

2019

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வருடாந்த செயற்திறன் அறிக்கை
Annual Performance Report



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மாநகர மற்றும் மேல் மாகாண அபிவிருத்தி அமைச்சு
Ministry of Megapolis and Western Development



Ministry of Megapolis and Western Development
(Presently Ministry of Urban Development, Water Supply and Housing Facilities)
Expenditure Head No: 162

Annual Performance Report - 2019

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Chapter 01

01. Institutional Profile / Executive Summary

1.1 Introduction

Ministry of Megapolis and Western Development was established by way of the Extraordinary Gazette Notification No. 1933/13 published on 21st September 2015. After establishing the Ministry of Urban Development, Water Supply and Housing Facilities by way of the Extraordinary Gazette Notification No 2153/12 published on 10th December 2019, Departments, Institutions, Projects and so on which were under the previous Ministry of Megapolis and Western Development were vested in the subject scope of this new Ministry.

As per the special format introduced, by the Department of Public Finance by their letter No. PFD/RED/COPA/2019/01 dated 30.01.2020, effective from 2019, this report has been prepared including the details of the functions which were done by the Ministry of Megapolis and Western Development with regard to the financial year 2019.

1.2 Vision, Mission, Objectives of the Institution

Vision

Sustainable Urban life, ensuring Economic and Spiritual wellbeing of the people and gracious living in harmony with nature.

Mission

To accomplish economic prosperity and enhance quality of life of the citizens of Sri Lanka through creating well designed, green, clean and smart, urban settlements in strategic locations of the country with the engagement of best design, engineering and town planning skills, state-of-art technology and world best practices.

Objectives

The prime objective of the Ministry is to bring systematic changes and development processes into the urban community in Sri Lanka which will ensure that the inhabitants of urban areas become a part of socio economic development of the country while maintaining high levels in quality of life. This will pave the way for making Sri Lanka a commercial, naval and aviation hub of Asia.

Among the responsibilities of the Ministry, preparation of national physical plan to whole Sri Lanka, preparation of strategic and common urban plan and implementation of such plans are included. Further, the Ministry envisages transforming the entire Western Province into a Megapolis Area to usher in comprehensive development within the next five years.

Western Region Megapolis Development will be the epicenter towards a long standing, firmly established and sustainable future urban process of Sri Lanka. Further, to design smart urban settlements in strategic locations of the country, it will also seek to discover solutions to resolve distinctive issues related to urbanization such as garbage, slums, energy, traffic, environment and livelihoods.

1.3 Key Functions

- Formulation of policies, programmes and projects, monitoring and evaluation in regard to the subject Ministry of Megapolis and Western Development, and subjects that come under the purview of Department and Statutory Institutions of the Ministry
- Kottawa, Kaduwela and Kadawatha Township Development Project and related activities
- Integrated, systematic promotion and regulation of economic, social and physical development of urban areas
- Urban solid waste management
- Matters relating to reclamation and development of low lying areas
- Provide necessary guidance to develop urban areas with low level of services and facilities and marshy land according to a common plan
- Preparation of National Physical Plan and Regional Physical Plans
- Direct and regulate all construction work on the basis of national physical plans in integrated urban Development
- Supervision of the Institutions listed under the Ministry

1.4 Organizational Chart

Please see the page number 29

1.5 Departments under the Ministry

National Physical Planning Department

1.6 Institutions under the Ministry

Urban Development Authority

Sri Lanka Land Development Corporation

1.7 Details of the Foreign Funded Projects (if any)

#	Name of the Project		Donor Agency	Estimated Cost of the Project (US\$.Mn)		Project Duration
				Foreign	Local	
1	Strategic Cities Development Projects	Kandy and Galle	World Bank	45	147	2014 - 2021
		Jaffna		10	55	2016 - 2021
		Anuradhapura	Agency of France Development	10	57.5	2016 - 2021
		Urban Project Preparatory Facility	Asian Development Bank	1	5	2019 - 2021
2	Metro Colombo Urban Development Project		World Bank	108	213	2012 - 2020
3	Light Rail Transit Project		Japan International Cooperation Agency	350	1,850	2017 - 2026

Chapter 02

2. Progress and future outlook

2.1. Special achievements, challengers and future targets

Special Achievements

- Making great move in transport sector, the first Multimodal Transport Centre of Sri Lanka was declared open and commissioned for people on 31st March 2019 in Makumbura, Kottawa
- 3,155 housing units were constructed in the year 2019 and selected 2,700 shanties and low income families in Colombo were resettled
- 63 projects which were implemented for the improvement of sustainable urbanization, were Commissioned for people

Bus Stands	-	10
Weekly fairs	-	10
Multi purpose buildings	-	11
Vehicle parks	-	06
Public premises and entertainment facilities	-	18
Development of common facilities in religious centres	-	08

- Publishing the National Physical Plan

National Physical Plan that directs the way for all development activities of the country, was published by the Extraordinary Gazette No. 2127/15 on 12.06.2019

- Colombo Port City Project
 - Reclamation of the total project area which is 446.61 Hectares (Port city is 269.37 Hectares and lagoons and artificial seashore 177.24 Hectares)
 - Initiated to build up the infrastructure facilities in the proposed port city
 - Declaration of the relevant land premises as an “Urban Development Area” by the Extraordinary Gazette No. 2137/57 on 21.08.2019
 - Vesting Port City area in the Urban Development Authority as a special grant of the government in accordance with Section 6 (1) of the State Lands Ordinance.

