

2019

வார்டிக கார்டு காதல வார்டாவ
வருடாந்த செயலாற்று அறிக்கை
ANNUAL PERFORMANCE REPORT



வெரல் கஃரணலு கல வெரல் கலீகந் கலுலகாகரலு டெகார்டலேந்லு
கரையோரம் லேணல் மற்றும் கரையோர மூலவள முகாமை திணைக்களம்
Coast Conservation and Coastal Resource Management Department

2019

வார்டிகை காரீச காதல வாரீகாவ
வருடாந்த செயலாற்று அறிக்கை
ANNUAL PERFORMANCE REPORT

வெரலு கலரகலலு கல வெரலு கலீகலீ கலுலகலகலரலு டேகாரீலமேலீலுல
கலரலுலாரலு பேணல் மலுறலு கலரலுலார ழுலவல ழுகாலு துணைக்கலலு
Coast Conservation and Coastal Resource Management Department

Chapter 01 – Institutional Profile / Executive Summary

1.1 Introduction

Coast Protection Unit was established in 1963 under the Colombo Port Commission and in 1978 Coast Conservation Division was set up under the Ministry of Fisheries. With the aim of conserving the coast and creating safe and sustainable development opportunities based on the high valued economical resources that exist in the coast of Sri Lanka thus the Coast Conservation Division was upgraded to an “A Grade Department” on 1st of January 1984. As such coastal stabilization projects are being allocated the highest expense out of the department’s annual budget to conserve the coastal zone of the island. Management of shoreline by effective coastal stabilization schemes contribute for the sustainable developments while management of coastal resources and developments maintain the standards of the coastal environment which are equally important and subsequently Coast Conservation and Coastal Zone Management Act No. 57 of 1981 was implemented and administration, control, custody and management of the coastal zone is vested to the Democratic Socialist Republic of Sri Lanka. Further Act No: 57 of 1981 was amended by Acts No: 64 of 1988 and No: 49 of 2011 and administration and implementation of the provisions in Act, formulation and execution of schemes for Coast Conservation and Coastal Zone Management and preparation of Coastal Zone Management Plan and other duties and functions including in Section 4 of the Act are vested to the Director General of Coast Conservation and Coastal Resource Management.

1.2 Vision

Sri Lanka to achieve the prime status among countries in South Asian region by sustainable management of coastal resources and having attractive, safe and leisure beaches.

Mission

The Sustainable development of the coastal resources and the management of coastal process through optimize social, economic and environmental status of Sri Lanka.

Objectives

1. To improve status of the costal environment.
2. To develop and manage the coastal zone
3. Safeguard coastal infrastructure & risks reduction of coastal communities.
4. To improve the living standards of coastal communities and coastal resource users.
5. To promote and facilitate economic development based upon coastal resources.

1.3 Key Functions

1. Mitigating immediate impacts of coastal erosion.
2. Providing long term coast protection solutions.
3. Preparation of shoreline management strategies.
4. Carry out coastal investigation.
5. Carry out costal wave measurement studies.
6. Implementation of coastal research program.
7. Monitoring of near-shore bathymetry changes.
8. Updating Coastal Design Guidelines.

9. Coastal monitoring and evaluation.
10. Promoting sustainable coastal user friendly coastal construction.
11. Providing guidance in construction of marine structure within the coastal zone.
12. Preparation of costal Hazard Maps (Tsunami, sea level rise, storm surge & coastal erosion).
13. Providing coast protection design review for external parties.
14. Providing design assistance for river outlet management structures.
15. Conducting coastal water quality monitoring program.
16. Updating Coastal Zone Management Plan.
17. Controlling coral mining and beach sand mining.
18. Conducting environmental impact assessment for coastal development.
19. Ensuring compliance of permit conditions for development activities.
20. Enhancing awareness of coastal issues, including Curriculum development for schools.
21. Promoting community participation in coastal resource management.
22. Implementing special area management sites to improve the livelihoods of the communities through participatory coastal management.
23. Declaration of the Affected Areas, Conservation Areas and Special Management Areas and proper management of such areas.
24. Establish Beach parks.
25. Preparation of the Coastal Access Plan and facilitating Coastal access.
26. Control the illegal construction and illegal filling of land or water bodies within the coastal zone.

The Coast Conservation Department which had been under the Ministry of Fisheries & Aquatic Resource Development was assigned to the Ministry of Ports & Aviation by the Extra Ordinary Gazette notification number 1651/20 dated 30th April, 2010.

The Coast Conservation Department was assigned to the Ministry of Defence by the Extra Ordinary Gazette notification number 1681/3 dated 22nd November, 2010 and since then the department is under the same ministry. The name of the Ministry of Defence was changed as "Ministry of Defence & Urban Development" with effect from 07th October 2011.

Coast Conservation (Amendment) Act No. 49 of 2011 approved by the Parliament and new amendment was implemented with effect from December 2011. According to the Amendment Act the word "Coast Conservation" was substituted by the word "Coast Conservation & Coastal Resource Management".

According to that amendment the name of the Department was changed as "Coast Conservation & Coastal Resource Management".

The amended Act has also made several new legal provisions to the Coast Conservation & Coastal Resource Management Act, and according to the new amends the duties of the Department has expanded.

Area of the coastal zone has extended according to the amended act.

Permission granted by law for preparation of Coast Conservation and Coastal Resource Management Plan

New legal provision to declare affected area, conservation area, beach parks and special management area and prepare of regulations.

New legal provision to take action and remove unauthorized filling in the coastal zone.

Increase power of police officers to control illegal development activities in the coastal zone.

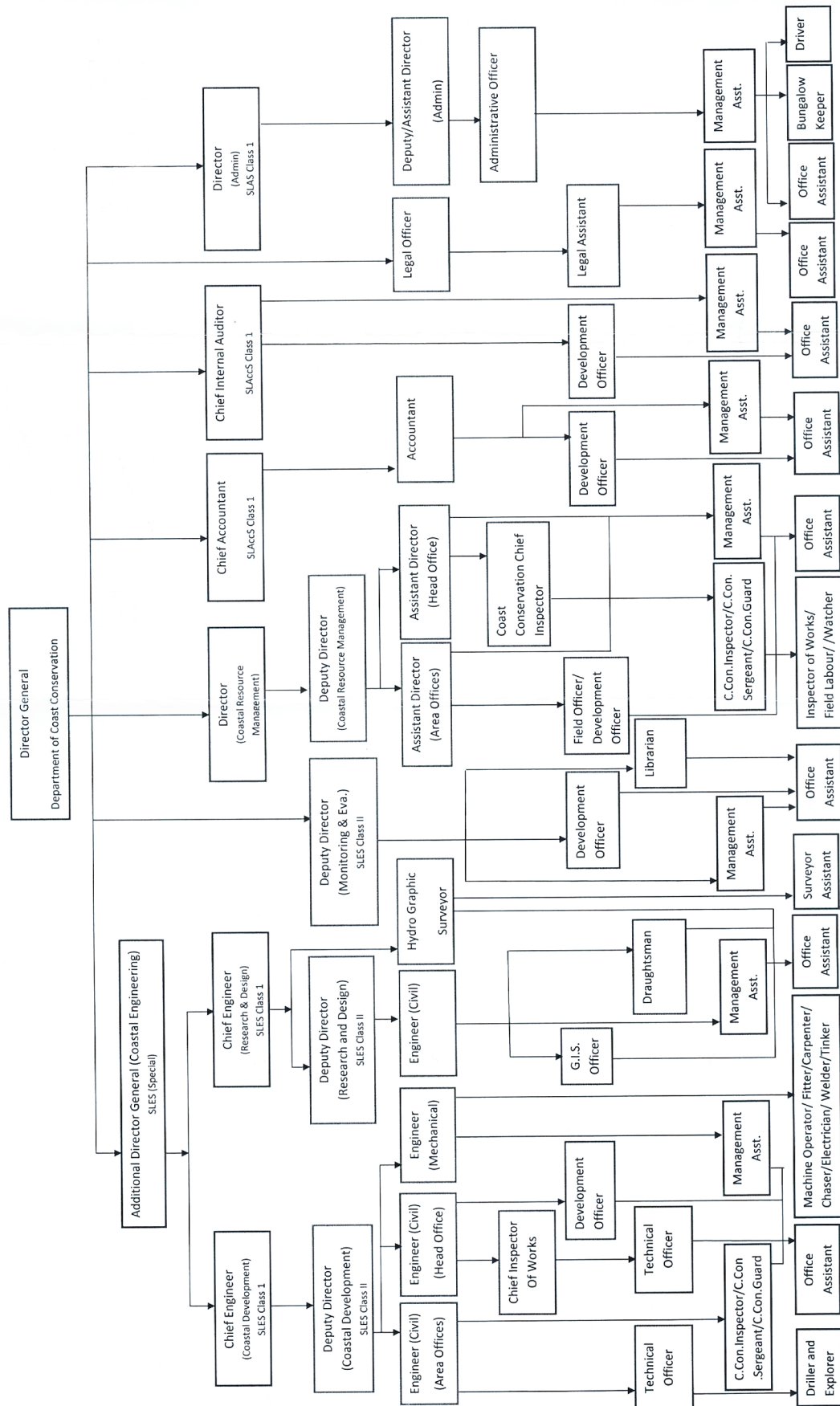
Fine has been increased and bail not be allowed by magistrate for unauthorized development activities.

