම් හා බැරකම් ප්‍රකාශයේ බැරකම් ලෙස හඳුනාගෙන නොතිබූ බව නිරීක්ෂණය විය.

## 2.3 ප්‍රධාන ගණන්දීමේ නිලධාරී විසින් සිදු කළ යුතු සහතිකවීම්

2018 අංක 19 දරන ජාතික විගණන පනතේ 38 වන වගන්තියේ විධිවිධාන අනුව අමාත්‍යාංශයේ මූල්‍ය පාලනය සඳහා සඵලදායී අභ්‍යන්තර පාලන පද්ධතියක් සකස් කර පවත්වා ගෙන යනු ලබන බවට ප්‍රධාන ගණන්දීමේ නිලධාරී සහතික විය යුතු අතර එම පද්ධතියේ සඵලදායීතාවය පිළිබඳව කලින් කල සමාලෝචනය සිදු කර ඒ අනුව පද්ධති ඵලදායී ලෙස කර ගෙන යෑමට අවශ්‍ය වෙනස්කම් සිදු කරනු ලැබිය යුතු බවත්, එම සමාලෝචනයන් ලිඛිතව සිදු කර එහි පිටපතක් විගණකාධිපති වෙත ඉදිරිපත් කළ යුතුව තිබුණත්, එවැනි සමාලෝචනයන් සිදු කළ බවට ප්‍රකාශ විගණනයට ඉදිරිපත් කර නොතිබුණි.

## 2.4 නීති, රීති හා රෙගුලාසිවලට අනුකූල නොවීම

2019 දෙසැම්බර් 03 දිනැති අංක 271/2019 දරන රාජ්‍ය ගිණුම් චක්‍රලේඛය අනුව 2019 වර්ෂය සඳහා වූ අවසන් මූල්‍ය ප්‍රකාශන සිංහල, දෙමළ හා ඉංග්‍රීසි යන භාෂා ත්‍රයෙන් පිළියෙලකර විගණකාධිපතිවරයා වෙත ඉදිරිපත් කළයුතු වෙතත් දෙමළ භාෂා පිටපත් ඉදිරිපත් කර නොතිබුණි.



ජාතික විගණන කාර්යාලය  
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 NATIONAL AUDIT OFFICE

3. මෙහෙයුම් සමාලෝචනය හා කාර්යසාදනය.

ඉදිරිපත් කරනු ලබන මූල්‍ය ප්‍රකාශනවල සඳහන් ආදායම්, වියදම්, වත්කම් හා වගකීම් සම්බන්ධයෙන් මෙහෙයුම් සමාලෝචනය, තිරසාර සංවර්ධනය, යහපාලනය හා මානව සම්පත් කළමනාකරණයට අදාළ විගණන නිරීක්ෂණ ඉහත 1.1 ඡේදයේ දක්වා ඇති 2018 අංක 19 දරන ජාතික විගණන පනතේ 10 වගන්තිය ප්‍රකාරව ඉදිරිපත් කරනු ලබන වාර්තාවට ඇතුළත් කරනු ලැබේ.

*Athenagawa.*

ටී.පී.අයි. පද්මණි

සහකාර විගණකාධිපති

විගණකාධිපති වෙනුවට.