Challenges

Financial Challenges

- Although the provision was allocated for projects from Budget, the required imprests were not received as appropriate

Other Challenges

Adverse effects of the Easter Sunday attack

- Non arrival of local employees who left for their hometowns for the Sinhala New Year
- Non arrival of foreign skilled / non skilled employees to work sites during the time period in which the emergency law was imposed
- Inability to procure construction materials under gunpowder restrictions
- Inability to operate night shifts due to security reasons
- Projects implemented in association with high security zones were temporarily suspended
- Inability to obtain the assistance of the foreign specialists for projects due to the problems of issuing visa

Future Goals

- Preparing Colombo Metropolitan Area for future flooding situations by completion of pumping stations and subway projects, which are underway
- Originating efficient and productive public transport service in the Western Province through the establishment of Light Rail Transit project
- Completing the construction of sanitary landfill in year 2020 which is prepared for disposing 1,200 Metric Tons of urban solid waste per day

Chapter - 03

3. Overall Financial Performance for the Year ended 31st December 2019

3.1. Statement of Financial Performance

		ACA - F		
Ministry of Megapolis and Western Development				
Statement of Financial Performance				
for the period ended 31st December 2019				
Rs.				
Budget 2019	Note	Actual 2019	Actual 2018	
-	Revenue Receipts	-	-	
-	Incomr Tax	-	-	ACA-1
-	Taxes on Domestic Goods & Services	-	-	
-	Taxes on International Trade	-	-	
-	Non Tax Revenue & Others	-	-	
-	Total Revenue Receipts (A)	-	-	
-	Non Revenue Receipts	-	-	
-	Treasury Imprests	29,266,135,908	21,058,569,526	ACA-3
-	Deposits	1,140,917,027	491,009,532	ACA-4
-	Advance Accounts	8,529,656	8,861,085	ACA-5
-	Other Receipts	82,285,848	3,276,629,370	
-	Total Non Revenue Receipts (B)	30,497,868,439	24,835,069,513	
-	Total Revenue Receipts & Non Revenue Receipts C = (A) + (B)	30,497,868,439	24,835,069,513	
	Less: Expenditure			
-	Recurrent Expenditure			
116,580,000	Wages, Salaries, & Other Employment Benefits	107,841,303	97,848,559	ACA-2(ii)
251,550,000	Other Goods & Services	221,015,537	425,399,133	
365,660,000	Subsides, Grants and Transfers	1,157,559	181,684,994	
-	Interest Payments	-	-	
100,000.00	Other Recurrent Expenditure	51,000	115,500	
733,890,000	Total Recurrent Expenditure(D)	330,065,399	705,048,186	
	Capital Expenditure			
6,000,000	Rehabilitation & Improvement of Capital Assets	3,827,975	2,189,429	ACA-2(ii)
556,100,000	Acquisition of Capital Assets	367,065,885	4,791,824	
4,416,540,000	Capital Transfers	2,258,498,734	1,666,876,000	
	Acquisition of Financial Assets	-	-	
500,000	Capacity Building	379,000	1,059,800	
53,030,000,000	Other Capital Expenditure	42,353,358,621	29,741,355,099	
58,009,140,000	Total Capital Expenditure (E)	44,983,130,215	31,416,272,152	
	Main Ledger Expenditure (F)	151,804,169	231,471,206	
-	Deposit Payments	141,921,563	219,901,142	ACA-4
-	Advance Payments	9,882,606	11,570,064	ACA-5
-	Total Expenditure G=(D+E+F)	45,464,999,783	32,352,791,544	
-	Imprest Balance as at 31st December 2019 H = (C-G)	(14,967,131,344)	(7,517,722,031)	

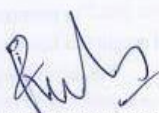
3.2. Statement of Financial Position

ACA-2

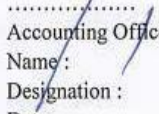
Ministry of Megapolis and Western Development
Statement of Financial Position
As at 31st December - 2019

	Note	Actual	
		2019 Rs.	2018 Rs.
Non Financial Assets			
Property, Plant & Equipments	ACA-6	175,602,083	170,114,492
Financial Assets			
Advance Accounts	ACA-5/5(a)	345,403,807	21,624,877
Cash & Cash Equivalents	ACA-3	3,050.00	-
Total Assets		521,008,940	191,739,369
Non Assets / Equity			
Net Worth to Treasury		(1,692,622,446)	(694,979,933)
Property, Plant & Equipment Reserve		175,602,083	170,114,492
Rent and Work Advance Reserve	ACA-5(b)	322,425,980	
Current Liabilities			
Deposits Accounts	ACA-4	1,715,600,273	716,604,810
Imprest Balance	ACA-3	3,050.00	-
Total Liabilities		521,008,940	191,739,369

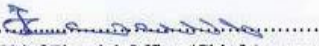
Detail Accounting Statements in ACA format Nos. 1 to 6 presented in pages from 05 to 56 and Notes to accounts presented in pages from 57 to 86 form and integral parts of these Financial Statements. The Financial Statements have been prepared in complying with the Generally Accepted Accounting Principles whereas most appropriate Accounting Policies are used as disclosed in the Notes to the Financial Statements and hereby certify that figures in these Financial Statements, Notes to accounts and other relevant accounts were reconciled with the Treasury Books of Accounts and found to in Agreement.