Establish a coastal protection reward fund for motivation of the officers who engaged in controlling the illegal development activities in the coastal zone.

Again, the Coast Conservation & Coastal Resource Management Department was assigned to the Ministry of Mahaweli Development and Environment by the Extra Ordinary Gazette notification number 1933/13 dated 21st September, 2015.

1.4 Organizational Chart

Organization Chart of Coast Conservation & Coastal Resource Management Department



1.5 Departments under the Ministry / Main Divisions of the Department / Divisional Secretariats of the District Secretariat.

1.6 Institutions / Funds coming under the Ministry / Department / Provincial Council

1.7 Details of the Foreign Funded Projects (if any)

- | | | |
|--|---|----------------|
| a) Name of the Project | } | Not Applicable |
| b) Donor Agency | | |
| c) Estimated Cost of the Project – Rs.Mn | | |
| d) Project Duration | | |

Chapter 02 - Progress and the Future Outlook

With the population increase and accelerated economic activities in the coastal zone, attention has been given to coast conservation, development and sustainability in line with the national development goals in the long run. To this effect, action has been initiated to utilize dynamic and resourceful coastal zone in Sri Lanka in a sustainable manner whilst identifying the necessity of integrated coastal management.

Major Accomplishments during the Previous Year

1. To minimize the coastal erosion to considerable extent by protection of coastal zone to an extent of 8.8 km by implementation of 12 coast protection projects in Puttalam, Gampaha, Kalutara, Matara, Galle, Ampara, Trincomalee, Jaffna, Hambantota, Colombo and Mannar districts and 14 emergency coast protection projects which have already been implemented to protect coastal line from sudden coastal erosions.
2. Establishment of mangrove greenery zones by planting 5,500 mangrove plants in the districts of Puttalam, Batticaloa, Mannar and Jaffna and 1,000 mangrove plants in Pambala area.
3. To grant required facilities to general public to use the coastal line by identifying beach access routes in respect of Puttalam and Gampaha districts and formulating a plan for the same.
4. Implementation of beach cleaning programmes in Colombo and Matara districts.
5. To facilitate regulating activities towards management of the coastal zone by demarcating a permanent vegetative line in the districts of Puttalm, Ampara and Gampaha.
6. Punapitiya, Sandikadu sand dune in Puttalam district and Panama sand dune in Ampara district to be gazetted as prone areas for effected area and management of the said areas as sand dune conservation areas.
7. To conduct awareness programmes in Galle and Matara districts for students, teachers, general public and Public Officers. To enhance awareness on coastal issues by including it in the school curriculum.
8. Formulation of the Integrated Coastal Zone Management Plan from Negambo to Mirissa.
9. Facilitation of local and foreign travelers by construction of Koggala Beach Garden.
10. Formalization of development activities of the coastal zone by issuance of 1,893 E-permits, 03 EIAs and 09 IEEs for development activities.
11. To undertake bathymetric surveys of the ocean bed from Galle to Matara, Matara to Hambantota and Panama to Karativu.
12. To obtain information on direction and volume of the long shore sediment transport by obtaining data of the studies conducted on sediment transport in the western and southern west coastal stretch.
13. To present required indicators and information on qualitative aspects of the coastal water quality to gathering of data on quality of water in 6 tourism related coastal areas around Sri Lanka (Unawatuna, Polhena, Hikkaduwa, Arugambe, Mount Lavinia and Nilavali) and compiling the final report.
14. To minimize beach sand mining to a significant extent
15. Enhance community participation towards coastal resource management

Challenges and Future Goals

- 01. To minimize coastal erosion to a considerable extent
- 02. To minimize beach sand mining to a significant extent
- 03. To stop coral reef mining comprehensively.
- 04. To take control over illegal constructions in the coastal zone



Name : B.K. Prabath Chandrakeerthi

Designation : Director General, Coast Conservation and Coastal Resource Management Department

B. K. Prabath Chandrakeerthi
Director General
Coast Conservation & Coastal Resource Management
Department

Chapter 03 -

Overall Financial Performance for the Year ended 31st December 2019

3.1 Statement of Financial Performance

Statement of Financial Performance for the period ended 31st December 2019

Rs.

Budget 2019		Actual	
		2019	2018
-	Revenue Receipts	-	-
-	Income Tax	-	-
-	Taxes on Domestic Goods & Services	-	-
-	Taxes on International Trade	-	-
-	Non Tax Revenue & Others	-	-
-	Total Revenue Receipts (A)	-	-
-	Non Revenue Receipts		
-	Treasury Imprests	576,969,749	626,429,000
-	Deposits	18,401,631	19,818,092
-	Advance Accounts	11,735,138	19,876,260
-	Other Receipts	42,308,539	48,637,817
-	Total Non Revenue Receipts (B)	649,415,057	714,761,169
-	Total Revenue Receipts & Non Revenue Receipts C = (A)+(B)	649,415,057	714,761,169
	Less : Expenditure		
-	Recurrent Expenditure		
209,237,000.00	Wages, Salaries & Other Employment Benefits	208,731,823	190,390,945
34,895,000.00	Other Goods & Services	31,687,625	30,723,667
4,294,375.00	Subsidies, Grants and Transfers	3,997,584	3,943,124
-	Interest Payments	-	-
55,625.00	Other Recurrent Expenditure	53,319	16,875
248,482,000.00	Total Recurrent Expenditure (D)	244,470,351	225,074,611
	Capital Expenditure		
29,000,000.00	Rehabilitation & Improvement of Capital Assets	13,536,834	15,629,257
51,500,000.00	Acquisition of Capital Assets	47,032,540	32,821,248
-	Capital Transfers	-	-
-	Acquisition of Financial Assets	-	-
600,000.00	Capacity Building	586,855	594,945
679,800,000.00	Other Capital Expenditure	323,442,263	399,790,099
760,900,000.00	Total Capital Expenditure (E)	384,598,492	448,835,549
	Main Ledger Expenditure (F)	32,749,688	53,978,617
	Deposit Payments	25,631,516	29,957,485
	Advance Payments	7,118,172	24,021,132
	Total Expenditure G = (D+E+F)	661,818,531	727,888,777
-	Imprest Balance as at 31st December 2019 H = (C-G)	(12,403,474)	(13,127,609)

