පාර්ලිමේන්තු ප්‍රකාශන මාලා අංකය : 290
ආයතනයේ නම : මහජන ආරක්ෂක අමාත්‍යාංශය

පාර්ලිමේන්තුවේ රජයේ ගිණුම් පිළිබඳ කාරක සභාව විසින් සභාගත කරන ලද වාර්තා සම්බන්ධයෙන් ස්ථාවර නියෝග අංක 119(4) යටතේ ගරු ආයතන භාර අමාත්‍යවරයාගේ නිරීක්ෂණ හා ගනු ලබන පියවර පාර්ලිමේන්තුව වෙත ඉදිරිපත් කිරීම

பாராளுமன்ற வெளியீடுகளின் தொடர் : 290
***நிறுவனத்தின் பெயர் :* பொது மக்கள் பாதுகாப்பு அமைச்சு**

நிலையியற் கட்டளை இலக்கம் 119(4) யின் அடிப்படையில் பொதுக் கணக்குகள் குழுவால் பாராளுமன்றத்திற்கு வழங்கப்பட்ட அறிக்கை தொடர்பிலான அவதானிப்புகளையும் மேற்கொள்ளப்பட்ட நடவடிக்கைகளையும் சமர்ப்பித்தல்.

Parliamentary Series No: 290
Name of the institution: Ministry of Public Security

Submission of observations of the Hon. Minister in charge of the institutions and steps taken with regard to the reports tabled by the Committee on Public Accounts in terms of the Standing Order No 119(4)

Parliamentary Series Number : - 290

Name of the institution : - Ministry of Public Security

No	Shortcomings identified by the Committee	Actions taken by the institute institution to rectify the shortcomings/ current status
1	The first password of the system had not been used only by the accountant or by an authorized staff officer.	Action has been taken to update passwords as required and to use them only by the Accountant (Finance)
2	Copies of all the internal audit reports had not been submitted to the Management Audit Department with effect from 01 August 2018 in terms of Sub-section 40(14) of the National Audit Act No. 19 of 2018.	During the relevant period the post of Chief Internal Auditor in the Ministry was vacant and Internal Audit division too was not functioned. At present an Internal Audit division was established under a Chief Internal Auditor and the copies of the internal audit reports are submitted to the Management Audit Department.
3	Copies of internal audit reports had not been submitted to the Auditor General in terms of Financial Regulation 134(3).	During the relevant period the post of Chief Internal Auditor in the Ministry was vacant and Internal Audit division too was not functioned. At present an Internal Audit division was established under a Chief Internal Auditor and the copies of the internal audit reports are submitted to the Auditor General as per the F.R. 134(3)
4	The internal audit programme had not been submitted to the Auditor General in terms of Financial Regulation 134(2).	During the relevant period the post of Chief Internal Auditor in the Ministry was vacant and Internal Audit division too was not functioned. At present an Internal Audit division was established under a Chief Internal Auditor and the copies of the internal audit programme is submitted to the Auditor General as per the F.R. 134(2)
5	At least 02 meetings of the Audit and Management Committee had not been held per year.	During the relevant period the post of Chief Internal Auditor in the Ministry was vacant and Internal Audit division too was not functioned. At present an Internal Audit division was established under a Chief Internal Auditor and Audit and Management Committees are held accordingly.

6	The relevant reports had not been submitted to the Auditor General by the due date after conducting Board of Survey in accordance with F.R. 756 (6) within the time frame mentioned in paragraph 11.1 of Part 1 of Public Finance Circular No. 01/2020 dated 28.08.2020.	As per the time frame mentioned in the paragraph 11.1 of part 1 of public Finance Circular No 01/2020 dated 28.08.2020 Board of Survey in relation to a Ministry/Department/ regional or divisional office of District Secretariat should be submitted to the Auditor General before 15th of June of the next financial year and the report on Board of Survey was submitted to the AG on 02.06.2022.
7	The excesses and deficits that were disclosed through the board of survey and other relating recommendations, actions were not carried out during the period specified in the circular	Actions in relation to the excesses, deficits disclosed by the annual Board of Survey were completed during the relevant year.
8	The emblem of the state had not been painted on all pool vehicles.	Action will be taken to display it in the future
9	Condemned vehicles were not disposed of within a period of less than 8 months after condemning.	No condemned vehicles available at present
10	Balances that are revealed by the findings of Bank Reconciliation Statements & should be adjusted, had not been adjusted within a period of one month as per Financial Regulations.	The balances revealed from the Bank Reconciliation and to be adjusted were in the Financial year 2021. Subsequently adjusted and settled.
11	Financial provisions had been allocated to other institutes beyond the due date.	Even though provisions were made to other institutions during the prescribed period, it is notified that provisions have to be made out of time frame at certain urgent requirements of the time frame.
12	Loan balances in arrears for over 1 year had not been settled.	A case has been filed in the District Court against one officer of Special Task Force to recover the arrears of loan balance of more than a year while balances are recovering gradually from other officers.
13	The imprest Reconciliation statement in which the approved imprest account balance is quarterly compared with the treasury books had not been submitted to the Treasury Operations Department before the due date.	Approved cash imprest Account balances imprest reconciliation statement reconciled quarterly with Treasury books were not submitted to the Department of Treasury Operations in 2021 and action has been taken to submit the Imprest Reconciliation Statement from 2023 to the Department of Treasury Operations before the prescribed date.
14	The differences disclosed by the imprest reconciliation statement had not been identified and no action had been taken to rectify them.	No changes were found as per the Cash Imprest Reconciliation Statement