.....
Chief Accounting Officer
Name :
Designation :
Date: 28/02/2020




.....
Accounting Officer
Name :
Designation :
Date:



.....
Chief Financial Officer/Chief Accountant/
Director (Finance)/Commissioner (Finance)
Name:
Date: 28/02/2020

Dr. (Eng.) Priyath Bandu Wickrama
Secretary
Ministry of Urban Development, Water Supply and
Housing Facilities
17th Floor, "Suhurupaya", Battaramulla.

2


H.P. Lalith Kumara
Chief Accountant
Ministry of Urban Development, Water Supply and
Housing Facilities
17th Floor, "Suhurupaya", Battaramulla.

3.3. Statement of Cash Flows

Ministry of Megapolis and Western Development Statement of Cash Flows for the Period ended 31st December - 2019		
	2019 Rs.	Actual 2018 Rs.
Cash Flows from Operating Activities		
Total Tax Receipts	-	-
Fees, Fines, Penalties and Licenses	-	-
Profit	-	-
Non Revenue Receipts	1,223,202,874	3,767,348,351
Revenue Collected from the Other Heads	-	-
Imprest Received	29,266,135,908	21,058,569,526
Total Cash generated from Operations (a)	30,489,338,782	24,825,917,877
Less - Cash disbursed for:		
Personal Emoluments & Operating Payments	328,840,360	520,874,925
Subsides & Transfer Payments	30,151,648,300	24,296,253,156
Expenditure on Other Heads	1,711,729	-
Imprest Settlement to Treasury	-	-
Total Cash disbursed for Operations (b)	30,482,200,389	24,817,128,081
NET CASH FLOW FROM OPERATING ACTIVITIES(C) = (a) - (b)	7,138,393	8,789,796
Cash Flows from Investing Activities		
Interest	-	-
Dividends	-	-
Divestiture Proceeds & Sale of Physical Assets	-	-
Recoveries from On Lending	-	-
Recoveries from Advance	7,574,315	7,344,892
Total Cash generated from Investing Activities (d)	7,574,315	7,344,892
Less - Cash disbursed for:		
Purchase or Construction of Physical Assets & Acquisition of Other Investment	4,827,052	4,734,324
Advance Payments	9,882,606	11,400,364
Total Cash disbursed for Investing Activities (e)	14,709,658	16,134,688
NET CASH FLOW FROM INVESTING ACTIVITIES(F) = (d) - (e)	(7,135,343)	(8,789,796)
NET CASH FLOWS FROM OPERATING & INVESTMENT ACTIVITIES (g)=(c)+(f)	3,050	(0)
Cash Flows from Financing Activities		
Local Borrowings	-	-
Foreign Borrowings	-	-
Grants Received	-	-
Deposit Received	-	-
Total Cash generated from Financing Activities (h)	-	-
Less - Cash disbursed for:		
Repayment of Local Borrowings	-	-
Repayment of Foreign Borrowings	-	-
Deposit Payments	-	-
Total Cash disbursed for Financing Activities (i)	-	-
NET CASH FLOW FROM FINANCING ACTIVITIES (J)=(h)-(i)	-	-
Net Movement in Cash (k) = (g) - (j)	3,050	-
Opening Cash Balance as at 01st January - 2019	-	-
Closing Cash Balance as at 31st December - 2019	-	-

3.4. Notes to the Financial Statements

Basis of Reporting

1) Reporting Period

The reporting period for these Financial Statements is from 01st January to 31st December 2019.

2) Basis of Measurement

The Financial Statements have been prepared on historical cost modified by the revaluation of certain assets and accounted on a modified cash basis, unless otherwise specified.

The figures of the Financial Statements are presented in Sri Lankan rupees rounded to the nearest rupee.

3) Recognition of Revenue

Exchange and non exchange revenues are recognised on the cash receipts during the accounting period irrespective of relevant revenue period.

4) Recognition and Measurement of Property, Plant and Equipment (PP&E)

An item of Property, Plant and Equipment is recognized when it is probable that future economic benefit associated with the assets will flow to the entity and the cost of the assets can be reliably measured.

PP&E are measured at a cost and revaluation model is applied when cost model is not applicable.

5) Property, Plant and Equipment Reserve

This revaluation reserve account is the corresponding account of PP&E.

6) Cash and Cash Equivalents

Cash & cash equivalents include local currency notes and coins on hand as at 31st December 2019.

3.5. Performance of the Revenue Collection

Revenue Code	Description of the Revenue Code	Revenue Estimate		Collected Revenue	
		Original	Final	Amount (Rs.)	as a % of Final Revenue Estimate
Not Applicable					

3.6. Performance of the Utilization of Allocation

Type of Allocation	Allocation		Expenditure according to the Treasury printouts	Bills in hand 31.12.2019 (Rs.)	Actual Expenditure with bills in hand	Allocation Utilization as a % of Final Allocation
	Original	Final				
Recurrent	737,000,000.00	733,890,000.00	330,065,400.00	366,000,000.00	696,065,400.00	95%
Capital	48,992,000,000.00	58,009,140,000.00	44,983,130,215.00	10,033,530,000.00	55,016,660,215.00	95%

3.7. Interms of F.R.208 grant of allocations for expenditure to this Department/District Secretariat/Provincial Council as an agent of the other Ministries/Departments