3.3 Statmant of Financial Position**Statement of Financial Position****As at 31st December 2019**

	Actual	
	2019 Rs	2018 Rs
Non Financial Assets		
Property, Plant & Equipment	471,658,540	387,973,059
Financial Assets		
Advance Accounts	33,140,569	46,402,276
Cash & Cash Equivalents	897,782	84
Total Assets	505,696,891	434,375,419
Net Assets / Equity		
Net Worth to Treasury	14,724,358	12,111,440
Property, Plant & Equipment Reserve	471,658,540	387,973,059
Rent and Work Advance Reserve	-	8,644,741
Current Liabilities		
Deposits Accounts	18,416,211	25,646,095
Imprest Balance	897,782	84
Total Liabilities	505,696,891	434,375,419



Chief Accounting Officer

Name : A.H.S. Wijesinghe

Designation : Secretary,
Environment &
Wildlife Resources

Date : 18.02.2020

A.H.S. Wijesinghe
Secretary
Ministry of Environment and Wildlife Resources

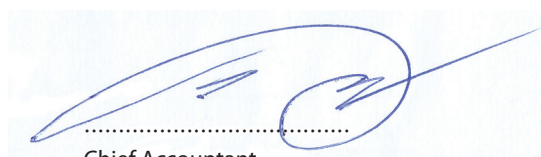


Accounting Officer

Name : B.K. Prabath
ChandrakeerthiDesignation : Director General,
Coast Conservation
& Coastal Resource
Management

Date : 10/02/2020

B. K. Prabath Chandrakeerthi
Director General
Coast Conservation & Coastal Resource Management
Department



Chief Accountant

Name : P.D.R. Kavinda

Designation : Chief Accountant,
Coast Conservation
& Coastal Resources
Management
Department

Date : 28/02/2020

P.D.R. Kavinda
Chief Accountant
Coast Conservation & Coastal
Resource Management Department
Maligawatte, Colombo 10.

3.4 Statement of Cash Flows

ACA-C

Statement of Cash Flows**for the Period ended 31st December 2019**

	Actual	
	2019 Rs.	2018 Rs.
<u>Cash Flows from Operating Activities</u>		
Total Tax Receipts	-	-
Fees, Fines, Penalties and Licenses	-	-
Profit	-	-
Non Revenue Receipts	-	-
Revenue Collected from the Other Heads	-	-
Imprest Received	627,317,283	683,528,545
Total Cash generated from Operations (a)	627,317,283	683,528,545
<u>Less - Cash disbursed for :</u>		
Personal Emoluments & Operating Payments	224,969,463	205,880,085
Subsidies & Transfer Payments	-	-
Expenditure on Other Heads	-	-
Imprest Settlement to Treasury	-	-
Total Cash disbursed for Operations (b)	224,969,463	205,880,085
NET CASH FLOW FROM OPERATING ACTIVITIES (C) = (a) - (b)	402,347,820	477,648,460
<u>Cash Flows from Investing Activities</u>		
Interest	-	-
Dividends	-	-
Divestiture Proceeds & Sale of Physical Assets	-	-
Recoveries from On Lending	-	-
Recoveries from Advance	-	-
Total Cash generated from Investing Activities (d)	-	-
<u>Less - Cash disbursed for :</u>		
Purchase or Construction of Physical Assets & Acquisition of Other Investment	371,428,898	436,526,351
Advance Payments	-	-
Total Cash disbursed for Investing Activities (e)	371,428,898	436,526,351
NET CASH FLOW FROM INVESTING ACTIVITIES (F) = (d) - (e)	(371,428,898)	(436,526,351)
NET CASH FLOWS FROM OPERATING & INVESTMENT ACTIVITIES (g) = (c) + (f)	30,918,922	41,122,109

3.4 Statement of Cash Flows

ACA-C

Statement of Cash Flows**for the Period ended 31st December 2019**

	Actual	
	2019 Rs.	2018 Rs.
Cash Flows from Financing Activities		
Local Borrowings	-	-
Foreign Borrowings	-	-
Grants Received	-	-
Deposit Received	-	-
Total Cash generated from Financing Activities (h)	-	-
Less - Cash disbursed for :		
Repayment of Local Borrowings	-	-
Repayment of Foreign Borrowings	-	-
Deposit Payments	30,021,140	41,122,025
Total Cash disbursed for Financing Activities (i)	30,021,140	41,122,025
NET CASH FLOW FROM FINANCING ACTIVITIES (J) = (h) - (i)	(30,021,140)	(41,122,025)
Net Movement in Cash (k) = (g) - (j)	897,782	84
Opening Cash Balance as at 01st January	-	-
Closing Cash Balance as at 31st December	-	84

Chapter 3.5 - Notes to the Financial Statements
(Losses under F.R. 106 and F.R. 113)

Note-(i)

Expenditure Head No : 291
Programme No. & Title : 01 - Operational
Department : Coast Conservation & Coastal Resource Management

(i) Statement of Losses Recovered/Written off/Waived off during the year.

Value	No. of Cases	Total Amount (Rs.)
Below Rs. 25,000.00	1	16,000
Over Rs. 25,000.01	3	215,851
Total	4	231,851

Classification of the cases by nature of Losses.	No. of Cases	(Rs.)
1. Vehicle Accident	3	168,026
2. Machinery Accident	1	63,825
3.		
4.		

Total	4	231,851
--------------	----------	----------------

(ii) Statement of Losses being held to be Written off/Waived off or recoverable so far

Value	No. of Cases	Total Amount (Rs.)
Below Rs. 25,000.00	1	16,000
Over Rs. 25,000.01		
Total	1	16,000

Classification of the cases by Nature of Losses	No. of Cases	(Rs.)
1. Vehicle Accident	1	16,000
2.		
3.		
4.		

Total	1	16,000
--------------	----------	---------------

(ii) Age Analysis per (ii)

Less than five years	No. of Cases	1
	Amount	Rs.16,000
5-10 years	No. of Cases	
	Amount	Rs.
Over 10 years	No. of Cases	
	Amount	Rs.

Note - Details on losses under F.R.106 and waivers under F.R. 113 accounted under object code no 1701 and such losses and waivers expected to be accounted in coming years should be included.


P.D.R. Kavinda
 Chief Accountant
 Coast Conservation & Coastal
 Resource Management Department
 Maligawatte, Colombo 10.
Chief Accountant
 Date : 28/03/2020

Statement of write off from books

Expenditure Head No : 291

Programme No. & Title : 01 - Operational

Department : Coast Conservation & Coastal Resource Management

Note-(ii)

1 Statement of losses and waivers under F.R. 109 during the year

Value	No. of Cases	Value (Rs.)
(i) Below Rs. 25,000.00	1	16,000
(ii) Over Rs. 25,000.01	3	215,851
Total	4	231,851

2 Statement of write off from the book and recoveries under F.R. 109 during the year

Nature of Loss	Opening balance which was not written off	Value of loss	Recoveries	Value written off from the book	Balance carried forward which was not written off	Reference No. of Approval for write off from the book
1 PD 4279 V Vehicle Accident	Rs. 9,964	Rs. -	Rs. 9,964	Rs. -	Rs. -	CC/Admin/Vehicle/PD 4279
2 PD 1906 Vehicle Accident	4,000	-	4,000	-	-	CC/Admin/Ad.10/PD 1906-i
3 PD 1906 Vehicle Accident	500	-	500	-	-	CC/Admin/Ad.10/PD 1906-ii
4 LE 4418 Vehicle Accident	44,200	-	22,100	22,100	-	JE190801&CC/Admin/Vehicle/LE 4418
5 GY 0031 Vehicle Accident	1,500	-	1,500	-	-	D/24 361154-Cash
6 Hyundai 140w 9 wheel Excavator	63,825	40,500	23,325	-	-	JE190801
7 PD 1046 Vehicle Accident	83,700	83,700	-	-	-	-
8 KX 9158 Vehicle Accident	68,326	60,431	7,894	-	-	JE191101
9 PD 4249 Vehicle Accident	16,000	-	-	-	16,000	-
Total	60,164	231,851	144,131	53,319	16,000	

Note - Excluding losses and waivers to be accounted in Note (i), only any other losses and waivers under F.R. 109 should be included in this format.