15	The staff details of the institution had not been sent to the Department of Management Services in line with the Management Services Circular No.04/2017 dated 20.09.2017.	Action is been taken at present
16	There was no register maintained to keep records of information provided/ not provided in response to the requests made by the public.	Currently this register is maintained
17	There were no records maintained to document complaints received about not furnishing information required by the public and to provide explanations on action taken with regard to such complaints.	Currently this register is prepared and maintained
18	Citizen/ client charter had not been prepared or applied properly.	Prepared at present
19	A methodology had not been formulated to monitor and evaluate the application of citizen/ client charter by the institution.	A proper methodology is been prepared at present
20	A human resource plan drafted in keeping with the provisions of public administration Circular No 02/2018 dated 24th January 2018 was not available.	Has been prepared presently
21	The human resource development plan that had been prepared, did not ensure at least a minimum training opportunity of not less than 12 hours per year for more than 50% of the member of the staff.	Human resource plan has been updated enabling training opportunities at least not less than 12 hours per year for more than 50% from the staff.
22	Performance agreements covering the entire staff had not been drafted or entered.	Has been updated
23	A senior officer of the institution had not been named for the preparation of human resource development plans and to implement capacity and skill development programmes.	An officer has been nominated. Senior Assistant Secretary (Admin)
24	Out of the training opportunities planned, the extent of training opportunities granted, was less than 50%.	It was planned to provide maximum training opportunities with provisions available.
25	All public officers had not submitted their declarations of assets and liabilities.	Currently all the staff officers have handed over their Declarations of Assets and liabilities and it is listed currently.
26	Actions had not been taken to properly transfer the officers who had completed the maximum service period.	The information of officers who have completed the maximum service period has been submitted annually to the Ministry of Public Administration, Home Affairs, Provincial Councils, and Local Government.

Parliamentary Series Number : - 290
Name of the Institution : - Sri Lanka Police

No	**Shortcomings identified by the Committee**	**Action taken by the Institution to rectify the shortcomings / current status**
1	Annual Financial Statements had not been submitted on due date	Annual Financial Statements of Sri Lanka Police for the 2021 have been submitted to the Auditor General on 24.02.2022 (Due Date was 28.02.2022)
2	Answers had not been sent to all the audit queries of the Auditor General within one month after receiving such audit queries	Actions have been taken to avoid delays and answers have been sent to all the audit queries of the year 2021. Also, answers have been sent to all the audit queries of the Auditor General in 2022 within one month.
3	Answers had not been submitted to all the internal audit queries within one month	Actions have been taken to avoid delays.
4	The relevant reports had not been submitted to the Auditor General by the due date after conducting Board of Survey in accordance with F.R. 756 (6) within the time frame mentioned in paragraph 11.1 of Part 1 of Public Finance Circular No. 01/2020 dated 28.08.2020	The respective reports have been submitted to the Auditor General after conducting Board of Survey in every institution of Sri Lanka Police relevant to the year 2021. By avoiding delays in submitting board of survey reports to the Auditor General that occurred in 2021, action has been taken in 2022 to conduct Board of Survey within the time frame.
5	The excesses and deficits that were disclosed through the board of survey and other relating recommendations, actions were not carried out during the period specified in the circular	Recommendations in relation to the excesses and deficits that were disclosed through the board of survey in 2021 have been implemented.
6	The emblem of the state had not been painted on all pool vehicles.	According to the Public Circular No. 01/2002 dated 25.02.2002, apart from the vehicles assigned to the officers as in Public Circular No.26/92, action has been taken to bear the name of the department on other vehicles.
7	Condemned vehicles were not disposed of within a period of less than 8 months after condemning.	Measures have been taken to auction condemned vehicles on 22-08-2023, 29-08-2023, 05-09-2023, 12-09-2023, 14-09-2023, 21-09-2023, 27-09-2023 having published on Government Gazette Notification after being assessed and inspected by Valuation Board, and following the recommendation of Condemnation Board in order to dispose unroadworthy vehicles condemned in 2021 and 2022belonged to Sri Lanka Police.