Serial No.	Allocation Received from Which Ministry /Department	Purpose of the Allocation	Allocation		Actual Expenditure (Rs.)	Allocation Utilization as a % of Final Allocation
			Original (Rs.)	Final (Rs.)		
1	Ministry of Finance, Economic and Policy Development	Payment of Salaries for Gradutate Trainee	-	1,520,000.00	1,468,666.54	96%

3.8. Performance of the Reporting of Non-Financial Assets

Assets Code	Code Description	Balance as per Board of Survey Report as at 31.12.2019 (Rs.)	Balance as per financial Position Report as at 31.12.2019 (Rs.)	Yet to be Accounted	Reporting Progress as a %
9151	Building and Structures	-	-	-	-
9152	Machinery and Equipment	52,186,202.00	52,186,202.00	No	100%
9153	Land	-	-	-	-
9154	Intangible Assets	-	-	-	-
9155	Biological Assets	-	-	-	-
9160	Work in Progress	-	-	-	-
9180	Lease Assets	-	-	-	-

3.9 Auditor General's Report

Please see Annexure 01

Chapter 04

4. Performance Indicators

4.1 Performance Indicators of the Ministry (On the basis of the Action Plan)

#	Specific Indicators	Practical Output as a percentage (%) of the expected output		
		100% - 90%	75% - 89%	50% - 74%
1	Housing			
1.1	Output of projects under construction	-	86%	-
1.2	Completion of constructions	98%	-	-
1.3	Resettlements	-	84%	-
2	Construction of sanitary landfill	93%	-	-
3	Construction of public transport infrastructure facilities	-	-	68%
4	Management of the impact of flood	-	81%	-

Chapter 05

5. Performance of achieving Sustainable Development Goals (SDG)

5.1 Identified respective Sustainable Development Goals

Goal/ Objective	Targets	Indicators of the Achievements	Progress of the Achievement To date		
			0%- 49%	50%- 74%	75%- 100%
11. Making cities and settlements perfect, safe, robust and sustainable	11.1 - By 2030, ensure access for all to adequate, safe and affordable housing and basic services and upgrade slums	Output of projects under construction	-	-	86%
		Completion of construction	-	-	98%
		Resettlements	-	-	84%
	11.2 - 11.2 by 2030, provide access to safe, affordable, accessible and sustainable transport systems for all, improving road safety, notably by expanding public transport, with special attention to the needs of those in vulnerable situations, women, children, persons with disabilities and older persons	Construction of public transport infrastructure facilities	-	68%	-
	11.5 – By 2030, significantly reduce the number of deaths and the number of people affected and substantially decrease the direct economic losses relative to global gross domestic product caused by disasters, including water-related disasters, with a focus on protecting the poor and people in vulnerable situations	Management of the impact of flood	-	-	81%
	11.6 - By 2030, reduce the adverse per capita environmental impact of cities, including by paying special attention to air quality and municipal and other waste management	Construction of sanitary landfill	-	-	93%

5.2 Achievements and Challenges of the Sustainable Development Goals:

Sector	Targets	Achievements	Challenges
Housing	Construction of houses for low income people for affordable price	<ul style="list-style-type: none"> - Achieving 98% of housing construction and 84% of resettlement in comparison to the annual target - Commencement of procurement work on proposed housing projects (5,346 units) under the bank loan scheme of Asian Infrastructure Investment Bank - Preparation of preliminary plans relating to the proposed housing projects (1,888 units) under the financial assistance of the Government of China 	<ul style="list-style-type: none"> - Non receipt of imprest on time - Delay in the supply of electricity connection to the housing schemes - Easter Sunday attack
Transport	Provide efficient and modern transport service facilities	<ul style="list-style-type: none"> - Commencement of preliminary activities of implementing a Light Rail Transit (LRT) system so as to cover the Western Province in Sri Lanka - With the experience of initiating the First Multimodal Transport Centre in Sri Lanka, Second Multimodal Transport Centre was initiated in Kadawatha and 95% of the construction of stage I was completed by 2019 and the construction of the stage II is started 	<ul style="list-style-type: none"> - Public protests - The process of land acquisition is complicated and time consuming
Management of the impact of flood	Construct pumping stations and tunnels for controlling flood in Metro Colombo arera	<ul style="list-style-type: none"> - Progress of construction of pumping stations - <ul style="list-style-type: none"> Ambathale Pumping Station 70% St. Sebastian (North) 75% St. Sebastian (South) 45% Construction / improvement of tunnels for the storm water drainage <ul style="list-style-type: none"> i) New Mutwal Tunnel - Completion of 135 meters of construction of the tunnel with 3m in diameter and 777 m in length 	<ul style="list-style-type: none"> - Easter Sunday attack labour shortage, night shifts were coming to halt, constructions in high security zones were coming to halt, inability to procure construction materials due to restriction related to gunpowder