.....
Chief Accountant

P.D.R. Kavinda
Chief Accountant
Coast Conservation & Coastal
Resource Management Department
Maligawatte, Colombo 10.

Statement of Liabilities and Commitments

Expenditure Head No. : 291

Programme No. & Title : 01 Operational

Department : Coast Conservation & Coastal Resource Management

Note-(iii)

Name of the Person/Institution	Commitment No	Month	Date	Head	Programme	Project	Sub Project	Object Code	Finance Code	Details of Item	Commitment	Commitment Balance	Liability Date	Liability Amount	Revised Liability	Paid Liability	Liability Balance
1. Ministries/Government Department																	
DS - HAMBANTHOTA	C1912*03	December	1	291	1	1	0	1404	11	-	-	-	31/12/2019	375,500	-	-	375,500
S.A. MAHARACHCHI	C1912*40	December	2	291	1	1	0	2509	11	-	-	-	31/12/2019	20,000	-	-	20,000
B.G.S.C. NANDASENA	C1912*41	December	2	291	1	1	0	2509	11	-	-	-	31/12/2019	24,700	-	-	24,700
B.G.S.C. NANDASENA	C1912*42	October	8	291	1	1	0	1301	11	-	-	-	31/12/2019	24,866	-	-	24,866
STAFF-CC & CRM	C1912*43	December	25	291	1	1	0	2509	11	-	-	-	31/12/2019	232,555	-	-	232,555
MIN. OF FISHERIES & AQUATIC RESOURCES	C1912*44	December	31	291	1	1	0	1409	11	-	-	-	31/12/2019	377,310	-	-	377,310
MIN. OF FISHERIES & AQUATIC RESOURCES	C1912*45	December	31	291	1	1	0	1403	11	-	-	-	31/12/2019	638,892	-	-	638,892
MIN. OF FISHERIES & AQUATIC RESOURCES	C1912*46	December	31	291	1	1	0	1302	11	-	-	-	31/12/2019	19,411	-	-	19,411
Total														1,713,235	-	-	1,713,235
2. State Corporations/Statutory Boards																	
GOVERNMENT PRINTER	C191209	November	1	291	1	1	0	2509	11				31/12/2019	19,550	-	-	19,550
Total														19,550	-	-	19,550
3. Other (Private Sector)																	
HESEI CONSTRUCTIONS	C1902*04	February	6	291	1	1	0	2509	11	-	-	-	31/12/2019	11,304,048	-	5,734,956	5,569,092
DAKSHINA ENTERPRISES	C1903*09	March	15	291	1	1	0	2509	11	-	-	-	31/12/2019	18,365,275	-	15,292,571	3,072,704
LAKSHIKA METAL CRUSHER	C1903*12	March	22	291	1	1	0	2001	11	-	-	-	31/12/2019	4,765,249	-	1,702,242	3,063,008
PATHINAYAKA CONSTRUCTIONS	C1904*01	April	25	291	1	1	0	2509	11	-	-	-	31/12/2019	850,563	-	-	850,563
SUMITH ENTERPRISES	C1904*14	March	14	291	1	1	0	2509	11	-	-	-	31/12/2019	2,608,548	-	1,948,434	660,114

Name of the Person/Institution	Commitment No	Month	Date	Head	Programme	Project	Sub Project	Object Code	Finance Code	Details of Item	Commitment	Commitment Balance	Liability Date	Liability Amount	Revised Liability	Paid Liability	Liability Balance
RAJ KUMAR ENTERPRISES	C1905*08	May	1	291	1	1	0	2509	11				31/12/2019	588,060		-	588,060
DIAMOND CONSTRUCTIONS	C1905*15*1	March	12	291	1	1	0	2509	11				31/12/2019	447,703		-	447,703
TASMA MACHINERY & EQUIPMENT	C1905*16	March	22	291	1	1	0	2509	11				31/12/2019	1,428,695		720,544	708,151
RAJ KUMAR ENTERPRISES	C1905*18	May	15	291	1	1	0	2509	11				31/12/2019	1,851,148		1,130,778	720,370
LAKSHIKA METAL CRUSHER	C1905*21	May	21	291	1	1	0	2509	11	-	-	-	31/12/2019	409,314	-	-	409,314
ANAND CONSTRUCTIONS	C1905*24	May	24	291	1	1	0	2509	11	-	-	-	31/12/2019	1,501,280	-	932,030	569,250
ANANDA CONSTRUCTIONS	C1905*24*1	May	24	291	1	1	0	2509	11	-	-	-	31/12/2019	764,060	-	-	764,060
M.D.C.S. CONSTRUCTIONS	C1905*25	May	24	291	1	1	0	2509	11	-	-	-	31/12/2019	442,500	-	-	442,500
SUMITH ENTERPRISES	C190522*1	April	1	291	1	1	0	2001	11	-	-	-	31/12/2019	343,410	-	223,440	119,970
SUMITH ENTERPRISES	C1906*25	June	3	291	1	1	0	2509	11	-	-	-	31/12/2019	1,122,500	-	499,700	622,800
SUMITH ENTERPRISES	C1906*27	June	3	291	1	1	0	2001	11	-	-	-	31/12/2019	664,410	-	38,880	625,530
HESEI CONSTRUCTIONS	C1907*32	July	1	291	1	1	0	2509	11	-	-	-	31/12/2019	2,708,858	-	-	2,708,858
HARITH ENGINEERING & COMPANY	C1907*34	July	1	291	1	1	0	2509	11	-	-	-	31/12/2019	788,463	-	454,779	333,684
HARITH ENGINEERING & COMPANY	C1907*34*1	July	1	291	1	1	0	2509	11	-	-	-	31/12/2019	482,695	-	-	482,695
ARKING ENGINEERING PVT LTD	C1907*36	July	1	291	1	1	0	2509	11	-	-	-	31/12/2019	2,708,314	-	-	2,708,314
HESEI CONSTRUCTIONS	C1907*37	July	1	291	1	1	0	2509	11	-	-	-	31/12/2019	3,508,311	-	-	3,508,311
LAKSHIKA METAL CRUSHER	C1907*45	July	15	291	1	1	0	2509	11	-	-	-	31/12/2019	12,491,760	-	-	12,491,760
M.D. CONSTRUCTIONS & BUILDERS	C1907*46	July	15	291	1	1	0	2509	11	-	-	-	31/12/2019	185,150	-	-	185,150
M.D. CONSTRUCTIONS & BUILDERS	C1907*47	July	10	291	1	1	0	2509	11	-	-	-	31/12/2019	2,153,467	-	-	2,153,467
NISHA CONSTRUCTIONS	C1908*54	August	15	291	1	1	0	2509	11	-	-	-	31/12/2019	2,360,750	-	-	2,360,750
DIAMOND CONSTRUCTION	C1909*01	September	27	291	1	1	0	2509	11	-	-	-	31/12/2019	418,219	-	-	418,219
LAKSHIKA METAL CRUSHER	C1909*63	September	25	291	1	1	0	2001	11	-	-	-	31/12/2019	618,954	-	21,660	597,294
AMAN CONSTRUCTIONS & MACHINERY	C1909*64	September	25	291	1	1	0	2509	11	-	-	-	31/12/2019	827,210	-	-	827,210