8	Balances that are revealed by the findings of Bank Reconciliation Statements & should be adjusted, had not been adjusted within a period of one month as per Financial Regulations	Actions have been taken to settle the balances revealed by the findings of Bank Reconciliation Statements and are to be adjusted.
9	Consequent to the utilization of provisions allocated for a vote as per F. R. 94 (1), liabilities were exceeding the provisions.	Liabilities were incurred bona fide intent, exceeding the provisions in terms of F. R. 94(1) in order to bear essential recurrent and capital expenditure for providing services to the public, and maintaining Law & Order. The said liabilities have been settled in the following year.
10	Loan balances in arrears for over 1 year had not been settled	Loan balance in arrears to be recovered from 2125 officers as of 31-12-2021 was Rs. 46,756,018 and steps have been taken to recover Rs. 7,254,305 as of 30.09.2023 from 561 officers. Files have been submitted to write-off loan balance of Rs. 9,074,954 which could not be recovered out of the remaining balance, as per Public Finance Circular No. 01/2020. Accordingly, continuous measures are being taken to recover the outstanding loan balance of Rs. 30,426,759.
11	Action had not been taken as per F.R.571 in relation to dormant accounts	Balance of dormant accounts lapsed over 02 years as of 31-12-2021 was Rs. 232,581,722 and Rs. 102,202,315 out of the said balance has been settled as of 31-10-2023. Actions have been taken as per F. R. 571 in relation to the balance of Rs. 130,379,407 to be settled to the said date.
12	The audit opinion about the accounts of the institution had been a disclaimed opinion or an unfavorable opinion.	The opinion of the Auditor General in relation to financial statements of Sri Lanka Police in 2021 was neither a disclaimed opinion nor an unfavorable opinion, but a qualified opinion.
13	Actions had not been taken to properly transfer the officers who had completed the maximum service period.	Transfer procedure of officers in Sri Lanka Police has been initiated as per the I. G. Circular No. 2735/2022 dated 08-09-2022, issued for the implementation of Transfer Procedure in Sri Lanka Police that has been approved by the letter No. PSC/EST/05-04-63/01/2022 dated 17-06-2022 of Public Service Commission.

Parliamentary Publication No. **: 290**
Name of Institution **: Department of Immigration and Emigration**

No	Shortcomings identified by the Committee	Actions taken by the institute institution to rectify the shortcomings/ current status
Part One : 81.00		
1	Every audit query submitted by the Auditor General was not replied within a month.	Even though delays caused in answering the audit queries, due to hectic situation in the Department in obtaining clarifications on the technical issues related to the computer programmes and Covid Pandemic situation prevailed in the year 2021, the answers to the audit queries are being provided on time at present.
2	Internal Audit Queries were not replied within a month.	
3	As per the Paragraph 7 of the Asset Management Circular No. 1/2017 dated 26.06.2017, the information on Assets purchased and the disposals in the year 2018 had not been submitted to the Comptroller General's Office.	It has stated that the instructions regarding the facts to be submitted to the Office of the Comptroller General would be issued in future regarding the Assets purchased and disposed by each Institution with effect from the year 2018 in Paragraph 7 of the Asset Management Circular No. 1/2017 dated 26.06.2017. Action has been taken to submit the information, requested to submit from time to time by the Comptroller General, accordingly without delay. Furthermore, since the above fact has been pointed out under the Financial Statements in the Annual Comprehensive Management Audit Report for the year ended on 31st December, 2021, action has been taken to send the report quarterly since the year the year 2022.
4	Letters to verify the possession of all vehicles are not in the custody.	Number of vehicles added to daily transport of the Department is 33. Out of them, the vehicles bearing Nos. PH-9562, PH-4517, NC-9317 and NC-9321 have been obtained under Long-term leasing scheme for a period of 02 years with effect from 18.12.2023. The possession of 28 vehicles is with the Department. It has been informed in writing by letters of October, 2022 and 23.06.2023 to get transferred the possession of vehicle bearing No. NB-6469, the task of transferring this vehicle has not been accomplished so far even though several visits to the Ministry of Finance were made and several attempts were made to get contacted over the telephones. This has been taken into discussion at 06 times in the Audit Management Committee meeting of the Department.