Sector	Targets	Achievements	Challenges
		ii) Torrington tunnel network - For the purpose of controlling the impact of flood in areas including Royal College, Racecourse ground, University of Colombo, Thurstan College, Reid Avenue, Bagatale Road and Police Park, main tunnel with 3m in diameter and 1,100m in length and secondary tunnel network with the above same diameter and 2590m in length are being constructed and its progress is 47%	- Heavy rain Due to heavy rains in August and September, project activities carried out in flood prone areas were hampered
Solid waste	Provide long term solutions for urban solid waste	<ul style="list-style-type: none"> - Completion of half of the construction of Aruwakkalu Landfill and facilitating the disposal of 600 MT solid wastes per day to the landfill - Completion of around 90% of construction activities of Aruwakkalu wastewater treatment plant 	<ul style="list-style-type: none"> - Objections from various parties for transporting garbage to Aruwakkalu Landfill - Delays related to the construction of the Kelaniya Exchange Center and the Aruwakkalu Landfill

Chapter 6

Human Resource Profile

6.1 Cadre Management

#	Approved Cadre	Existing Cadre	Vacancies / (Excess)	
Senior	38	31	7	Vacant
Tertiary	6	2	4	Vacant
Secondary	95	77	18	Vacant
Primary	44	37	7	Vacant

6.2 The way in which the shortage or excess in human resources has been affected to the performance of the institute:

Although there were vacancies in the approved cadre, arrangements were made to perform the role of Ministry by managing the existing cadre. Further, actions are being taken to fill the vacancies by making aware of the Ministry of Public Administration on the necessity of cadre.

6.3 Human Resource Development

#	Name of the Program	No. of staff trained	Duration of the program	Total Investment (Rs' 000)		Nature of the Program (Abroad / Local)	Output / Knowledge Gained
				Local	Foreign		
1	Answering the audit queries	1	28 – 30.08. 2019	8	-	Local	Preparing accurate and adequate answers to audit queries
2	Procurement procedure and practical usage	1	06 - 13 and 20.06.2019	8	-	Local	Improving the knowledge on interpretation of procurement and its objectives, rules and regulations related to the procurement, procurement authorities and restriction committees, pre-procurement requirements and procurement methods and basic matters in this regard

#	Name of the Program	No. of staff trained	Duration of the program	Total Investment (Rs' 000)		Nature of the Program (Abroad / Local)	Output / Knowledge Gained
				Local	Foreign		
3	One-day seminar on delays in dealing with government and private sector contracts, non-legal contract clauses, impractical specifications and recovery of fraud and losses	1	30.05.2019	3.5	-	Local	Obtaining the knowledge regarding delays occurred in agreements related to work in the government and private sector, illegal clauses including in agreements, specifications which are not practical and practical situation in recovering frauds and losses
4	Procurement procedure for supportive staff	1	22-23.07.2019	6	-	Local	Obtaining knowledge on formality, productivity and skills development of activities like services, taxes on supplies, sales etc. under the procurement process
5	Role of accounting and practical usage	1	08.09.2019	6	-	Local	Obtaining the knowledge on principles of accounting, usage of such principles, maintenance of books related to the accountings, preparation of banking affairs and bank reconciliation reports and settlement of annual accounts
6	Awareness program on 'MS Excel' for Public Officers	1	12.13.2019	13	-	Local	Obtaining knowledge on performing of official duties systematically by using 'MS Excel' software for official activities

#	Name of the Program	No. of staff trained	Duration of the program	Total Investment (Rs' 000)		Nature of the Program (Abroad / Local)	Output / Knowledge Gained
				Local	Foreign		
7	Office Management and Financial Regulations	4	25-26.06.2019	24	-	Local	Obtaining knowledge to work correctly as per regulations stimulated in office management and financial regulations for making the services of the institution more productive through efficient and productive public officers
8	Investigation under FR 104 on loss and damages	1	30.09.2019	3	-	Local	Obtaining a good knowledge and experience on investigations done under FR 104 – losses and damages
9	Auditing and Investigation on procurement procedure	1	03, 10, 24 April and 8,15,22 May 2019	13	-	Local	Obtaining the practical knowledge with regard to the methodologies which to be used for the investigation to seek whether the procurement activities in the government and semi government are done according to the provisions, laws, rules and regulations and expenses have been born accordingly
10	Higher Diploma on Second language (Sinhala)	1	22. 07.2019	8	-	Local	Obtaining a proper knowledge on second language (Sinhala)
11	Three day training on government salary scheme	1	28-30.10.2019	18	-	Local	Educating officers on salaries and salary structure methods of paying and rejecting the annual salary increments, salary conversion, acting salaries and payments

#	Name of the Program	No. of staff trained	Duration of the program	Total Investment (Rs' 000)		Nature of the Program (Abroad / Local)	Output / Knowledge Gained
				Local	Foreign		
12	Internal Auditing	1	19, 26 March and 2, 9, 16, 23 April 2019	13	-	Local	Obtaining the knowledge on limits of subject scope of internal audit and its independency, responsibilities, role and features of internal auditor, internal auditing standards and ethics, identification of optimum methodologoes in preparing audit reports and role of the internal auditor in the audit management committee
13	Filing system	2	22.08.2019	6	-	Local	Improving the knowledge on maintenance of official files and documents in systematical and effective manner
14	Management of personal files	3	08-10.10.2019	54	-	Local	Obtaining the knowledge on maintenance of a personal file in the correct manner
15	Professional development of new Management Assistants	4	18, 19, 25 and 26.07.2019	40	-	Local	Making the affairs of the institution more productive by improving the knowledge, skills and good attitudes of Management Asssistants
16	Duties and responsibilities of the officer in charge of Leave	1	04.05.2019	3	-	Local	Educating about the role and responsibilities of the officer in charge of leave and types of leaves included in the Code of Establishments and obtaining the proper knowledge on procedures to be followed in obtaining leaves , updating a record of leaves in a proper manner and so