Name of the Person/Institution	Commitment No	Month	Date	Head	Programme	Project	Sub Project	Object Code	Finance Code	Details of Item	Commitment	Commitment Balance	Liability Date	Liability Amount	Revised Liability	Paid Liability	Liability Balance
HESEI CONSTRUCTIONS	C1909*65	September	25	291	1	1	0	2509	11	-	-	-	31/12/2019	2,177,694	-	-	2,177,694
M.D. CONSTRUCTIONS & BUILDERS	C1909*66	October	1	291	1	1	0	2509	11	-	-	-	31/12/2019	1,126,008	-	-	1,126,008
S. ERANGA BADUGE	C1909*67	September	25	291	1	1	0	2509	11	-	-	-	31/12/2019	5,042,095	-	1,268,750	3,773,345
J.M.L.S. JAYAMAHA	C1911*07	October	15	291	1	1	0	2509	11	-	-	-	31/12/2019	30,000	-	-	30,000
S & S PRINTERS	C1912*02	October	1	291	1	1	0	2509	11	-	-	-	31/12/2019	55,000	-	-	55,000
SIMPLE ENGINEERING PVT LTD	C1912*06	December	2	291	1	1	0	2003	11	-	-	-	31/12/2019	132,900	-	-	132,900
LAKSHIKA METAL CRUSHER	C1912*15	November	13	291	1	1	0	2509	11	-	-	-	31/12/2019	357,739	-	-	357,739
SIMPLE ENGINEERS & 3	C1912*16	November	13	291	1	1	0	2002	11	-	-	-	31/12/2019	220,016	-	-	220,016
SAMANTHA ENTERPRISES	C1912*17	December	3	291	1	1	0	2509	11	-	-	-	31/12/2019	41,580	-	-	41,580
BROWN & COMPANY PLC	C1912*18	November	20	291	1	1	0	2003	11	-	-	-	31/12/2019	329,590	-	-	329,590
HARDY AUTO WORKS	C1912*19	November	20	291	1	1	0	2003	11	-	-	-	31/12/2019	12,500	-	-	12,500
DIAMOND CONSTRUCTION	C1912*21	December	2	291	1	1	0	2509	11	-	-	-	31/12/2019	44,906	-	-	44,906
Dakshina Enterprises (Pvt) Ltd	C1912*22	December	2	291	1	1	0	2509	11	-	-	-	31/12/2019	748,308	-	-	748,308
PEARL CONSTRUCTIONS	C1912*24	December	10	291	1	1	0	2509	11	-	-	-	31/12/2019	68,000	-	-	68,000
PEARL CONSTRUCTIONS	C1912*25	December	12	291	1	1	0	2509	11	-	-	-	31/12/2019	194,900	-	-	194,900
MARSHAL AUTO & HYBRID SERVICE	C1912*27	October	22	291	1	1	0	1301	11	-	-	-	31/12/2019	13,032	-	-	13,032
DIESEL & MOTOR ENGINEERING PLC	C1912*28	October	22	291	1	1	0	2003	11	-	-	-	31/12/2019	59,340	-	-	59,340
PSD MOTOR WORKS	C1912*29	November	20	291	1	1	0	2003	11	-	-	-	31/12/2019	387,000	-	-	387,000
LAUGFS LUBRICANTS	C1912*30	October	22	291	1	1	0	1301	11	-	-	-	31/12/2019	15,270	-	-	15,270
LAUGFS LUBRICANTS	C1912*31	September	25	291	1	1	0	1301	11	-	-	-	31/12/2019	32,400	-	-	32,400
BHANU CUSHION WORKS	C1912*32	September	25	291	1	1	0	1301	11	-	-	-	31/12/2019	31,000	-	-	31,000
MADARA AUTO SERVICE CENTRE	C1912*33	September	25	291	1	1	0	1301	11	-	-	-	31/12/2019	33,950	-	-	33,950
AMILA MOTORS	C1912*34	September	25	291	1	1	0	1301	11	-	-	-	31/12/2019	32,694	-	-	32,694

Name of the Person/Institution	Commitment No	Month	Date	Head	Programme	Project	Sub Project	Object Code	Finance Code	Details of Item	Commitment	Balance	Liability Date	Liability Amount	Revised Liability	Paid Liability	Liability Balance
505 MOTORS PVT LTD	C1912*35	September	25	291	1	1	0	1301	11	-	-	-	31/12/2019	170,112	-	-	170,112
M.O. FAIROSE	C1912*36	December	2	291	1	1	0	1409	11	-	-	-	31/12/2019	2,430	-	-	2,430
SUN X TECHNOLOGIES	C1912*37	December	2	291	1	1	0	2002	11	-	-	-	31/12/2019	32,500	-	-	32,500
UNITED BOOK CENTRE	C1912*38	December	2	291	1	1	0	1409	11	-	-	-	31/12/2019	6,728	-	-	6,728
LITRO GRAPHICS	C1912*39	December	2	291	1	1	0	2509	11	-	-	-	31/12/2019	13,000	-	-	13,000
JAYANTHA TRADERS	C1912*69	December	20	291	1	1	0	1301	11	-	-	-	31/12/2019	61,776	-	-	61,776
METROPOLITAN OFFICE	C191207	November	1	291	1	1	0	1302	11	-	-	-	31/12/2019	16,675	-	-	16,675
Total														88,198,055	-	29,968,763	58,229,292
Grand Total														89,930,840	-	29,968,763	59,962,077

Liabilities are transactions of which payments have not been made to the relevant parties, although goods, services or assets and services pertaining to construction contracts have been received during the respective accounting year.

Commitments are contracts or written agreements which have been entered in to with the external parties in order to obtain goods and services during the respective accounting year, although the relevant assets or services have not been received.



Chief Accountant

P.D.R. Kavinda

Chief Accountant
Coast Conservation & Coastal
Resource Management Department
Maligawatte, Colombo 10.

Date : 28/03/2020

Statement of Liabilities - (i)

Note (iv)

Statement of Commitments in terms of FR 94 (2) and (3)**Expenditure Head No. : 291****Programme No. & Title : 01 Operational****Department : Coast Conservation & Coastal Resource Management**

Name of the Person / Institution	Description of Commitments	Project	Sub Project	Object Code	Financing Code	Maximum Commitment Ceiling In terms of FR 94 (2) Provisions (Rs.)	Total Cost Estimate In terms of FR 94 (3) (Rs.)	Commitment & Liability Amount (Rs.)
1. Ministries/Government Department								
MIN. OF FISHERIES & AQUATIC RESOURCES	Lift Maintenance	1	0	1302	11	200,001		200,001
MIN. OF FISHERIES & AQUATIC RESOURCES	Sanitary Expenses	1	0	1409	11	1,360,667		1,360,667
MIN. OF FISHERIES & AQUATIC RESOURCES	Security Expenses	1	0	1409	11	3,928,012		3,928,012
Total								5,488,679
Grand Total								5,488,679



Chief Accountant

P.D.R. Kavinda

Chief Accountant

Coast Conservation & Coastal
Resource Management Department
Maligawatte, Colombo 10.

Date : 28/09/2019

Note (viii)

**The Status Report as at 31/12/2019 on Bank Accounts opened
in terms of Treasury Operation Circular No. 3/2015 of 23.10.2015
Expenditure Head No. : 291**

Department : Coast Conservation & Coastal Resource Management

Serial No.	Name of Bank	Account No.	Balance as per Bank Statement as at 31/12/2019	Balance as Per Cash Book as at 31/12/2019	Total Value of Cheques not yet Presented to Bank as at 31/12/2019 (if exceeds 6 months)	Month of Last Bank Reconciliation Prepared
1	Bank of Ceylon Taprobane	0007042641	44,637,133	-	-	December 2019



Chief Accountant

P.D.R. Kavinda

Chief Accountant
Coast Conservation & Coastal
Resource Management Department
Maligawatte, Colombo 10.