5	All the vehicles had not been painted the State Emblem.	Since all the vehicles belong to this Department are opportunistically used for the purposes of investigations and for taking in to custody the foreigners who violate the immigrants and Emigrants laws, printing the State Emblem on these vehicles arises great issues for such investigations. It may be advantageous for the spies when the same vehicle is continuously used for investigations. However, all vehicles have been kept a name plate of the Department inside the front window screen of the vehicle as to make it visible at present.
6	The fuel combustion of vehicles of the Institution has not been tested in terms of the provisions of Paragraph 3.1 of the Public Administration Circular No. 30/2016 of 29.12.2016.	The fuel combustion test of 06 vehicles belong to the Department has been carried out at present and action will be taken to refer the pooled vehicles of the Department for fuel combustion test in the future in a way that does not interfere with the duties of vehicles.
7	The balances, which were revealed in the Bank Reconciliation Statements and which should have to be adjusted had not been settled within one month in terms of Financial Regulations.	All the relevant balances have already been settled.
8	After the utilization of provision made for a vote of expenditure in terms of F.R. 94 (1), the remaining limit of provisions had been committed beyond the approved limits.	I accept that commitments cannot be made beyond the provision of vote of expenditure. However, commitments had to be entered for the work that should have to be carried out compulsorily due to specific programmes that had to be implemented in the social conditions beyond the control of the institution in the year 2021. As it is an organization that deals with the members of public on a daily basis and as there was no alternative for performing non-exempted tasks, commitments had to be made beyond the provisions.

9	The loan balances in arrears were remained for over one year.	The loan file was brought from the Ministry of Public Administration to inquire on the guarantors to get levied the loan balance of late Mr. Ajith Wijesuriya, and Agrahara Insurance has been presented as the guarantor. Since it is not valid and there is no any other way to recover the amount of this loan, action has been taken to send it to the General Treasury for the approval along with the recommendation of the Controller General in order to write off this amount of loan. Mr. H.K.R.A. Jayawardena, who was interdicted, has been retired on inefficiency. The committee report was called from the Department of Railways regarding the lost file and it has been submitted to the Department of Pensions. This amount can be recovered in the process of preparing the pension. Mr. M.U.M Munasir has been informed by my letter No. DIE/ADM/E7/Distress Loan/General dated 25.09.2023 for getting recovered money to be levied to the Government on dismissal from service. Action has been taken to get recovered the amount of relevant loan balance of Mr. Chinthaka Jayamal from his guarantor.
10	The ad-hoc sub imprests issued as per F.R. 371 had not settled within one month from the completion of the task.	Settlements of ad hoc Sub imprests had been delayed on occasions on calling the officers for duties restricting them due to Covid Pandemic situation prevailed in the country in the year 2021. This condition has been rectified and ad hoc Sub Imprest is being settled before lapsing one month after the completion of relevant task at present.
Part Two : 89.00		
01	The Audit opinion on the Accounts of the Institution was at Disclaimer level or unfavourable level.	The opinion on the accounts of Institution was a qualified opinion.

Parliamentary Publication Series :- 290
Name of the department :- Department for Registration of Persons

No	Shortcomings identified by the Committee	Actions taken by the institute institution to rectify the shortcomings/ current status
Section One : 96.00		
1	According to paragraph 7 of Asset Management Circular No. 1/2017 dated 26.06.2017, the information about assets purchased and disposals in the year 2018 was not submitted to the Controller General's Office.	Although it is notified by the circular No. 1/2017 that the purchase and disposal od assests during the year shouldbe submitted to the Controller General in a quarterly basis, it has been informed that instructions will be issued in this regard in the future. Accordingly, as per the isnstructions issued from the office ofthe Controller General from time to time, information requested has been duly furnished.
2	Not all vehicles were painted with state emblem.	At present, marking of the state emblem on the vehicles owned by the department has started and by 31.01.2024, marking of the state emblem on the vehicles to be marked will be completed, as per the circular.
3	After utilizing the allocations made for an expenditure object as per F.R.94(1), the remaining allocation limit had created obligations beyond the approved limits.	Although obligations are specified on liabilities in excess of the provision limit as per F.R. 94(1), in cases where the annual provision is insufficient for the expenditure of supplies and services in the relevant year, liability shall be entered into in accordance with the provisions made under F.R.94(2).
Section Two : 79.00		
1	The human resources plan developed did not ensure that at least not less than 12 hours of training would be provided annually to more than 50% of the workforce. The number of training opportunities provided was less than 50% of the planned training opportunities.	In 2021, due to the restrictions imposed on travel and gathering of people owing to the island-wide COVID pandemic, it was impossible to carry out training programs as scheduled and, consequently, to reach a satisfactory level of performance. Steps have been taken to prepare training plans so that the performance of the training programs can be maintained at an adequate level, starting from 2022.
2	The audit opinion on the organization's accounts was unqualified or adverse.	The Auditor General has qualified the audit opinion.

Tiran Alles

Tiran Alles
Minister of Public Security