#	Name of the Program	No. of staff trained	Duration of the program	Total Investment (Rs' 000)		Nature of the Program (Abroad / Local)	Output / Knowledge Gained
				Local	Foreign		
17	Preparing salaries of public officers	2	24.06.2019	6	-	Local	Obtaining the knowledge on salaries and salary structure, methods of paying and rejecting the annual salary increments, salary conversion, acting salaries and payments
18	Administartion of vehicles and machineries	1	24-25.06.2019	6	-	Local	Improving the knowledge of officers on maintenance of vehicles and service activities properly
19	Code of Establishments and Financial Regulations	1	20-21.06.2019	8.5	-	Local	Obtaining a proper knowledge on the Code of Establishments and Financial Regulations
20	File Management, normal office administration and Code of Establishments	1	17-19.09.2019	18	-	Local	Maintenance of official documentation work in proper and effective manner and application of provisions in the Code of Establishemnts in performing the official activities
21	Official Training for Office Assistants' Service	3	13-14.06.2019	18	-	Local	Obtaining the knowledge on organizational structure of the government establishments and ethics to be followed, improvement in each service and procedures to be followed practically for this purpose, general conduct and disciplinary procedure

#	Name of the Program	No. of staff trained	Duration of the program	Total Investment (Rs' 000)		Nature of the Program (Abroad / Local)	Output / Knowledge Gained
				Local	Foreign		
22	Workshop on related duties, hospitality and ethics for Employees in the institution	10	01.10.2019 and 07.12. 2019	40	-	Local	Improving the knowledge of officers on the maintenance of hospitality ethics and general conduct
23	Awareness Program on implementation of (subway) Tunneling Program funded by governments of Germany and Norway	1	23.08.2019 to 02.09.2019	242.34	-	Abroad	Obtaining the practical experiences and knowledge relating to excavations for subways in Germany and Norway
24	Special Seminar on Security Capacity Building	1	02-17.06.2019	104.59	-	Abroad	Taking actions with regard to security threats to be arisen in connecton with projects
25	Capacity development program organized by the Ministry of Public Administartion for senior public citizens	1	19-26.02.2019	43.97	-	Abroad	Capacity development on government policy formulation
26	Pre-bidding program for making awareness among bidders	1	25-30.11.2019	69.17	-	Abroad	Awarness program for Japan companies in relation to invitation of bids for proposed light rail transit (LRT) project
27	Round table discussion and follow up meeting on Economic Diplomacy Program	1	19-22.02.2019	662.24	-	Abroad	Taking actions to promote investors for projects to be implemented by this Ministry
28	Regional training program on waste management and marine waste reduction	1	18.03.2019 to 22.03.2019	178.77	-	Abroad	Awareness on regionally used information on waste management and marine waste reduction and knowledge on the possibility of executing such matters in Sri Lanka

#	Name of the Program	No. of staff trained	Duration of the program	Total Investment (Rs' 000)		Nature of the Program (Abroad / Local)	Output / Knowledge Gained
				Local	Foreign		
29	4th Asia Pacific Urban Resistance and Adaptation Forum	1	15-17.04.2019	120.14	-	Abroad	obtaining the required knowledge on sustainable cities design
30	Inspection of 8 Tipping Trucks (6x6 Type) for the Solid Waste Management Project	1	01-06.12.2019	69.44	-	Abroad	Testing 'Tipping Trucks' Pre-shipping compliance test in accordance with the procurement conditions
31	Field Trip (Solid Waste Management Project)	2	07-13.07.2019	134.94	-	Abroad	The study on China's methods which were adopted in solid waste management
32	Workshop on making cities more suitable to live (smart cities)	2	28-31.10.2019	74.58	-	Abroad	Awareness on designing of smart cities which are more suitable to live
33	Special Intern Training Program on American Business	2	27.04.2019 to 11.05.2019	970.96	-	Abroad	The study on methods of US government which were adopted in solid waste management
34	Capacity Development Program on Development of Housing and Settlements	3	19.05.2019 to 01.06.2019	647.35	-	Abroad	Awareness on Housing and Settlement Development
35	Learning modules of livelihood methods and knowledge for development of digital cities and sustainability	1	17-31.08.2019	108.56	-	Abroad	Awareness on livelihoods and knowledge modules for development and sustainable maintainance of digital cities
36	Orientation program in Japan for staff of the project management unit of the light rail transit (LRT) project	3	25.08.2019 to 02.09.2019	330.04	-	Abroad	Obtaining the knowledge on orientation programs executed in Japan