Date : 28/02/2020

3.6 Performance of the Revenue Collection

Rs. 000

Revenue Code	Description of the Revenue Code	Revenue Estimate		Collected Revenue	
		Original	Final	Amount (Rs.)	as a % of Final Revenue Estimate
2003-02-99	Administrative Fee & Charges	25.00	25.00	42.83	171.32
2002-02-99	Interest-Other	1.60	1.60	1.46	91.25

3.7 Performance of the Utilization of Allocation

Rs. 000

Type of Allocation	Allocation		Actual Expenditure	Allocation Utilization as a % of Final Allocation
	Original	Final		
Recurrent	234.045	248.482	244.470	98.39
Capital	760.900	*566.9	384.598	67.84

*Reverses the Budget 2019 as per the circular and reduce 174.0 Rs M and 20.0Rs M

3.8 In terms of F.R.208 grant of allocations for expenditure to this Department / District Secretariat / Provincial Council as an agent of the other Minister / Department

Not Applicable

3.7 Performance of the reporting of Non-Financial Assets

Rs. 000

Asset Code	Code Description	Balance As per Board of Survey Report as at 31/12/2019	Balance As per Financial Position Report as at 31/12/2019	Yet to be Accounted	Reporting Progress as a %
9151	Building & Structures	-	182.404	-	-
9152	Machinery & Equipment	-	289.254	-	-

3.10 Auditor General's Report

Letter No. TCM2/F/CCD/2/19/14 dated 24 June 2020 from the National Audit Office addressed to the Director General of Department of Coast Conservation and Coastal Resources Management

Director General
Department of Coast Conservation and Coastal Resources Management

The Summarized Report of the Auditor General on the Financial Statements of the Department of Coast Conservation and Coastal Resources Management for the year ended 31 December 2019 in terms of Section 11 (1) of the National Audit Act, No. 19 of 2018

1. Financial Statements

1.1 Qualified Opinion

The audit of the financial statements of the Department of Coast Conservation and Coastal Resources Management for the year ended 31 December 2019 comprising the statement of financial position as at 31 December 2019, the statement of financial performance and the cash flow statement for the year then ended was carried out under my direction in pursuance of provisions in Article 154(1) of the Constitution of the Democratic Socialist Republic of Sri Lanka read in conjunction with provisions in the National Audit Act, No. 19 of 2018. My comments and observations on these financial statements being presented to this Department in terms of section 11(1) of the National Audit Act, No. 19 of 2018 appear in this report. The Audit Report to be presented in pursuance of provisions in Article 154(6) of the Constitution of the Democratic Socialist Republic of Sri Lanka read in conjunction with section 10 of the National Audit Act, No. 19 of 2018 will be presented to Parliament in due course.

In my opinion, except for the effects of the matters described in Paragraph 1.6 in this report, the financial statements give a true and fair view of the financial position of the Department as at 31 December 2019 and its financial performance and cash flows for the year then ended in accordance with Generally Accepted Accounting Principles.

1.2 Basis for the Qualified Opinion

I conducted my audit in accordance with Sri Lanka Auditing Standards (SLAuSs). My responsibilities on financial statements are further described in the Auditor's Responsibilities section. I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my opinion.

1.3 Responsibility of the Chief Accounting Officer and the Accounting Officer for the Financial Statements

The Accounting Officer is responsible for the preparation of financial statements that give true and fair view in accordance with Generally Accepted Accounting Principles and in pursuance of provisions stipulated in Section 38 of the National Audit Act, No.19 of 2018 and determination of necessary internal control as required for the preparation of financial statements that are free from material misstatements occurring due to fraud and error.

As per Section 16(1) of the National Audit Act, No. 19 of 2018, the Department is required to maintain books and records of income, expenditure, assets and liabilities properly in order to prepare annual and periodic financial statements.

In terms of the Sub-section 38(1) (c) of the National Audit Act, No. 19 of 2018, the Accounting Officer shall ensure that an effective internal control system is prepared and maintained for the financial control of the Department and it should be reviewed periodically for its effectiveness and thereby make necessary alterations as required to carry out it effectively.

1.4 Auditor's Responsibility for the Audit of the Financial Statements

My objective is to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue the summarized auditor's report that includes my opinion. Although reasonable assurance is a high level of assurance, but it is not a guarantee to the effect that an audit conducted in accordance with Sri Lanka Auditing Standards will always detect material misstatement when they exists. Misstatements can be arisen to a quantitative extent due to the effects of fraud and error on individual or aggregate basis, and the users are expected to consider it when taking economic decisions on the basis of these financial statements.

I undertook the audit in terms of Sri Lanka Auditing Standards with professional judgment and professional scepticism. I also:

- identify and assess the risks of material misstatements of the financial statements whether due to fraud or error, design and perform audit procedures responsive to those risks and obtain audit evidence that is sufficient and appropriate to provide a basis for my opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions or the override of internal control.
- do not expect to express an opinion on the effectiveness of the internal control of the Department in order to design audit procedures as appropriate to the circumstances.
- evaluate whether the financial statements are appropriately and reasonably inclusive of transactions and incidents underlying the structure and contents of the financial statements including disclosures.
- evaluate whether the transactions and incidents underlying the structure and contents of the financial statements are appropriately and reasonably included in overall presentation of financial statements.

I have made the Accounting Officer aware on the significant audit findings, major internal control deficiencies and other matters that have been identified during my audit.

1.5 Report on other Legal Requirements

As required by Section 6(d) of the National Audit Act, No. 19 of 2018, I state the following:

- (a) Financial Statements presented are consistent with that of the preceding year.
- (b) The recommendations given by me with respect to the financial statements of the preceding year have been complied with.

1.6 Comments on the Financial Statements

1.6.1 Accounting Deficiencies

The balance of Rs. 22,511,639 of the work in progress- office buildings account as at 31 December 2018 had not been mentioned as the initial balance at the beginning of 2019, instead it was appeared as expenditure relevant to construction during the year under review in the Statement of Non-Financial Assets. Further, revaluation of assets amounting to Rs. 36,829,490 made during the year under review had been stated as initial balance of the said assets in the Statement of Non-Financial Assets.

2. Financial Review

2.1 Expenditure Management

Net allocations under the expenditure heads 291-1-1-2001 and 2509 were Rs. 18,000,000 and Rs. 879,000,000 respectively and balances of the same were Rs. 11,223,695 and Rs. 156,357,736. The balances as percentage of net allocation were stood at 62% and 45% and the reason was attributed to the delays encountered in coast conservation constructions.

2.2 Issuing Imprests and Settlements

In terms of Public Finance Circular No. 03/2015 dated 14 July 2015, Financial Regulations 371 (2) (b) and 371 (5), a staff officer who is responsible for taking ad-hoc sub imprests should settle the same to the Accounts Division within 10 days after completion of the work. However, 24 instances with Rs.883, 540 had been observed in which such procedure had not been adopted. Time taken to settle such advances had been ranged from 13 days to 05 months and 20 days encountering delays.

2.3 Liabilities and entering into Commitments

Liability against Browns and Company during the year under review which stood at Rs. 329,590 had been identified under a capital expenditure head of 291-1-1-0-2003 and it was settled in 2020 under recurrent expenditure head 291-1-1-0-1409 (others). However, approval had not been obtained for transfer of financial provisions between different heads in terms of Financial Regulations 66 and 69. Further, Rs. 220,016 was identified under 291-1-1-0-2003 as the total liabilities of Simple Engineering Company limited and Rs. 265,800 had been paid in this regard. Thus it had been observed that Rs. 45,784 had been paid without identifying the liabilities.

2.4 Damages and Losses

In terms of Financial Regulations 104(1)(a), as soon as a loss or damage occurs, inquiries should be instituted in order to ascertain its extent and causes of the loss and to fix responsibility. However, the department had not taken action in terms of the said financial regulation with respect to the damage on the vehicle bearing No. PD-4249.

2.5 Reconciliation Statement of the Advances to Public Officers' Account

Of the total outstanding loan balances amounting to Rs. 604,608, it had been observed that there's a loan balance of Rs. 410,671 exceeding 10 years and loan balances of Rs. 193,931 which had been outstanding for periods ranging from 03 months to 06 years.

3. Operational Review and Performance

Audit observations in respect of the operational review on income, expenditure, assets and liabilities of the financial statements presented, sustainable development, good governance and human resources management will be included in the report mentioned herein under paragraph 1.1 which will be presented in terms of Section 10 of the National Audit Act, No. 19 of 2018.