#	Name of the Program	No. of staff trained	Duration of the program	Total Investment (Rs' 000)		Nature of the Program (Abroad / Local)	Output / Knowledge Gained
				Local	Foreign		
37	Contralateral training of the Delhi Metro Rail Corporation (DMRC)	1	16-21.09.2019	36.95	-	Abroad	Awareness on light rail transit (LRT) system which is operated in India
38	Tour in China to observe the existing projects equal to Colombo Port City Development Project	1	19-25.08.2019	97.87	-	Abroad	Awareness on Chinese projects in line with the Colombo Port City Development Project and acquiring knowledge on how to apply those to our country
39	Seminar on planning and construction of infrastructure facilities	1	05-25.09.2019	169.52	-	Abroad	Having educated on the planning and construction of infrastructure facilities, obtaining the knowledge in executing such facilities in Sri Lanka
40	Seminar on Construction and Management of Industrial Parks for Sri Lanka	1	23.09.2019 to 13.10.2019	188.05	-	Abroad	Having properly educated on construction and management of Industrial Parks, obtaining the proper knowledge in executing such facilities in Sri Lanka
41	Seminar on the concept of 'Belt & Road'	2	10-30.10.2019	361.44	-	Abroad	Acquiring an international knowledge on silk road
42	Seminar on International Engineering Project Management	1	10-30.12.2019	189.24	-	Abroad	Acquiring the knowledge in managing international engineering projects at the time of their execution

Chapter - 07

7. Compliance Report

No.	Applicable Requirement	Compliance Status (Complied/Not Complied)	Brief explanation for Non Compliance	Corrective actions proposed to avoid non-compliance in future
1	The following Financial statements/accounts have been submitted on due date			
1.1	Annual financial statements	Complied	-	-
1.2	Advance to public officers account	Complied	-	-
1.3	Trading and Manufacturing Advance Accounts (Commercial Advance Accounts)	Not relevant	-	-
1.4	Stores Advance Accounts		-	-
1.5	Special Advance Accounts		-	-
1.6	Others		-	-
2	Maintenance of books and registers (FR445)			
2.1	Fixed assets register has been maintained and update in terms of Public Administration Circular 267/2018	Complied	-	-
2.2	Personal emoluments register/ Personal emoluments cards has been maintained and update	Complied	-	-
2.3	Register of Audit queries has been maintained and update	Complied	-	-
2.4	Register of Internal Audit reports has been maintained and update	Complied	-	-
2.5	All the monthly account summaries (CIGAS) are prepared and submitted to the Treasury on due date	Complied	-	-
2.6	Register for cheques and money orders have been maintained and update	Complied	-	-
2.7	Inventory register has been maintained and update	Complied	-	-
2.8	Stocks Register has been maintained and update	Complied	-	-
2.9	Register of Losses has been maintained and update	Complied	-	-
2.1	Commitment Register has been maintained and update	Complied	-	-
2.11	Register of Counterfoil Books (GA - N20) has been maintained and update	Complied	-	-

No.	Applicable Requirement	Compliance Status (Complied/Not Complied)	Brief explanation for Non Compliance	Corrective actions proposed to avoid non-compliance in future
3	Delegation of functions for financial control (FR 135)			
3.1	The financial authority has been delegated within the institute	Complied	-	-
3.2	The delegation of financial authority has been communicated within the institute	Complied	-	-
3.3	The authority has been delegated in such manner so as to pass each transaction through two or more officers	Complied	-	-
3.4	The controls has been adhered to by the Accountants in terms of State Account Circular 171/2004 dated 11.05.2014 in using the Government Payroll Software Package	Complied	-	-
4	Preparation of Annual Plans			
4.1	The annual action plan has been prepared	Complied	-	-
4.2	The annual procurement plan has been prepared	Complied	-	-
4.3	The annual Internal Audit plan has been prepared	Complied	-	-
4.4	The annual estimate has been prepared and submitted to the NBD on due date	Complied	-	-
4.5	The annual cash flow has been submitted to the Treasury Operations Department on time	Complied	-	-
5	Audit queries			
5.1	All the audit queries has been replied within the specified time by the Auditor General	Complied	-	-
6	Internal Audit			
6.1	The internal audit plan has been prepared at the beginning of the year after consulting the Auditor General in terms of Financial Regulation 134(2)) DMA/1-2019	Complied	-	-
6.2	All the internal audit reports has been replied within one month	Complied	-	-
6.3	Copies of all the internal audit reports has been submitted to the Management Audit Department in terms of Sub-section 40(4) of the National Audit Act No. 19 of 2018	Complied	-	-

No.	Applicable Requirement	Compliance Status (Complied/Not Complied)	Brief explanation for Non Compliance	Corrective actions proposed to avoid non-compliance in future
6.4	All the copies of internal audit reports have been submitted to the Auditor General in terms of Financial Regulation 134(3)	Complied	-	-
7	Audit and Management Committee			
7.1	Minimum 04 meetings of the Audit and Management Committee has been held during the year as per the DMA Circular 1-2019	Complied	-	-
8	Asset Management			
8.1	The information about purchases of assets and disposals was submitted to the Comptroller General's Office in terms of Paragraph 07 of the Asset Management Circular No. 01/2017	Complied	-	-
8.2	A suitable liaison officer was appointed to coordinate the implementation of the provisions of the circular and the details of the nominated officer was sent to the Comptroller General's Office in terms of Paragraph 13 of the aforesaid circular	Complied	-	-
8.3	The boards of survey was conducted and the relevant reports submitted to the Auditor General on due date in terms of Public Finance Circular No. 05/2016	Complied	-	-
8.4	The excesses and deficits that were disclosed through the board of survey and other relating recommendations, actions were carried out during the period specified in the circular	Complied	-	-
8.5	The disposal of condemn articles had been carried out in terms of FR 772	Complied	-	-
9	Vehicle Management			
9.1	The daily running charts and monthly summaries of the pool vehicles had been prepared and submitted to the Auditor General on due date	Complied	-	-

No.	Applicable Requirement	Compliance Status (Complied/Not Complied)	Brief explanation for Non Compliance	Corrective actions proposed to avoid non-compliance in future
9.2	The condemned vehicles had been disposed of within a period of less than 6 months after condemning	Not Complied	Eventhough the diposal activies had not been done within a period of 6 months, Valuation has been completed for 3 vehicles and 1 motor bike recommended for disposal. Foraward for Chief Accountant (Project Procument) for onward actions	If vehicle are idendified for disposal, action will be taken within the stipulated time
9.3	The vehicle logbooks had been maintained and updated	Complied	-	-
9.4	The action has been taken in terms of F.R. 103, 104, 109 and 110 with regard to every vehicle accident	Complied	-	-
9.5	The fuel consumption of vehicles has been re-tested in terms of the provisions of Paragraph 3.1 of the Public Administration Circular No. 30/2016 of 29.12.2016	Complied	-	-
9.6	The absolute ownership of the leased vehicle log books has been transferred after the lease term	Complied	-	-
10	Management of Bank Accounts			
10.1	The bank reconciliation statements had been prepared, got certified and made ready for audit by the due date	Complied	-	-
10.2	The dormant accounts that had existed in the year under review or since previous years settled	Complied	-	-
10.3	The action had been taken in terms of Financial Regulations regarding balances that had been disclosed through bank reconciliation statements and for which adjustments had to be made, and had those balances been settled within one month	Complied	-	-
11	Utilization of Provisions			
11.1	The provisions allocated had been spent without exceeding the limit	Complied	-	-
11.2	The liabilities not exceeding the provisions that remained at the end of the year as per the FR 94(1)	Complied	-	-

No.	Applicable Requirement	Compliance Status (Complied/Not Complied)	Brief explanation for Non Compliance	Corrective actions proposed to avoid non-compliance in future
12	Advances to Public Officers Account			
12.1	The limits had been complied with	Complied	-	-
12.2	A time analysis had been carried out on the loans in arrears	Complied	-	-
12.3	The loan balances in arrears for over one year had been settled	Complied	-	-
13	General Deposit Account			
13.1	The action had been taken as per F.R.571 in relation to disposal of lapsed deposits	Complied	-	-
13.2	The control register for general deposits had been updated and maintained	Complied	-	-
14	Imprest Account			
14.1	The balance in the cash book at the end of the year under review remitted to TOD	Complied	-	-
14.2	The ad-hoc sub imprests issued as per F.R. 371 settled within one month from the completion of the task	Complied	-	-
14.3	The ad-hoc sub imprests had been issued exceeding the limit approved as per F.R. 371	Complied	-	-
14.4	The balance of the imprest account had been reconciled with the Treasury books monthly	Complied	-	-
15	Revenue Account			
15.1	The refunds from the revenue had been made in terms of the regulations	Not relevant	-	-
15.2	The revenue collection had been directly credited to the revenue account without credited to the deposit account	Complied	-	-
15.3	Returns of arrears of revenue forward to the Auditor General in terms of FR 176	Not relevant	-	-
16	Human Resource Management			
16.1	The staff had been paid within the approved cadre	Complied	-	-

No.	Applicable Requirement	Compliance Status (Complied/Not Complied)	Brief explanation for Non Compliance	Corrective actions proposed to avoid non-compliance in future
16.2	All members of the staff have been issued a duty list in writing	Complied	-	-
16.3	All reports have been submitted to MSD in terms of their circular no.04/2017 dated 20.09.2017	Complied	-	-
17	Provision of information to the public			
17.1	An information officer has been appointed and a proper register of information is maintained and updated in terms of Right To Information Act and Regulation	Complied	-	-
17.2	Information about the institution to the public have been provided by website or alternative measures and has it been facilitated to appreciate / allegation to public against the public authority by this website or alternative measures	Complied	-	-
17.3	Bi- Annual and Annual reports have been submitted as per section 08 and 10 of the RTI Act	Complied	-	-
18	Implementing citizens charter			
18.1	A citizen's charter/ Citizens client's charter has been formulated and implemented by the Institution in terms of the circular number 05/2008 and 05/2018(1) of Ministry of Public Administration and Management	Complied	-	-
18.2	A methodology has been devised by the Institution in order to monitor and assess the formulation and the implementation of Citizens Charter / Citizens client's charter as per paragraph 2.3 of the circular	Complied	-	-
19	Preparation of the Human Resource Plan			
19.1	A human resource plan has been prepared in terms of the format in annexure 02 of public administration circular no.02/2018 dated 24.01.2018.	Complied	-	-
19.2	A minimum training opportunity of not less than 12 hours per year for each member of the staff has been ensured in the aforesaid Human Resource Plan	Complied	-	-

No.	Applicable Requirement	Compliance Status (Complied/Not Complied)	Brief explanation for Non Compliance	Corrective actions proposed to avoid non-compliance in future
19.3	Annual performance agreements have been signed for the entire staff based on the format in Annexure 01 of the aforesaid Circular	Complied	-	-
19.4	A senior officer was appointed and assigned the responsibility of preparing the human resource development plan, organizing capacity building programs and conducting skill development programs as per paragraph No.6.5 of the aforesaid Circular	Complied	-	-
20	Responses Audit Paras			
20.1	The shortcomings pointed out in the audit paragraphs issued by the Auditor General for the previous years have been rectified	Complied	-	-