Sgd. K.K.V. Dharmasiri
Deputy Auditor General
for Auditor General

Chapter 04 - Performance indicators

4.1 Performance indicators of the Institute (Based on the Action Plan)

Rs.000

Specific Indicators	Actual output as a percentage (%) of the expected output		
	100% - 90%	75% - 89%	50% - 74%
No of rehabilitated structures / repaired vehicles / workable plants	-	-	60%
No of furniture, equipments, purchased	100%	-	60%
No of officers trained	-	50%	-
Drawings, Maps, testing reports,	-	82%	-
No of permits issued. Demolished structures, coastal length of identified programs implemented, gassette notifications, maps reports	90%	-	-
No of awareness programmes. Booklets sign boards etc.	-	82%	-
Cumulative protected coastal length	-	80%	-
Cumulative protected coastal length	100%	-	-
length of nourished coast	-	-	-
completed study report, plans & guidelines	100%	-	-

Chapter 05 -

Performance of the achieving Sustainable Development Goals (SDG)

5.1 Indicate the Identified respective Sustainable Development Goals (SDG)

Rs.000

Goal / Objective	Targets	Indicators of the achievement	Progress of the Achievement to date		
			0% - 49%	50% - 74%	75% - 100%
14.2.1 By 2020, sustainably manage and protect marine and coastal ecosystems to avoid significant adverse impacts, including by strengthening their resilience, and take action for their restoration in order to achieve healthy and productive oceans	10km in 2030	Length of the coast line (defined in the coastal zone of Sri Lanka) managed using ecosystem-based approaches	30%	-	-
14.3 Minimize and address the impacts of ocean acidification, including through enhanced scientific cooperation at all levels	PH 7 in 2030	Average marine acidity (pH) measured at agreed suite of representative sampling stations	0%	-	-
14.a Increase scientific knowledge, develop research capacity and transfer marine technology, taking into account the Intergovernmental Oceanographic Commission Criteria and Guidelines on the Transfer of Marine Technology, in order to improve ocean health and to enhance the contribution of marine biodiversity to the development of developing countries, in particular small island developing States and least developed countries	0.1% in 2030	Proportion of total research budget allocated to research in the field of marine technology	10%	-	-
14.5 By 2020, conserve at least 10 per cent of coastal and marine areas, consistent with national and international law and based on the best available scientific information	-	Coverage of protected areas in relation to marine areas	2%	-	-

5.2 Briefly explain the achievements and challenges of the Sustainable Development Goals

Some of these goals need to be worked out in partnership with stakeholder organizations, while striving to reach the identified sustainable development goals as best as possible.

Chapter 06 - Human Resource Profile

06.1 Cadre Management

Rs.000

විශේෂිත දුර්ගත	Approved Cadre	Existing Cadre	Vacancies / (Excxs)
Senior	43	29	14
Territory	4	0	4
Secondary	321	256	65
Primary	173	130	43

06.2 **Briefly state how the shortage or excess in human resources has been affected to the performance of the institute

- 01) Shortage of human resource management negatively affects the performance due to the low efficiency excess of cadre make unnecessary monetary expenses and reduce effectiveness of the financial performance 02) Training increase effectiveness of the people. According to positive attitudes personal performance increase. Due to the effectiveness and the efficiency institutional performance go higher levels

06.3 Human Resource Development

Name of the Program	No. of staff trained	Duration of the program	Total Investment (Rs'000)		Nature of the Program (Abroad / Local)	Out put / Knowledge Gained*
			Local	Foreign		
Duties and Responsibilities of shrof and cashier	1	2019-01-21	5	-	Local	-
Safety Driving	7	2019-03-22	22.75	-	Local	-
Recruitment Procedure	1	2019-05-27/ 28	8.5	-	Local	-
Loss cutting and dismissed from the book	2	2019-05-23/24	17	-	Local	-
GIS training	2	2019-06-17/22	60	-	Local	-
Transport Mg	1	2019-06-20/21	8.5	-	Local	-
Stores Mg	2	2019-06-27/28	17	-	Local	-
Gov payroll system	2	2019-07-31/8-01/02	36	-	Local	-
Preperation of pensions	5	2019-09-24	19	-	Local	-
GIS training	3	2019-11-20	90	-	Local	-
Tamil Course	2	2019-12-10/24	10	-	Local	-
Advance excell	5	2019-12-18/20	90	-	Local	-
Office system	9	2019-12-24	54	-	Local	-

Name of the Program	No. of staff trained	Duration of the program	Total Investment (Rs'000)		Nature of the Program (Abroad / Local)	Out put / Knowledge Gained*
			Local	Foreign		
Personal files	14	2019-12-16/17	45.3	-	Local	-
Discipline Proc	90	2019-12-26	50.7	-	Local	-
Discipline Proc	40	2019-12-27	23.2	-	Local	-
Preperation of proposals	4	2019-12-30/31	30	-	Local	-
Empowring women	1	2019-01-04/31	224	-	Foreign	-
Meeting in netherland	1	2019-03-21/28	72.9	-	Foreign	-
Ocean teacher academy	2	2019-03-25/28	101.8	-	Foreign	-
Training IORA blue carbon	1	2019-05-06/17	57.2	-	Foreign	-
Official Mission	1	2019-05-06/14	108.8	-	Foreign	-
Offical visit to netherland	2	2019-07-01/04	107	-	Foreign	-
Europian Capacity building	1	2019-07-31/01	21.8	-	Foreign	-
Ex program to senior officers	1	2019-09-16/27	96.8	-	Foreign	-
4th Susutainable ocean institute	1	2019-10-14/18	59	-	Foreign	-
Wate rresource Mg	1	2019-11-05/25	171.2	-	Foreign	-

Briefly state how the training program contributed to the performance of the institution

- ✱ Improve productivity through the up-to-date knowledge of various disciplines.
- ✱ Improving attitudes and personal development and collective responsibility through training programs
- ✱ Failure to identify the service needs of the employees and to facilitate them to reach the productive level
- ✱ Knowledge gained through training to enable employee motivation through innovative and efficient office systems.

Chapter 07 - Compliance Report

No.	Application Requirement	Compliance status (Complied / Not Complied)	Brief explanation for Non compliance	Coorective actions proposed to avoid non-compliance in future
1	The following Financial statements / accounts have been submitted on due date			
1.1	Annual financial statemaent	Complied	-	-
1.2	Advance to public offices account	Complied	-	-
1.3	trading and manufacturing advance accounts (Commercial advance accounts)	Not Applicable	-	-
1.4	stores advance accounts	Not Applicable	-	-
1.5	special advance accounts	Not Applicable	-	-
1.6	Others	Not Applicable	-	-
2	Maintenanace of books and Registers (FR445)			
2.1	Fixed assets registers has been maintained and update in terms of public administartion circulars (267/2018)	Complied	-	-
2.2	"Personal emoluments register/ Personal emoluments cards has been maintained and update"	Complied	-	-
2.3	"Register of Audit queries has been maintained and update"	Complied	-	-
2.4	"Register of Internal Audit reports has been maintained and update"	Complied	-	-
2.5	"All the monthly account summaries (CIGAS) are prepared and submitted to the Treasury on due date"	Complied	-	-
2.6	Register for cheques and moneyorders has been maintained and update	Complied	-	-
2.7	Inventory register has beenmaintained and update	Complied	-	-
2.8	Stocks Register has been maintained and update	Complied	-	-
2.9	"Register of Losses has been maintained and update"	Complied	-	-
2.10	"Commitment Register has been maintained and update"	Complied	-	-
2.11	Register of Counterfoil Books (GA—N20) has been maintained and update	Complied	-	-
3	"Delegation of functions for financial control (FR 135)"			

No.	Application Requirement	Compliance status (Complied / Not Complied)	Brief explanation for Non compliance	Coorective actions proposed to avoid non-compliance in future
3.1	"The financial authority has been delegated within the institute"	Complied	-	-
3.2	The delegation of financial authority has been communicated within the institute	Complied	-	-
3.3	The authority has been delegated in such manner so as to pass each transaction through two or more officers	Complied	-	-
3.4	The controls has been adhered to by the Accountants in terms of State Account Circular 171/2004 dated 11.05.2014 in using the Government Payroll Software Package	Complied	-	-
4	Preparation of Annual Plans			
4.1	The annual action plan has been prepared	Complied	-	-
4.2	The annual procurement plan has been prepared	Complied	-	-
4.3	The annual Internal Audit plan has been prepared	Complied	-	-
4.4	The annual estimate has been prepared and submitted to the NBD on due date	Complied	-	-
4.5	The annual cash flow has been submitted to the Treasury Operations Department on time	Complied	-	-
5	Audit queries			
5.1	All the audit queries has been replied within the specified time by the Auditor General	Complied	-	-
6	Internal Audit			
6.1	The internal audit plan has been prepared at the beginning of the year after consulting the Auditor General in terms of Financial Regulation 134(2)) DMA/1-2019	Complied	-	-
6.2	All the internal audit reports has been replied within one month	Not Applicable	It is time comsuming to collect information from regional office	Advised to rectify
6.3	Copies of all the internal audit reports has been submitted to the Management Audit Department in terms of Sub-section 40(4) of the National Audit Act No. 19 of 2018	Complied	-	-

No.	Application Requirement	Compliance status (Complied / Not Complied)	Brief explanation for Non compliance	Coorective actions proposed to avoid non-compliance in future
6.4	All the copies of internal audit reports has been submitted to the Auditor General in terms of Financial Regulation 134(3)	Complied	-	-
7	Audit and Management Committee			
7.1	Minimum 04 meetings of the Audit and Management Committee has been held during the year as per the DMA Circular 1-2019	Complied	-	-
8	Asset Management			
8.1	The information about purchases of assets and disposals was submitted to the Comptroller General's Office in terms of Paragraph 07 of the Asset Management Circular No.01/2017	Complied	-	-
8.2	A suitable liaison officer was appointed to coordinate the implementation of the provisions of the circular and the details of the nominated officer was sent to the Comptroller General's Office in terms of Paragraph 13 of the aforesaid circular	Complied	-	-
8.3	The boards of survey was conducted and the relevant reports submitted to the Auditor General on due date in terms of Public Finance Circular No.	-	-	2019 Boards of survey will be conducted within the due time frame
8.4	The excesses and deficits that were disclosed through the board of survey and other relating recommendations, actions were carried out during the period specified in the circular	Complied	-	2020 Boards of survey will be conducted within the due time frame
8.5	The disposal of condemn articles had been carried out in terms of FR 772	Complied	-	-
9	Vehicle Management			
9.1	The daily running charts and monthly summaries of the pool vehicles had been prepared and submitted to the Auditor General on due date	Complied	-	-
9.2	The condemned vehicles had been disposed of within a period of less than 6 months after condemning	Not Applicable	Take long time to get approval from Registartion of Motor vehicles	In the process to disposed
9.3	The vehicle logbooks had been maintained and updated	Complied	-	-
9.4	The action has been taken in terms of F.R. 103, 104, 109 and 110 with regard to every vehicle accident	Complied	-	-

No.	Application Requirement	Compliance status (Complied / Not Complied)	Brief explanation for Non compliance	Coorective actions proposed to avoid non-compliance in future
9.5	The fuel consumption of vehicles has been re-tested in terms of the provisions of Paragraph 3.1 of the Public Administration Circular No. 30/2016 of 29.12.2016	Complied	-	-
9.6	The absolute ownership of the leased vehicle log books has been transferred after the lease term	Not Applicable	-	-
10	Management of Bank Accounts			
10.1	The bank reconciliation statements had been prepared, got certified and made ready for audit by the due date	Complied	-	-
10.2	The dormant accounts that had existed in the year under review or since previous years settled	Complied	-	-
10.3	The action had been taken in terms of Financial Regulations regarding balances that had been disclosed through bank reconciliation statements and for which adjustments had to be made, and had those balances been settled within one month	Complied	-	-
11	Utilization of Provisions			
11.1	The provisions allocated had been spent without exceeding the limit	Complied	-	-
11.2	The liabilities not exceeding the provisions that remained at the end of the year as per the FR 94(1)	Complied	-	-
12	Advances to Public Officers Account			
12.1	The limits had been complied with	Complied	-	-
12.2	A time analysis had been carried out on the loans in arrears	Complied	-	-
12.3	The loan balances in arrears for over one year had been settled	Not Applicable	-	Necessary action had been taken
13	General Deposit Account			
13.1	The action had been taken as per F.R.571 in relation to disposal of lapsed deposits	Complied	-	-
13.2	The control register for general deposits had been updated and maintained	Complied	-	-
14	Imprest Account			
14.1	The balance in the cash book at the end of the year under review remitted to TOD	Complied	-	-

No.	Application Requirement	Compliance status (Complied / Not Complied)	Brief explanation for Non compliance	Coorective actions proposed to avoid non-compliance in future
14.2	The ad-hoc sub imprests issued as per F.R. 371 settled within one month from the completion of the task	Not Applicable	-	Advised to rectify
14.3	The ad-hoc sub imprests had been issued exceeding the limit approved as per F.R. 371	Complied	-	-
14.4	The balance of the imprest account had been reconciled with the Treasury books monthly	Complied	-	-
15	Revenue Account			
15.1	The refunds from the revenue had been made in terms of the regulations	Complied	-	-
15.2	The revenue collection had been directly credited to the revenue account without credited to the deposit account	Complied	-	-
15.3	Returns of arrears of revenue forward to the Auditor General in terms of FR 176	Not Applicable	-	-
16	Human Resource Management	-	-	-
16.1	The staff had been paid within the approved cadre	Complied	-	-
16.2	All members of the staff have been issued a duty list in writing	Complied	-	-
16.3	All reports have been submitted to MSD in terms of their circular no. 04/2017 dated 20.09.2017	Complied	-	-
17	Provision of information to the public			
17.1	An information officer has been appointed and a proper register of information is maintained and updated in terms of Right To Information Act and Regulation	Complied	-	-
17.2	Information about the institution to the public have been provided by Website or alternative measures and has it been facilitated to appreciate / allegation to public against the public authority by this website or alternative measures	Complied	-	-
17.3	Bi - Annual and Annual reports have been sub mitted as per section 08 and 10 of the RTI Act	Complied	-	-
18	Preparation of the Human Resource Plan			
18.1	A human resource plan has been prepared in terms of the format in Annexure 02 of Public Administration Circular No.02/2018 dated 24.01.2018.	Complied	-	-

No.	Application Requirement	Compliance status (Complied / Not Complied)	Brief explanation for Non compliance	Coorective actions proposed to avoid non-compliance in future
18.2	A methodology has been devised by the Institution in order to monitor and assess the formulation and the implementation of Citizens Charter / Citizens client's charter as per paragraph 2.3 of the circular	Complied	-	-
19	Preparation of the Human Resource Plan			
19.1	A human resource plan has been prepared in terms of the format in Annexure 02 of Public Administration Circular No.02/2018 dated 24.01.2018.	Complied	-	-
19.2	A minimum training opportunity of not less than 12 hours per year for each member of the staff has been ensured in the aforesaid Human Resource Plan	Complied	-	-
19.3	Annual performance agreements have been signed for the entire staff based on the format in Annexure 01 of the aforesaid Circular	Complied	-	-
19.4	A senior officer was appointed and assigned the responsibility of preparing the human resource development plan, organizing capacity building programs and conducting skill development programs as per paragraph No.6.5 of the aforesaid Circular	Complied	-	-
20	Responses Audit Paras			
20.1	The shortcomings pointed out in the audit paragraphs issued by the Auditor General for the previous years have been rectified	Not Applicable	-	-

